

# LIVE VIRTUAL BOARD MEETING

\*This meeting will take place following the Committee meeting being held prior.



TO VIEW VIA WEB



TO PROVIDE PUBLIC COMMENT

You may submit a request to speak during Public Comment or provide a written comment by emailing [PublicComment@lacera.com](mailto:PublicComment@lacera.com). If you would like to remain anonymous at the meeting without stating your name, please let us know.

**Attention:** Public comment requests must be submitted via email to [PublicComment@lacera.com](mailto:PublicComment@lacera.com).

LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION  
300 N. LAKE AVENUE, SUITE 650, PASADENA, CA

# AGENDA

## A REGULAR MEETING OF THE BOARD OF RETIREMENT LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., THURSDAY, MAY 5, 2022\*

This meeting will be conducted by the Board of Retirement by teleconference under California Government Code Section 54953(e).

Any person may view the meeting online at  
<https://LACERA.com/leadership/board-meetings>

*The Board may take action on any item on the agenda,  
and agenda items may be taken out of order.*

- I. CALL TO ORDER
- II. APPROVAL OF MINUTES

- A. Approval of the Minutes of the Regular Meeting of April 6, 2022

- III. PUBLIC COMMENT

(Written Public Comment - You may submit written public comments by email to [PublicComment@lacera.com](mailto:PublicComment@lacera.com). Correspondence will be made part of the official record of the meeting. Please submit your written public comments or documentation as soon as possible and up to the close of the meeting.

Verbal Public Comment - You may also request to address the Board at [PublicComment@lacera.com](mailto:PublicComment@lacera.com) before and during the meeting at any time up to the end of the Public Comment item. We will contact you with information and instructions as to how to access the meeting as a speaker. If you would like to remain anonymous at the meeting without stating your name, please let us know.)

- IV. OTHER COMMUNICATIONS

- A. For Information

- 1. March 2022 All Stars
    - 2. Chief Executive Officer's Report  
(Memo dated April 27, 2022)

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

VI. CONSENT ITEMS

- A. Recommendation as submitted by Steven P. Rice, Chief Counsel: That, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement and Board of Investments separately consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of each Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that each Board and its Committees shall hold teleconference meetings for the next 30 days, subject to continuation of the State of Emergency, and if so, direct staff to comply with the agenda and public comment requirements of the statute. Action taken by each Board will only apply to that Board and its Committees. (Memo dated April 26, 2022)
- B. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated April 27, 2022)
- C. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board adopt a "Support" position on Assembly Bill 1824, which would provide clarification and technical updates to the County Employees Retirement Law of 1937. (Memo dated April 22, 2022)
- D. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board adopt a "Support" position on Assembly Bill 1944, which would not require a nonpublic teleconference location to be identified or accessible to the public. (Memo dated April 21, 2022) (Supplemental Memo dated April 21, 2022)
- E. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board 1) Approve a visit with Congress by Board trustees as designated by the Chair of the Board of Retirement and by staff as designated by the Chief Executive Officer during the week of May 23, 2022 in Washington, D.C.; and 2) Approve reimbursement of all travel costs incurred in accordance with LACERA's Trustee Travel Policy. (Memo dated April 21, 2022).

VI. CONSENT ITEMS (Continued)

- F. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board authorize staff to allow temporary one-time waiver of the 6-month waiting period for eligible members electing to transfer to SCAN's new expanded service areas. (Memo dated April 7, 2022)
- G. Recommendation as submitted by Herman B. Santos, Chair, Joint Organizational Governance Committee: That the Board approve the revised Education and Travel Policies. (Memo dated April 25, 2022)
- H. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board grant the appeal and request for an administrative hearing for applicants Pratin Kraisornkovit, Andrea Marie Serda, Angela M. Sorrels, Dawn M. Cross, Alvin D. Poff, Jr., and Bethly L. Mills. (Memo dated April 25, 2022)

VII. EXCLUDED FROM CONSENT ITEMS

VIII. NON-CONSENT ITEMS

- A. Recommendation as submitted by Carlos Barrios, Interim Manager, Benefits Division: That the Board authorize staff to forgo the collection of interest on the overpaid retirement benefits resulting from adjustments required by the Alameda Decision. (Memo dated March 23, 2022)
- B. Recommendation as submitted by Kathy Delino, Interim Chief Information Technology Officer: That the Board authorize staff to engage and contract with Eccentex Corporation for consulting services to procure, configure, customize, and implement an enterprise Case Management Software Solution for Disability Retirement, Disability Litigation, and First Payment business processes at an implementation cost of \$285,000 plus annual licensing fees of \$259,200. (Memo dated April 20, 2022)
- C. Recommendation as submitted by Santos H. Kreimann, Chief Executive Officer: That the Board provide the SACRS voting delegate direction on voting for the SACRS Slate of Officers. (Memo dated April 21, 2022)



IX. REPORTS

- A. Presentation by KH Consulting Group, regarding LACERA Strategic Planning. (Memo dated April 25, 2022)
- B. For Information Only as submitted by Ricki Contreras, Division Manager, Disability Retirement Services, regarding the Application Processing Time Snapshot Reports. (Memo dated April 26, 2022)
- C. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer, regarding the Monthly Status Report on Legislation. (Memo dated April 25, 2022)
- D. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:  
Monthly Trustee Travel and Education Reports for March 2022  
(Public Memo dated April 15, 2022)  
(Confidential Memo dated April 15, 2022 – Includes Anticipated Travel)
- E. For Information Only as submitted by Ricki Contreras, Disability Retirement Services Division, regarding the 2022 Quarterly Reports of Paid Invoices. (Confidential Memo dated April 20, 2022)
- F. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the April 2022 Fiduciary Counsel Contact and Billing Report. (Memo dated April 25, 2022) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)

X. ITEMS FOR STAFF REVIEW

XI. GOOD OF THE ORDER  
(For information purposes only)

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

- A. Applications for Disability
- B. Disability Retirement Appeals

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION  
(Continued)

C. Staff Recommendations

1. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board adopt the Proposed Findings of Fact and Conclusions of Law granting Crandlon R. McGinnis a non-service-connected disability retirement pursuant to Government Code Section 31720. (Memo dated March 29, 2022)
2. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board approve the service provider invoice for Jeffrey A. Hirsch, M.D.  
(Memo dated April 21, 2022)

XIII. ADJOURNMENT

***\*Although the meeting is scheduled for 9:00 a.m., it can start anytime thereafter, depending on the length of the Committee meeting preceding it.***

***Documents subject to public disclosure that relate to an agenda item for an open session of the Board of Retirement that are distributed to members of the Board of Retirement less than 72 hours prior to the meeting will be available for public inspection at the time they are distributed to a majority of the Board of Retirement Trustees at LACERA's offices at 300 N. Lake Avenue, Suite 820, Pasadena, CA 91101, during normal business hours of 9:00 a.m. to 5:00 p.m. Monday through Friday.***

***Requests for reasonable modification or accommodation of the telephone public access and Public Comments procedures stated in this agenda from individuals with disabilities, consistent with the Americans with Disabilities Act of 1990, may call the Board Offices at (626) 564-6000, Ext. 4401/4402 from 8:30 a.m. to 5:00 p.m. Monday through Friday or email [PublicComment@lacera.com](mailto:PublicComment@lacera.com), but no later than 48 hours prior to the time the meeting is to commence.***

MINUTES OF THE REGULAR MEETING OF THE BOARD OF RETIREMENT  
LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION

300 N. LAKE AVENUE, SUITE 810, PASADENA, CA

9:00 A.M., WEDNESDAY, APRIL 6, 2022

This meeting was conducted by the Board of Retirement by teleconference under  
California Government Code Section 54953(e).

PRESENT: William Pryor (Alternate Safety), Chair

Shawn R. Kehoe, Vice Chair

Alan Bernstein, Secretary

Vivian H. Gray

JP Harris (Alternate Retired)

Keith Knox

Wayne Moore

Les Robbins

Herman Santos

ABSENT: Antonio Sanchez

Gina Zapanta

STAFF ADVISORS AND PARTICIPANTS

Santos H. Kreimann, Chief Executive Officer

Luis A. Lugo, Deputy Chief Executive Officer

JJ Popowich, Assistant Executive Officer

Laura Guglielmo, Assistant Executive Officer

STAFF ADVISORS AND PARTICIPANTS (Continued)

Steven P. Rice, Chief Counsel

Michael Herrera, Senior Staff Counsel

Dr. Glenn Ehresmann, Medical Advisor

Cassandra Smith, Retiree Healthcare Director

Ted Granger, Interim Chief Financial Officer

Carly Ntoya, Ph.D., Human Resources Director

Richard Bendall, Chief Audit Executive

James Beasley, Supervising Administrative Assistant II

Barry W. Lew, Legislative Affairs Officer

Francis J. Boyd, Senior Staff Counsel

Ricki Contreras, Disability Retirement Services Division Manager

Tamara Caldwell, Disability Retirement Specialist Supervisor

Vickie Neely, Disability Retirement Specialist Supervisor

Kerri Wilson, Disability Retirement Specialist Supervisor

Hernan Barrientos, Disability Retirement Specialist Supervisor

Ricardo Salinas, Disability Retirement Specialist Supervisor

Vincent Lim, Disability Litigation Manager

Eugenia Der, Senior Staff Counsel

Allison E. Barrett, Senior Staff Counsel

Jason Waller, Senior Staff Counsel

I. CALL TO ORDER

The meeting was called to order virtually by Chair Pryor at 9:00 a.m.

II. APPROVAL OF MINUTES

A. Approval of the Minutes of the Regular Meeting of March 2, 2022

Mr. Bernstein made a motion, Mr. Robbins seconded, to approve the minutes of the regular meeting of March 2, 2022. The motion passed (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were absent for the vote.

III. PUBLIC COMMENT

There were no requests from the public to speak.

IV. OTHER COMMUNICATIONS

A. For Information

1. February 2022 All Stars

Mr. Popowich announced the winners for the month of February: Selassie Burke, David Bayha, Alex Yin, and Vanessa Cruz. Web Watcher winner was Ha Sy.

2. Chief Executive Officer's Report  
(Memo dated March 28, 2022)

Mr. Kreimann provided the Board with an update regarding the newly appointed Board of Investments Trustee Onyx Jones that will be joining the Board. Mr. Kreimann provided an update to the 2022 election calendar, March Madness update, and upcoming resources that will be made available for retiree applications.

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Law Enforcement  
Service-Connected Disability Applications

On a motion by Mr. Kehoe, seconded by Mr. Robbins, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were absent for the vote.

<u>APPLICATION NO.</u>	<u>NAME</u>
655D	RICHARD A. MAIER, JR.
656D	DAVID V. MERTENS
657D*	COREY L. MORNING
658D	JOSE O. HUEZO
659D	ALEJANDRO COVIAN, JR.
660D*	MATTHEW K. SQUIRE
661D	JASON A. BRANDENBURG
662D**	BRIAN K. MORIGUCHI
663D	TYRONE J. BERRY
664D	DAVID K. BENTLEY
665D	ELIZABETH MOLINA

\*Granted SCD – Employer Cannot Accommodate

\*\*Granted SCD – Retroactive Employer Cannot Accommodate

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Law Enforcement (Continued)  
Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
666D*	DOUGLAS IKETANI
667D	STEPHEN A. O'NEAL
668D**	ANGEL A. RODRIGUEZ
669D	DAKOTA A. PALANCA
670D	JOSHUA D. DUBIN

Safety Fire, Lifeguards  
Service-Connected Disability Applications

On a motion by Mr. Bernstein, seconded by Ms. Gray, the Board of Retirement approved a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Knox, Moore, Pryor, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were absent for the vote.

<u>APPLICATION NO.</u>	<u>NAME</u>
1458B	MARSHALL E. OLDHAM
1459B	STEVE W. FRANKLIN
1460B	MARK R. MIHALJEVICH
1461B	FRANKLIN L. WARD

\*Granted SCD – Employer Cannot Accommodate

\*\*Granted SCD – Retroactive

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

Safety Fire, Lifeguards (Continued)

Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
1462B	JOSE R. BARAJAS
1463B	RICHARD L. AMADOR
1464B*	MARK A. TOLBERT (DEC'D)
1465B	STERLING D. CALLAHAN

General Members

Service-Connected Disability Applications

On a motion by Mr. Bernstein, seconded by Mr. Robbins, the Board of Retirement made a motion to approve a service-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were absent for the vote.

<u>APPLICATION NO.</u>	<u>NAME</u>
2359C**	LORRAINE D. BUCK
2360C**	DEBORAH L. CONNAUGHTON
2361C	SALLY A. MOORE
2362C***	CATHERINA C. HARTMAN
2363C***	EBONY D. SMITH

\*Granted SCD – Survivor Benefit

\*\*Granted SCD – Retroactive Employer Cannot Accommodate

\*\*\*Granted SCD – Employer Cannot Accommodate



V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

General Members (Continued)

Service-Connected Disability Applications

<u>APPLICATION NO.</u>	<u>NAME</u>
2364C*	JULIA L. TIPTON
2365C	CHRISTIE L. RODRIGUES (This application was pulled by staff for further development)
2366C*	SHEILA A. FINLEY
2367C*	AKM M. ISLAM
2368C*	ROSALINDA MILLEMAN
2369C	AIDA MINASYAN-ZEITOUNIAN
2370C**	APRIL C. DAVIS
2371C	SCOTT R. GURNETT

General Members

Nonservice-Connected Disability Applications

On a motion by Ms. Gray, seconded by Mr. Knox, the Board of Retirement made a motion to approve a nonservice-connected disability retirement for the following named employees who were found to be disabled for the performance of their duties and have met the burden of proof. The motion passed (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were absent for the vote.

\*Granted SCD – Employer Cannot Accommodate

\*\*Granted SCD - Retroactive

V. DISABILITY RETIREMENT APPLICATIONS ON CONSENT CALENDAR

General Members (Continued)

Nonservice-Connected Disability Applications

APPLICATION NO.

NAME

4414\*

ANDRES A. PEREZ

VI. CONSENT ITEMS

Mr. Kehoe made a motion, Mr. Robbins seconded, to approve Consent Items A-E. The motion passed unanimously (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were not present for the vote.

- A. Recommendation as submitted by Steven P. Rice, Chief Counsel: That, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement and Board of Investments separately consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of each Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that each Board and its Committees shall hold teleconference meetings for the next 30 days, subject to continuation of the State of Emergency, and if so, direct staff to comply with the agenda and public comment requirements of the statute. Action taken by each Board will only apply to that Board and its Committees. (Memo dated March 25, 2022)
- B. Ratification of Service Retirement and Survivor Benefit Application Approvals. (Memo dated March 30, 2022)
- C. Recommendation as submitted by Les Robbins, Chair, Insurance, Benefits and Legislative Committee: That the Board adopt the revised LACERA Legislative Policy. (Memo dated March 21, 2022)
- D. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board grant the appeals and requests for an administrative hearing for applicant Michael S. DeRuyter and Colette N. Chavez. (Memo dated March 18, 2022)

## VI. CONSENT ITEMS (Continued)

- E. Recommendation as submitted by Ricki Contreras, Division Manager, Disability Retirement Services: That the Board dismiss with prejudice Phillip D. Solano's appeal for a service-connected disability retirement. (Memo dated March 17, 2022)

## VII. EXCLUDED FROM CONSENT ITEMS

There were no items excluded from the Consent Items.

## VIII. NON-CONSENT ITEMS

- A. Recommendation as submitted by James C. Beasley, Jr., Supervising Administrative Assistant II: That the Board approve a Professional Services Agreement with Alliant Insurance Services Inc. to serve as LACERA's Insurance Broker. (Memo dated March 23, 2022)

Mr. Beasley was present and answered questions from the Board.

Mr. Kehoe made a motion, Ms. Gray seconded, to approve staff's recommendation. The motion passed unanimously (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were not present for the vote.

- B. Recommendation that the Board approve attendance of Trustees at the Women's Alliance of Saxena White ~ First Annual Diversity Investing Symposium in Delray Beach, Florida on May 5, 2022, approve reimbursement of all travel costs incurred in accordance with LACERA's Trustee Education and Trustee Travel Policies, and grant an exception to the minimum of five hours per day education requirement given the half day schedule of the conference. (Memo dated March 25, 2022) (Placed on the agenda at the request of Ms. Vivian Gray)

Mr. Knox made a motion, Mr. Moore seconded, to approve staff's recommendation. The motion passed unanimously (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, and Ms. Gray voting yes and Mr. Bernstein abstaining. Ms. Zapanta and Mr. Sanchez were not present for the vote.

## IX. REPORTS

The following items were received and filed.

- A. For Information Only as submitted by Gina V. Sanchez, Chair, Audit Committee, regarding Internal Audit's 2022 Quality Assessment Report. (Memo dated March 28, 2022)
- B. For Information Only as submitted by Ricki Contreras, Division Manager, Disability Retirement Services, regarding the Application Processing Time Snapshot Reports. (Memo dated March 21, 2022)
- C. For Information Only as submitted by Barry W. Lew, Legislative Affairs Officer, regarding the Monthly Status Report on Legislation. (Memo dated March 24, 2022)
- D. For Information Only as submitted by Ted Granger, Interim Chief Financial Officer, regarding the following reports:  
Monthly Trustee Travel and Education Reports for February 2022  
(Public Memo dated March 16, 2022)  
(Confidential Memo dated March 16, 2022 – Includes Anticipated Travel)
- E. For Information Only as submitted by Michael D. Herrera, Senior Staff Counsel, regarding Bruce Emerson, et al. v. LACERA (Case No. B309436). (Memo dated March 28, 2022) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)
- F. For Information Only as submitted by Steven P. Rice, Chief Counsel, regarding the March 2022 Fiduciary Counsel Contact and Billing Report. (Memo dated March 29, 2022) (Privileged and Confidential Attorney-Client Communication/Attorney Work Product)

## X. ITEMS FOR STAFF REVIEW

The Board requested that the Investment Quiet List be placed on the monthly CEO Report.

## XI. GOOD OF THE ORDER (For information purposes only)

The Board thanked staff for their work.

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability

APPLICATION NO. & NAME

BOARD ACTION

5240B – VENNICE M. TERRELL, III\*

Ms. Gray made a motion, Mr. Moore seconded, to grant a service-connected disability retirement. The motion passed unanimously (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were not present for the vote.

Mr. Bernstein made a motion, Mr. Kehoe seconded, to reconsider the motion. The motion passed unanimously (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were not present for the vote.

Ms. Gray made a motion, Mr. Moore seconded, to grant a service-connected disability retirement retroactive. The motion passed unanimously (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were not present for the vote.

5241B – BRIAN D. JORDAN\*

Mr. Knox made a motion, Mr. Robbins seconded, to deny a service-connected disability retirement and find the applicant not permanently incapacitated and ineligible for a disability retirement. The motion passed unanimously (roll call) with Messrs. Santos, Knox, Moore, Pryor, Robbins, Bernstein, and Ms. Gray voting yes.

\*Applicant Present

## XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

### A. Applications for Disability (Continued)

#### APPLICATION NO. & NAME

#### BOARD ACTION

5241B – BRIAN D. JORDAN (Continued)

Ms. Zapanta and Mr. Sanchez were not present for the vote.

5242B – ALVIN D. POFF, JR.

Mr. Knox made a motion, Mr. Robbins seconded, to deny a service-connected disability retirement and find the applicant not permanently incapacitated. The motion passed (roll call) with Messrs. Santos, Knox, Pryor, Robbins, Bernstein voting yes; and Mr. Moore and Ms. Gray voting no. Ms. Zapanta and Mr. Sanchez were not present for the vote.

5243B – MARIA D. RIOS

Ms. Gray made a motion, Mr. Knox seconded, to grant a nonservice-connected disability retirement pursuant to Government Code Sections 31720 and 31724. The motion passed unanimously (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were not present for the vote.

5244B – BETHLY L. MILLS

Ms. Gray made a motion, Mr. Robbins seconded, to grant a nonservice-connected disability retirement pursuant to Government Code Section 31720 since the employer cannot accommodate. The motion passed unanimously (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

APPLICATION NO. & NAME

BOARD ACTION

5244B – BETHLY L. MILLS (Continued)

Mr. Sanchez were not present for the vote.

5245B – PAUL G. MURPHY

Mr. Knox made a motion, Mr. Moore seconded, to deny a service-connected disability retirement pursuant to Government Code Section 31722. The motion passed unanimously (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were not present for the vote.

5246B – JOSE DE JESUS LOPEZ

Mr. Knox made a motion, Mr. Kehoe seconded, to deny a service-connected disability retirement and find the applicant not permanently incapacitated since the employer can accommodate. The motion passed (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, and Bernstein voting yes; and Ms. Gray voting no. Ms. Zapanta and Mr. Sanchez were not present for the vote.

5137B – MARISOL MORALES

Mr. Santos made a motion, Mr. Knox seconded, to grant a nonservice-connected disability retirement pursuant to Government Code Sections 31720 and 31724. The motion passed unanimously (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were not present for the vote.

XII. DISABILITY RETIREMENT CASES TO BE HELD IN CLOSED SESSION

A. Applications for Disability (Continued)

APPLICATION NO. & NAME

BOARD ACTION

5193B – DREUSHON N. JONES (DEC'D) Ms. Gray made a motion, Mr. Kehoe seconded, to grant a service-connected disability retirement pursuant to Government Code sections 31720 and 31724. The motion passed (roll call) with Messrs. Santos, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes; and Mr. Knox voting no. Ms. Zapanta and Mr. Sanchez were not present for the vote.

5195B – KENNETH M. BENNETT

Mr. Moore made a motion, Ms. Gray seconded, to grant a service-connected disability retirement. The motion failed (roll call) with Messrs. Moore, Kehoe, and Ms. Gray voting yes; and Messrs. Knox, Santos, Robbins, Bernstein voting no. Ms. Zapanta and Mr. Sanchez were not present for the vote.

Mr. Harris made a motion, Mr. Knox seconded, to grant a retroactive nonservice-connected disability retirement without prejudice and refer for a second opinion. The motion passed unanimously (roll call) with Messrs. Santos, Knox, Moore, Kehoe, Robbins, Bernstein, and Ms. Gray voting yes. Ms. Zapanta and Mr. Sanchez were not present for the vote.

XIII. ADJOURNMENT

There being no further business to come before the Board, the meeting was

adjourned at 11:14 a.m.



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ALAN BERNSTEIN, SECRETARY

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WILLIAM PRYOR, CHAIR

April 25, 2022

TO: Each Trustee,  
Board of Retirement  
Board of Investments

FROM: Santos H. Kreimann *SHK*  
Chief Executive Officer

SUBJECT: **CHIEF EXECUTIVE OFFICER'S REPORT – MAY 2022**

The following Chief Executive Officer's Report highlights key operational and administrative activities that have taken place during the past month.

### **Strategic Plan Update**

The executive team, in partnership with KH Consulting, held Strategic Planning virtual kick-off meetings with all staff. The focus of the kick-off meetings was to provide the LACERA staff with a framework of the Strategic Planning process and the various organizational activities that will help inform the Strategic Plan. The Strategic Planning Advisory Team is made up of members from the Board of Retirement (BOR) and Board of Investments (BOI), executive management team, and LACERA leaders. The Advisory team will keep staff and members regularly informed of important Strategic Planning information, dates, activities, and status updates throughout the process.

### **2022 Safety Member Elections**

Elections will be held Friday, August 5 through Wednesday, August 31, 2022 for the safety member trustee seats on both boards. The positions are: seventh trustee seat and alternate safety trustee seat on the Board of Retirement and the fourth trustee seat on the Board of Investments. The trustees' three-year terms will run from 2023 through 2025.

Earlier in April we met with the Executive Office of the Board of Supervisors (EO), which oversees the elections, and discussed the upcoming timeline. While the team continues holding meetings to ensure a smooth coordination of efforts with the County and to work out final details, we have established the following:

- Safety members who were active as of April 15, 2022 (hired on or before that date) will be eligible to vote and/or be candidates in the election.
- The EO will provide election notices, including the candidate filing deadline, to safety department heads on or before Monday, May 2, 2022.
- LACERA will email an election notice to safety members regarding the nomination process on or before May 19, 2022, as approved by the EO.

- Candidate filing starts Thursday, May 19 and ends Friday, June 17, 2022. Nomination packets will be available during that time from the Registrar-Recorder/County Clerk, 12400 Imperial Highway, Norwalk, CA 90650, or via email at [electionplanning@rrcc.lacounty](mailto:electionplanning@rrcc.lacounty).
- Voting will be electronic. Members will be mailed and emailed credentials for online and telephonic voting.
- LACERA's boards would like to include paper ballots by request; this request to the County is pending and will be decided at a later date.

In the meantime, LACERA is promoting the elections and the “call for nominations” announcement in the June issue of *PostScript*. *Due to the newsletter schedule, our newsletter will reach members in the middle of the nomination period.* LACERA will also provide election details (reminders, where to find information on how to vote, etc.) to members in coordination with the County's email blasts. The schedule is still being finalized.

Additionally, during our initial meeting with the Executive Office, we requested that this year's election allow an electronic nomination process. In past elections, interested candidates were required to gather “wet signatures” of a specific number of eligible voters in the election on a physical petition. With the use of electronic signatures becoming more prominent, and considering the risks of the pandemic, an electronic process is safer and more efficient. The Executive Office included this in their process and interested candidates will have two options for signature collections – a traditional “wet signature” or an electronic process. Interested candidates can obtain a nomination package form the County Registrar-Recorder/County Clerk.

### **Return-to-Office**

The executive team is excited to officially welcome LACERA staff members back to the office effective Monday, May 2, 2022. Staff will transition back to the office in a hybrid format. LACERA's telework policy (LTP) was released to all staff on April 19, 2022. This marks a significant milestone for LACERA, as we transition our telework program from a pandemic phase to a permanent hybrid flexible work option. The LTP demonstrates LACERA's commitment to provide another flexible work option for our employees.

### **Recruitment Updates**

#### *Vacancies and Hiring*

Filling vacant positions continues to be a high priority. Member facing Divisions, Investments Division, Systems Division, and Legal Division are the top priorities. The Executive team, HR, and our Investments Division have met to clearly outline their hiring priorities and develop a recruitment strategy. We plan to take a similar approach with other divisions. The Executive management and HR teams will review the organizational recruitment plan at least quarterly to ensure the priorities are still appropriate given attrition that may have occurred in the prior quarter.

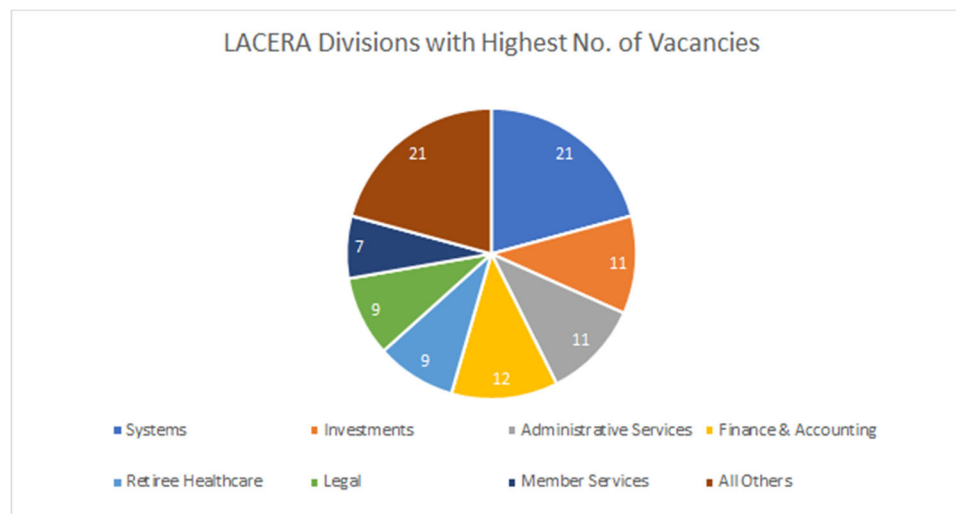
The current hiring priority in the Investments Division recruitment efforts is the Senior Investment Officer. Executive Management, HR and Investments are working on an expedited recruitment plan for Finance Analyst III. There are eleven (11) vacancies in Investments (24% vacancy rate) and below is a summary of the recruitment status of these positions.

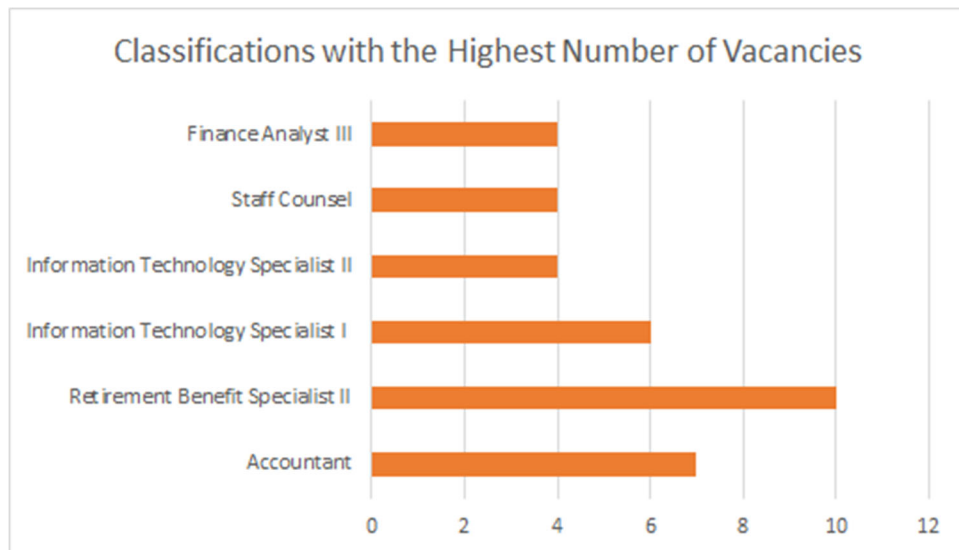
### Status of vacant positions in the Investments Division

Classification	# of Vacancies	LACERA Priority	Recruitment Stage
Deputy Chief Investment Officer	1	Tier 2 – December 2022	Outsourced Recruitment
Senior Investment Officer (SIO)	1	Tier 1 – June 2022	4 - Recruitment
Finance Analyst III (FA III)	4	Tier 1 – June 2022	4 – Recruitment
Finance Analyst II (FA II)	2	Tier 2 – December 2022	1 – Exam Requested
Senior Management Secretary	1	Tier 2 – December 2022	n/a
Principal Investment Officer (PIO)	1	Tier 2 – December 2022	n/a
Senior Investment Officer	1	Unassigned	n/a

*Recruitment Stages: (1) Exam requested, (2) Classification review, (3) Test development, (4) Resume canvas, (5) Recruitment, (6) Assessment qualification, (7) Assessment review, (8) List promulgated, (9) Division interviews, (10) Background check, (11) Onboarding*

LACERA has 508 budgeted positions, of which 101 are vacant (20% vacancy rate). The Divisions with the highest number of vacancies, and the classifications with the highest number of vacancies, are shown below.





### *Development*

Human Resources works with the hiring Division to review the classification description, create an ideal candidate profile, and discuss the assessment process. This information is used by HR to create the job bulletin and recruiting brochure (management positions only). The recruitments/assessments are in development for Finance Analyst III, Accountant, and Retirement Systems Specialist.

### *Recruiting & Assessment*

The Human Resources Team opened the recruitment for Senior Investment Officer in Real Estate and Finance Analyst III in Real Estate. The application period for Senior Accountant will reopen to accept additional applications with the intent of filling the remaining vacancy. The application period for Staff Counsel will reopen to accept additional applications with a recruiting emphasis on Investments.

A Request for Proposal to solicit executive recruitment services to fill items such as the Deputy Chief Investment Officer, Chief Financial Officer, Chief Information Technology, and Information Security Officer, Principal Investment Officer, and Senior Investment Officer positions closed on March 22, 2022, the RFPs are currently being reviewed. Human Resources will move forward with a revised timeline.

### *Hiring*

The Eligible List for the Principal Investment Officer was promulgated, and an appointment (promotion) was made. The Data Systems Analyst I Eligible List was promulgated and, so far, one offer (promotion) has been made. The Senior Staff Counsel and Staff Counsel candidates cleared the background process and are expected to start by mid-May. A candidate for the Interdepartmental Transfer opportunity for the accountant position has completed the background process and is expected to start in mid-May.

## **Retiree Healthcare (RHC) Update**

### *Medicare Will Cover Over-the-Counter COVID-19 Tests*

In the March 2022 CEO report, staff reported that the Centers for Medicare & Medicaid Services (CMS) had announced that Medicare would cover up to eight over-the-counter COVID-19 tests per month for Medicare participants by early spring.

As of April 4, 2022, Medicare began covering over-the-counter Covid-19 tests with no upfront cost to participants through a national demonstration program. The decision to provide eight rapid tests per month during the public health emergency (PHE) marks the first time the program is covering an over-the-counter product. Some of the pharmacy chains that are participating include: Albertsons, Costco, CVS, Kroger, Rite Aid, Walgreens, and Walmart. Participants can also check with their local pharmacies or providers to see if they are participating or call 1-800-MEDICARE to find locations. The coverage will last until the public health emergency ends. Medicare will only cover the tests for those who have Medicare Part B (Medical insurance). Medicare will not cover over-the-counter COVID-19 tests if an individual has Part A (Hospital insurance) only.

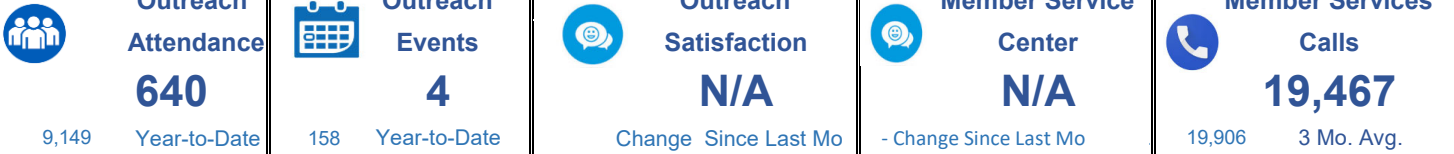
### *\$1 Million Lifetime Maximum Benefit (LMB) for Non-Medicare Plans - Update*

LACERA staff continues to be engaged with the Board of Supervisors and County of Los Angeles CEO's office on the elimination of the \$1 million dollar Lifetime Maximum Benefit (LMB). The LMB applies to over 8,700 County active members and retirees enrolled now (or in the future) in the PPO and indemnity plan options.

The County CEO's office has recently provided LACERA its actuarial analysis on the \$1 million LMB. Staff, in partnership with our Healthcare consultant and actuary, is reviewing the report and will look to schedule a follow-up meeting with County staff to discuss the findings. We will continue to work through the situation and will inform the Board Trustees once the meeting is scheduled between the County, BOS, and LACERA.

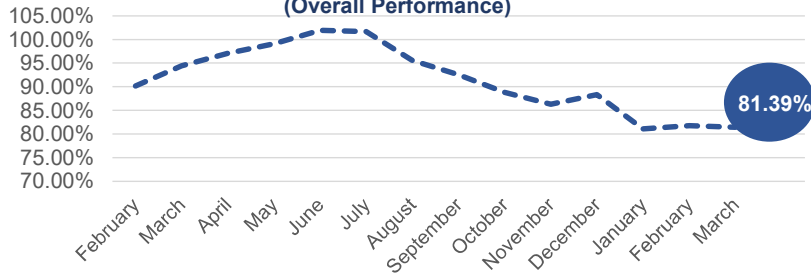
## Striving for Excellence in Service

Service Metrics Reported on a Fiscal Year Basis (July 1) Through: March 2022

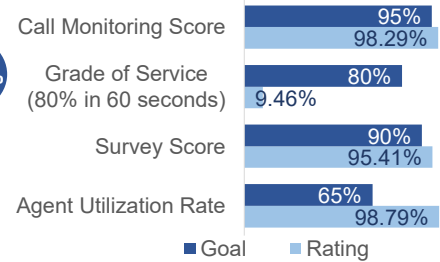


### Key Performance Indicator (Overall Performance)

Goal: 100%



### Key Performance Indicator (Components)



## Member Services



### Top Calls

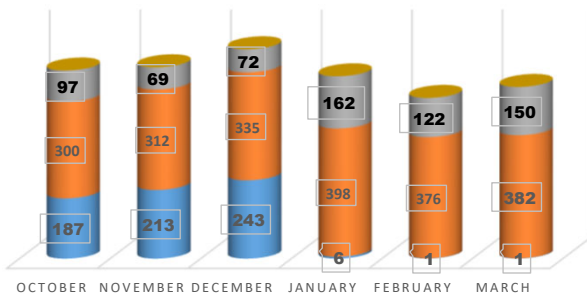
1. Retirement Counseling: Process Overview
2. Retirement Counseling: Estimate
3. Retirement Counseling: Plan Overview

**Emails 1,130**  
24:00 hours  
Avg. Response Time (ART)

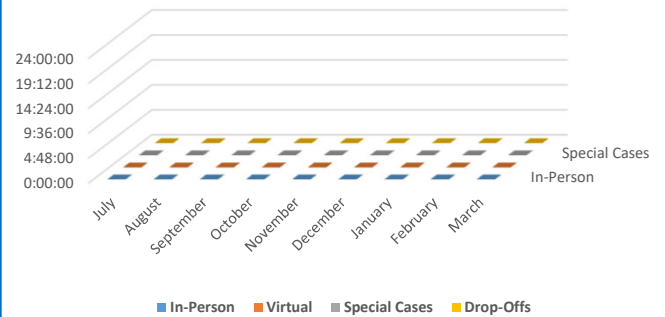
**Secure Messages 1,626**

### Member Service Center Appointments

In-Person Virtual Phone Only Special Cases

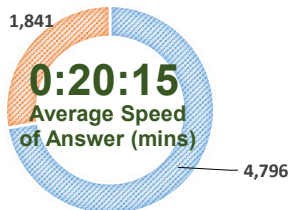


### Member Service Center Average Wait Times



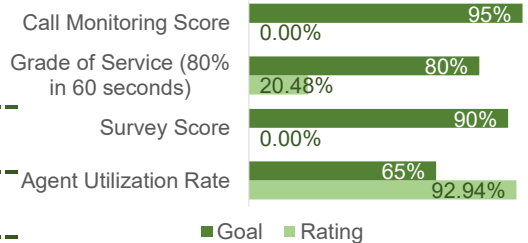
## Retiree Healthcare

Total RHC Calls: 6,637



### Top Calls

1. Medical/Dental Enrollments
2. Medicare Part B Inquiries
3. General Inquiries



**Emails 427**  
5 Days  
Avg. Response Time (ART)

**Secure Messages 535**

## Striving for Excellence in Service (Continued)

### Applications

**853**

In Process  
As Of:  
3/31/2022

823 Pending on: 2/28/2022

95 Received

580 Year-to-Date

0 Re-Opened

0 Year-to-Date

60 To Board - Initial

422 Year-to-Date

5 Closed

43 Year-to-Date

### Appeals

**70**

In Process  
As Of:  
3/31/2022

70 Pending on: 2/28/2022

1 Received

18 Year-to-Date

1 Admin Closed/Rule 32

22 Year-to-Date

0 Referee Recommended

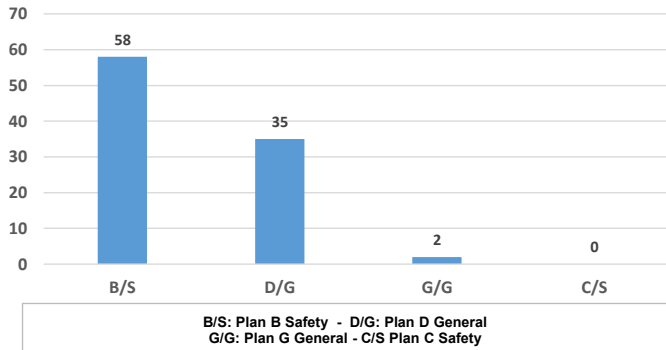
6 Year-to-Date

0 Revised/Reconsidered for Granting

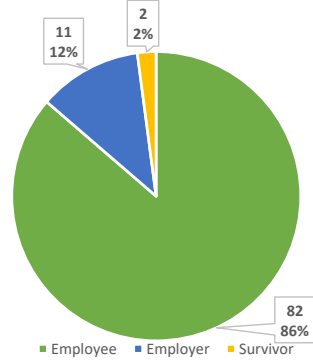
0 Year-to-Date

Disability

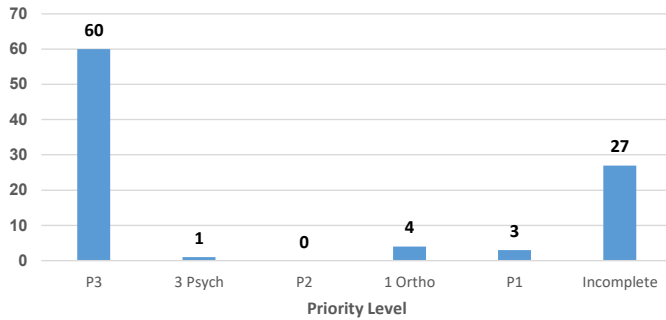
Applications Filed By Plan



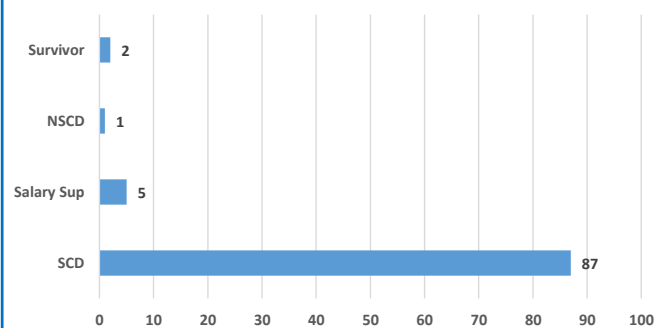
Applications Filed By Source



Applications Filed By Priority Level

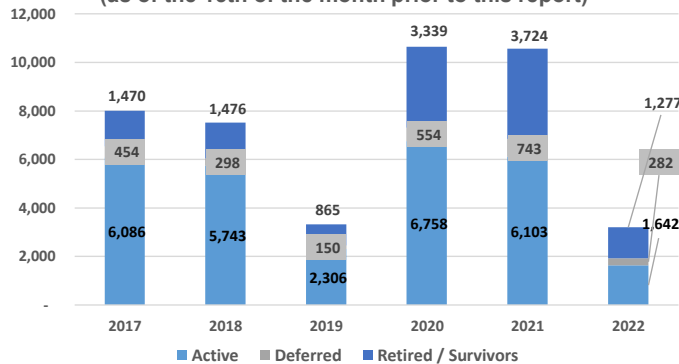


Applications Filed By Type



My LACERA

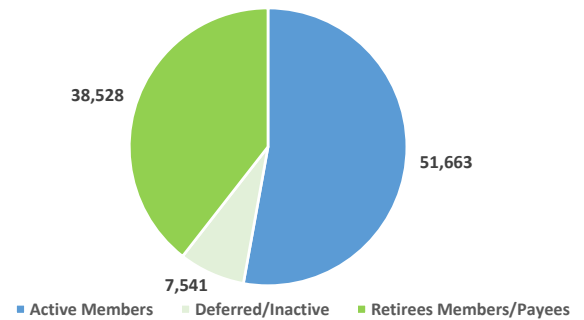
MyLACERA Annual Registration  
(as of the 15th of the month prior to this report)



97,732

58%

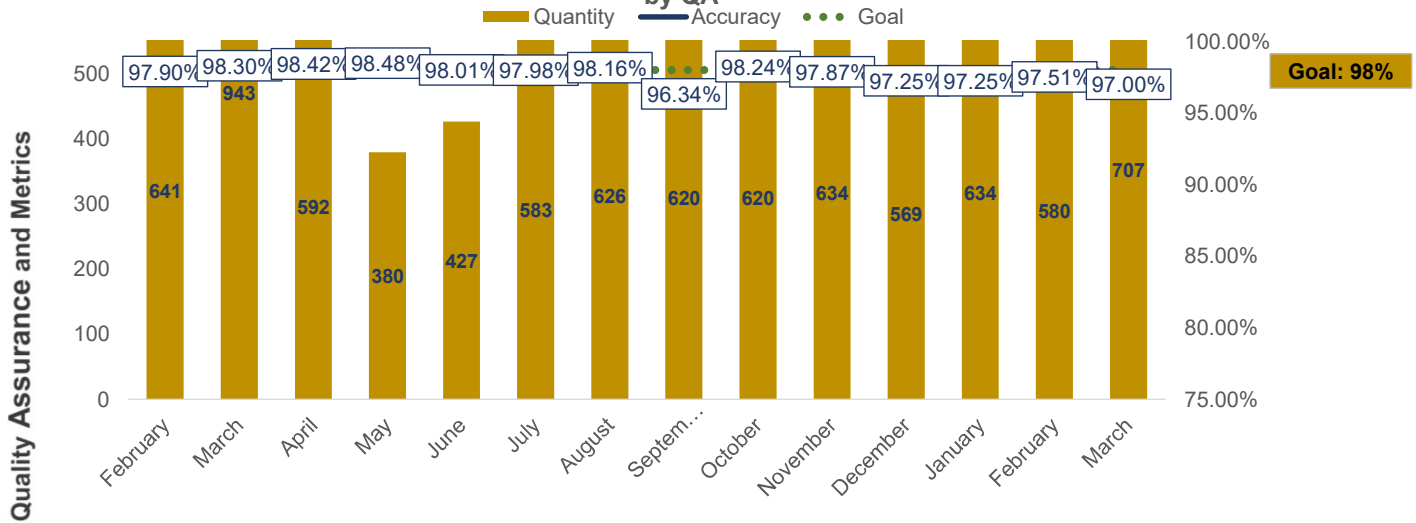
Total Registrations By Member Type





## Striving for Excellence in Quality

### Audits of Retirement Elections, Payment Contracts, and Data Entry Completed by QA



March 2022



97.00%

Retirement Elections

417 Samples  
98.29% Accuracy

Payment Contracts

213 Samples  
93.57% Accuracy

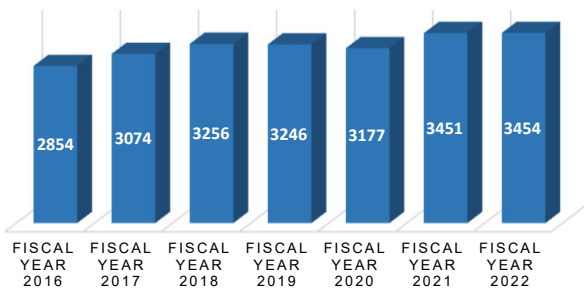
Data Entry

77 Samples  
99.14% Accuracy

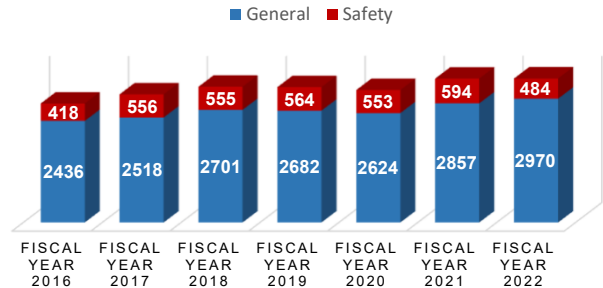
## MEMBER SNAPSHOT

Members as of 04/15/2022					
General	Plan	Active	Retired	Survivors	Total
	Plan A	56	14,140	4,186	18,382
	Plan B	15	646	70	731
	Plan C	18	422	65	505
	Plan D	36,150	19,800	1,796	57,746
	Plan E	14,217	14,798	1,488	30,503
	Plan G	32,850	167	14	33,031
	Total General	83,306	49,973	7,619	140,898
Safety	Plan A	1	4,593	1,650	6,244
	Plan B	8,158	7,360	383	15,901
	Plan C	4,737	19	2	4,758
	Total Safety	12,896	11,972	2,035	26,903
TOTAL MEMBERS		96,202	61,945	9,654	167,801
% by Category		57%	37%	6%	100%

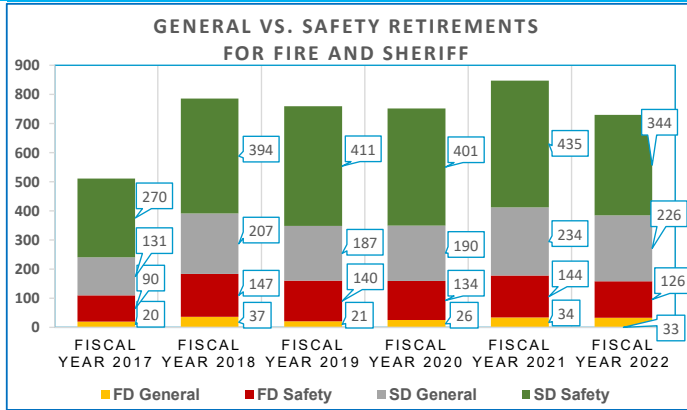
### RETIREMENTS BY YEAR



### ANNUAL RETIREMENTS BY TYPE



## MEMBER SNAPSHOT (Continued)



SPACE AVAILABLE FOR FUTURE EXPANSION

### Average Monthly Benefit Allowance Distribution April 22, 2022

	General	Safety	Total	%
\$0 to \$3,999	30,046	1,500	31,546	51.00%
\$4,000 to \$7,999	14,138	3,462	17,600	28.46%
\$8,000 to \$11,999	4,041	4,287	8,328	13.47%
\$12,000 to \$15,999	1,123	2,104	3,227	5.22%
\$16,000 to \$19,999	369	428	797	1.29%
\$20,000 to \$23,999	114	136	250	0.40%
\$24,000 to \$27,999	31	42	73	0.12%
> \$28,000	24	4	28	0.05%
<b>Totals</b>	<b>49,886</b>	<b>11,963</b>	<b>61,849</b>	<b>100%</b>

**Average Monthly Benefit Allowance: \$ 4,595.00**

### Healthcare Program

(Mo. Ending: 3/31/2022)

	Employer	Member
Medical	\$436.4	\$32.4
Dental	\$34.8	\$3.4
Part B	\$60.8	\$0.0
<b>Total</b>	<b>\$532.0</b>	<b>\$35.8</b>

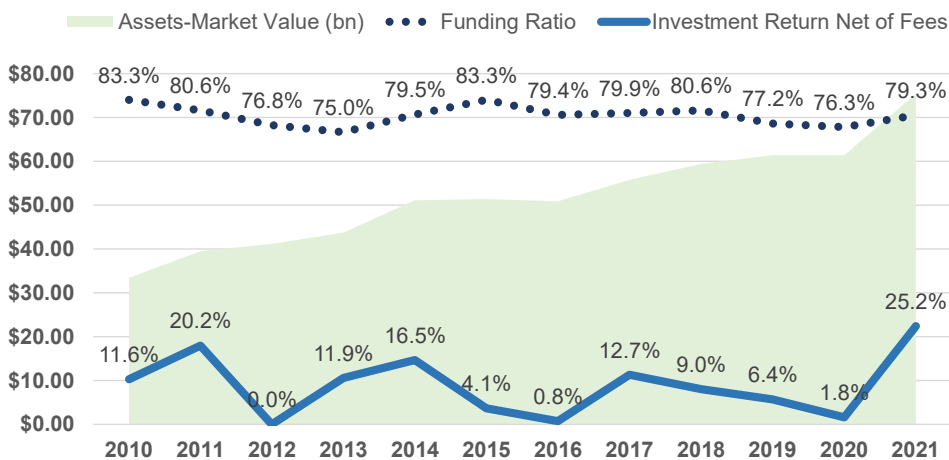
### Healthcare Enrollments

(Mo. Ending: 3/31/2022)

Medical	53,378
Dental	55,059
Part B	36,756
LTC	546
<b>Total</b>	<b>145,739</b>

## Key Financial Metrics

### Fiscal Year End Financial Update (as of 06/30/2021)



### Funding Metrics

(as of 6/30/21)

Employer NC	10.88%
UAAL	13.58%
Assumed Rate	7.00%
Star Reserve	\$614m
Total Assets	\$73.0b

### Contributions

(as of 6/30/21)

	Employer	Member
Annual Add	\$2.0b	\$761.0m
% of Payroll	24.46%	7.87%

### TOTAL FUND RETURN

(Net of Fees)

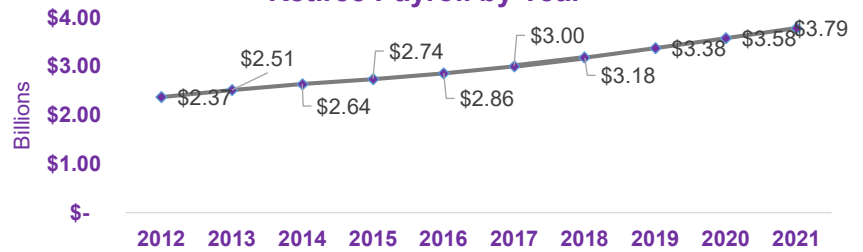
5 YR: 10.8% 10 YR: 8.6%

### Retired Members Payroll

(As of 3/31/2022)

Monthly Payroll	\$340.22m
Payroll YTD	\$3.0b
New Retired Payees Added	426
Seamless %	96.48%
New Seamless Payees Added	3,436
Seamless YTD	96.16%
By Check %	2.00%
By Direct Deposit %	98.00%

### Retiree Payroll by Year



**QUIET PERIOD LIST**  
**Administrative/Operations**  
 Last Update: 4/20/2022

RFP/RFI Name	Issuing Division	RFP Issued	Status*	Quiet Period for RFP Respondents*
Search for Classification & Compensation Study Services (HR)	Human Resources	5/24/2021	Bid Review	<ul style="list-style-type: none"> <li>• Koff and Associates</li> <li>• Magnova Consultant</li> <li>• Grant Thornton</li> <li>• Reward Strategy Group</li> </ul>
Search for Classification & Compensation Study Services (RHC)	Human Resources	5/24/2021	Bid Review	<ul style="list-style-type: none"> <li>• Koff and Associates</li> <li>• Magnova Consultant</li> <li>• Grant Thornton</li> <li>• Reward Strategy Group</li> </ul>
Contract Lifecycle Management Application	Administrative Services/ Systems	3/4/2022	Reviewing Proposals Submitted by RFP Respondents	<ul style="list-style-type: none"> <li>• Agiloft</li> <li>• Cobblestone</li> <li>• DocuSign</li> <li>• Icertis</li> <li>• Ironclad</li> <li>• Pantheon</li> <li>• Periscope</li> <li>• SimpliContract INC</li> <li>• Sysintellecs LLC</li> </ul>
Strategic Planning Consultant	Board Offices	8/23/2021	Contract Negotiation	<ul style="list-style-type: none"> <li>• KH Consulting</li> </ul>
Case Management Software Solution	Systems Division	10/6/2021	Presenting Recommendation to the BOR on May 4 <sup>th</sup> .	<ul style="list-style-type: none"> <li>• Eccentex</li> <li>• MERP Systems</li> <li>• RGB Projects</li> <li>•</li> </ul>
Insurance Brokerage Services	Administrative Services	1/5/2022	Contract Negotiation	<ul style="list-style-type: none"> <li>• Alliant Insurance Services</li> <li>• Gallagher Risk Management Services</li> <li>• Liberty Company Insurance Brokers</li> <li>• Seeman Holtz/ Kaercher Campbell</li> </ul>
Budget Software	Administrative Services/ Systems	3/10/2022	RFP Review	<ul style="list-style-type: none"> <li>• Neubrain</li> <li>• ClearGov</li> <li>• Workday</li> <li>• Vena</li> <li>• Prophix</li> <li>• Questica</li> </ul>

RFP/RFI Name	Issuing Division	RFP Issued	Status*	Quiet Period for RFP Respondents*
				<ul style="list-style-type: none"> <li>• Denovo/Oracle</li> <li>• Board</li> <li>• TruEd/TruGov</li> <li>• IGM/Gravity</li> </ul>
Executive Recruitment Services	Human Resources	2/23/2022	Bid Review	<ul style="list-style-type: none"> <li>• Alliance Consulting</li> <li>• David Gomez Partners, Inc</li> <li>• EFL</li> <li>• Ralph Anderson</li> <li>• Spencer Stuart</li> <li>• WBCP</li> </ul>

\*Subject to change

## INVESTMENTS QUIET PERIOD FOR SEARCH RESPONDENTS

### Custody Bank Search

- ✓ State Street Bank and Trust Co.

### Illiquid Credit Emerging Manager Program Search

- ✓ BlackRock Alternative Advisors
- ✓ Blackstone Alternative Asset Management
- ✓ Cambridge Associates
- ✓ GCM Grosvenor
- ✓ Stable Asset Management

Date	Conference
<b>May, 2022</b>	
1-3	CRCEA (California Retired County Employees Association) Spring Conference Virtual
1-4	Milken Institute Global Conference Los Angeles, CA
5	Women's Alliance of Saxena White First Annual Diversity Investing Symposium Delray Beach, FL
10-13	SACRS Spring Conference Rancho Mirage, CA
21-22	NCPERS (National Conference on Public Employee Retirement Systems) Trustee Educational Seminar (TEDS) Washington, D.C.
21-22	NCPERS (National Conference on Public Employee Retirement Systems) Program for Advanced Trustee Studies (PATs) Washington, D.C.
21-22	NCPERS (National Conference on Public Employee Retirement Systems) Accredited Fiduciary (NAF) Program Washington, D.C.
22-25	NCPERS (National Conference on Public Employee Retirement Systems) Annual Conference & Exhibition (ACE) Washington, D.C.
23-24	IFEBP (International Foundation of Employment Benefit Plans) Washington Legislative Update Washington D.C.
<b>June, 2022</b>	
5-8	Government Finance Officers Association (GFOA) Annual Conference Austin, TX
8-9	2022 PREA (Pension Real Estate Association) Institute Emory University Atlanta, GA
14-15	19 <sup>th</sup> Annual Consortium 2022 Diverse plus Women Managers Conference New York, NY
21-23	AHIP (America's Health Insurance Plans) Institute Las Vegas, NV
24	CALAPRS (California Association of Public Retirement Systems) Round Table – Benefits Virtual

**Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.**

**For further information, contact:  
LACERA  
Attention: Public Records Act Requests  
300 N. Lake Ave., Suite 620  
Pasadena, CA 91101**

April 26, 2022

TO: Each Trustee,  
Board of Retirement  
Board of Investments

FROM: Steven P. Rice, *SPR*  
Chief Counsel

FOR: May 5, 2022 Board of Retirement Meeting  
May 11, 2022 Board of Investments Meeting

SUBJECT: Approval of Teleconference Meetings Under AB 361 and Government Code Section 54953(e)

### **RECOMMENDATION**

That, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement and Board of Investments separately consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of each Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that each Board and its Committees shall hold teleconference meetings for the next 30 days, so long as the State of Emergency remains in effect, and direct staff to comply with the agenda and public comment requirements of the statute. Action taken by each Board will only apply to that Board and its Committees.

### **LEGAL AUTHORITY**

Under Article XVI, Section 17 of the California Constitution, the Boards have plenary authority and exclusive fiduciary responsibility for the fund's administration and investments. This authority includes the ability of each Board to manage their own Board and Committee meetings and evaluate legal options for such meetings, such as whether to invoke teleconferencing of meetings under AB 361 and Government Code Section 54953(e) of the Brown Act to protect the health and safety of Trustees, staff, and the public. The Boards previously took this action at their meetings since October 2021. Findings made under this memo will be effective for meetings during the next 30 days, so long as the State of Emergency remains in effect.

### **DISCUSSION**

#### ***A. Summary of Law.***

On September 16, 2021, the Governor signed AB 361 which enacted new Government Code Section 54953(e) of the Brown Act to put in place, effective immediately and through

December 31, 2023, new teleconferencing rules that may be invoked by local legislative bodies, such as the LACERA Boards, upon making certain findings and following certain agenda and public comment requirements.

Specifically, Section 54953(e)(3) provides that the Boards may hold teleconference meetings without the need to comply with the more stringent procedural requirements of Section 54953(b)(3) if a state of emergency under Section 8625 of the California Emergency Services Act impacts the safety of in person meetings or state or local officials have imposed or recommended social distancing rules, provided that the Board makes the following findings by majority vote:

(A) The Board has considered the circumstances of the state of emergency; and

(B) Any of the following circumstances exist:

- (i) The state of emergency continues to directly impact the ability of the Trustees to meet safely in person; or
- (ii) State or local officials continue to impose or recommend measures to promote social distancing.

If each Board makes the required findings, that Board and its Committees may hold teleconference meetings for the next 30 days without the need to comply with the regular rules of Section 54953(b)(3) provided that: agendas are prepared and posted under the Brown Act; members of the public are allowed to access the meeting via a call-in option or an internet-based service option; and the agenda provides an opportunity for public comment in real time and provides notice of the means of accessing the meeting for public comment.

***B. Information Supporting the Required Findings and Process if the Boards Determine to Invoke Section 54953(e).***

The Governor's State of Emergency for the COVID-19 pandemic as declared in the Proclamation of a State of Emergency dated March 4, 2020 remains active. The Proclamation was issued under the authority of Section 8625 of the California Emergency Services Act. It is unclear when the State of Emergency will end, although the Governor recently extended certain COVID-19 emergency provisions only to March 31, 2022 and has actively terminated other emergency provisions. See Order No. N-21-21, issued November 10, 2021, Order No. N-04-22, issued February 25, 2022.

The Los Angeles County Department of Public Health maintains guidance to "Keep your distance. Use two arms lengths as your guide (about 6 feet) for social distancing with people outside your household when you are not sure that they are vaccinated." <http://publichealth.lacounty.gov/acd/ncorona2019/reducingrisk/>. The County Public Health Department also maintains guidance that employers should, "Whenever possible,



take steps to reduce crowding indoors and encourage physical distancing: ... Limiting indoor occupancy to increase the physical space between employees at the worksite, between employees and customers, and between customers. For some establishments, telework may be an option to consider.”  
<http://publichealth.lacounty.gov/acd/ncorona2019/bestpractices/>.

As further indication of the County’s interpretation of the impact of the State of Emergency on public meetings, at its April 26, 2022 meeting, the Board of Supervisors voted to find that the State of Emergency remains active and “local officials continue to recommend measures to promote social distancing” and that the Board of Supervisors shall continue to hold teleconference meetings under the terms of Assembly Bill 361 and Government Code Section 54953(e)(3).

The City of Pasadena (City), where LACERA’s offices are located and Board and Committee meetings are held, has substantially revised its guidance to give more flexibility. The City still offers guidance that businesses recognize that COVID-19 continues to pose a risk to communities, and it is important for employers to continue to take steps to reduce the risk of COVID-19 transmission among their workers and visitors.  
<https://www.cityofpasadena.net/economicdevelopment/covid-19-business-resources/>.

Earlier guidance promoting physical distancing by business also remains posted on the City’s COVID web page as a reference. As of the date of this memo, the City Council continues to hold its meetings by videoconference/teleconference, although some council members have started to attend in person in the council chambers.

The Centers for Disease Control and Prevention (CDC) has recently updated its guidance, but the CDC still advises the public to “Stay 6 feet away from others” and that, “Indoors in public: “If you are not up to date on COVID-19 vaccines, stay at least 6 feet away from other people, especially if you are at higher risk of getting very sick with COVID-19.”  
<https://www.cdc.gov/coronavirus/2019-ncov/prevent-getting-sick/prevention.html#stay6ft%20>.

Under these circumstances, the Boards may reasonably conclude and find that teleconferencing under Section 54953(e) is appropriate for Board and Committee meetings during the next 30 days, so long as the State of Emergency remains in effect, because (1) the State of Emergency continues to impact the ability of the Trustees to meet safely in person, and (2) the County and other authorities continue to recommend measures to promote a safe workplace, including physical distancing, as required by the statute.

If each Board makes these findings and directs teleconferencing under Section 54953(e), procedures exist and will be implemented to ensure compliance with the agenda and

public comment requirements of the statute, as stated above.

Finally, while the pandemic continues to present a significant health risk, as the virus presents itself in different variants, LACERA staff will return to the office on May 2, 2022. Staff's return to office will be under hybrid work schedules for most employees, including both on site work and continued teleworking. The return to office for staff is made under COVID safety protocols, including vaccination and testing procedures and encouraging masks and social distancing. When the Boards decide to return to in person meetings, management will develop protocols for the boardroom, which will present separate safety challenges, including, for example, the small size of the room, ingress and egress, and public access and comment requirements.

### **CONCLUSION**

Based on the above information, staff recommends that, under AB 361 and Government Code Section 54953(e)(3) of the Brown Act, the Board of Retirement and Board of Investments separately consider whether to find that the Governor's COVID-19 State of Emergency continues to directly impact the ability of each Board and its Committees to meet safely in person and that the County of Los Angeles and other agencies still recommend social distancing such that each Board and its Committees shall hold teleconference meetings for the next 30 days, so long as the State of Emergency remains in effect, and if so, direct staff to comply with the agenda and public comment requirements of the statute. Action taken by each Board will only apply to that Board and its Committees.

c: Santos H. Kreimann  
Jonathan Grabel

Luis Lugo  
Laura Guglielmo

JJ Popowich  
Carly Ntoya

April 27, 2022

**TO:** Trustees, Board of Retirement

**FOR:** Board of Retirement Meeting on May 5, 2022

**SUBJECT:** Ratification of Service Retirement and Survivor Benefit Application Approvals

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The attached report reflects service retirements and survivor benefit applications received as of the date of this memo, along with any retirement rescissions and/or changes approved at last month's Board meeting. Any retirement rescissions or changes received after the date of this memo up to the date of the Board's approval, will be reflected in next month's report.

# BOARD OF RETIREMENT MEETING OF MAY 5, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ROBERT A. APODACA	L A COUNTY FIRE DEPT Dept.#FR	03-30-2022	32 YRS 09 MOS
JOSE D. BARRIOS JR.	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	30 YRS 09½ MOS
BRETT M. BINDER	SHERIFF Dept.#SH	04-23-2022	25 YRS 07 MOS
DAVID A. BROADWELL	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	30 YRS 11½ MOS
MATTHEW J. BURSON	SHERIFF Dept.#SH	03-31-2022	32 YRS 09½ MOS
KURT A. BUXKEMPER	SHERIFF Dept.#SH	05-31-2022	31 YRS 01 MOS
GARY H. CHAN	SHERIFF Dept.#SH	03-26-2022	26 YRS 02½ MOS
HARVEY M. CROSTHWAITE	SHERIFF Dept.#SH	03-31-2022	34 YRS 01½ MOS
DANIEL D. DEVILLE	SHERIFF Dept.#SH	05-31-2022	25 YRS 03½ MOS

# BOARD OF RETIREMENT MEETING OF MAY 5, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ROBERT M. GATES	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	29 YRS 10 MOS
GORDON C. GRAY	SHERIFF Dept.#SH	03-31-2022	32 YRS 11½ MOS
GARY L. HARMAN	SHERIFF Dept.#SH	03-30-2022	31 YRS 10 MOS
LAJUANA J. HASELRIG	SHERIFF Dept.#SH	03-31-2022	33 YRS 01½ MOS
ROOSEVELT HENDERSON	L A COUNTY FIRE DEPT Dept.#FR	03-29-2022	27 YRS 10 MOS
JONATHAN K. HOYT	SHERIFF Dept.#SH	03-31-2022	33 YRS 08½ MOS
ALLEN H. JACOBS	SHERIFF Dept.#SH	03-31-2022	32 YRS 05½ MOS
STEVE L. JAUCH	SHERIFF Dept.#SH	04-27-2022	31 YRS 00 MOS
CHARLES J. KOVACH	SHERIFF Dept.#SH	03-31-2022	31 YRS ½ MOS

# BOARD OF RETIREMENT MEETING OF MAY 5, 2022

## BENEFIT APPROVAL LIST

### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ANDREW S. MADLENER	L A COUNTY FIRE DEPT Dept.#FR	03-30-2022	23 YRS 01 MOS
ALFRED E. MARTINEZ	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	30 YRS 05½ MOS
BRIAN R. MCCORMICK	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	31 YRS 07½ MOS
BRADD A. MOLNER	SHERIFF Dept.#SH	03-27-2022	36 YRS 03 MOS
BRIAN T. MORGAN	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	30 YRS 06½ MOS
RUBEN MUNOZ	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	26 YRS ½ MOS
JANET M. OBRYAN	SHERIFF Dept.#SH	03-31-2022	25 YRS 01½ MOS
DONALD E. RUBIO	SHERIFF Dept.#SH	03-31-2022	30 YRS 11½ MOS
DAN M. SHUFORD	L A COUNTY FIRE DEPT Dept.#FR	03-31-2022	29 YRS 02½ MOS

**BOARD OF RETIREMENT MEETING OF MAY 5, 2022**

**BENEFIT APPROVAL LIST**

**SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT**

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
KATINA R. STREET	DISTRICT ATTORNEY Dept.#DA	03-31-2022	31 YRS 01½ MOS

# BOARD OF RETIREMENT MEETING OF MAY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
FERNANDO ACOSTA JR	SHERIFF Dept.#SH	04-03-2022	25 YRS 01½ MOS
LAURA J. ADLERSBERG	AMBULATORY CARE NETWORK Dept.#HN	04-30-2022	41 YRS 00 MOS
EVIK AHARONIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-31-2022	27 YRS 03 MOS
ALICIA M. ALEMANY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	32 YRS 06½ MOS
LUPE ALVARADO	AMBULATORY CARE NETWORK Dept.#HN	04-05-2022	41 YRS 07 MOS
CAREN L. ALWIN	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	04-30-2022	33 YRS 10 MOS
OFORI K. AMOAH	PUBLIC WORKS Dept.#PW	03-31-2022	37 YRS 05½ MOS
ANTHALANETTE ANDREWS	CHILDREN & FAMILY SERVICES Dept.#CH	04-30-2022	22 YRS 06½ MOS
ALBERT E. ANIDI	PUBLIC WORKS Dept.#PW	04-29-2022	36 YRS 08 MOS



# BOARD OF RETIREMENT MEETING OF MAY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JORGE S. AQUINO	ANIMAL CONTROL Dept.#AN	04-29-2022	35 YRS 01 MOS
SHERRI D. ARNOLD	CHILD SUPPORT SERVICES Dept.#CD	04-30-2022	17 YRS 06½ MOS
ZENAIDA A. ARQUIZA	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-01-2022	00 YRS 02 MOS
ASUNGI ASUNGI	CHILDREN & FAMILY SERVICES Dept.#CH	03-01-2022	03 YRS 03 MOS
ANTHONY S. BACLIG	ASSESSOR Dept.#AS	05-27-2022	30 YRS 07 MOS
RIMA BIGLARIAN	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	21 YRS ½ MOS
DELFINA BLANDFORD	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-30-2022	44 YRS 02 MOS
ELIZABETH C. BLUE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	11 YRS 05½ MOS
RITA E. BOWMAN	TREASURER AND TAX COLLECTOR Dept.#TT	03-31-2022	13 YRS 04½ MOS

# BOARD OF RETIREMENT MEETING OF MAY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ARMETHA D. BRAVO	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2022	31 YRS 08½ MOS
GAIL M. BRISTO	PUBLIC DEFENDER Dept.#PD	04-29-2022	30 YRS 02 MOS
TERESE G. BROOKINS	PUBLIC HEALTH PROGRAM Dept.#PH	03-29-2022	26 YRS 06 MOS
DEBRA D. BYDON	REG-RECORDER/COUNTY CLERK Dept.#RR	05-28-2022	22 YRS 00 MOS
MARIA ELSA CARDENAS-MCA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-30-2022	26 YRS 06 MOS
CIRIO R. CAVAZOS JR	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	04-30-2022	43 YRS 01 MOS
GEORGINA CERVANTES	ASSESSOR Dept.#AS	04-29-2022	38 YRS 01 MOS
CARLTON C. CHAMBERS	SHERIFF Dept.#SH	03-30-2022	31 YRS 03 MOS
CHIU KEUNG T. CHAN	INTERNAL SERVICES Dept.#IS	04-30-2022	10 YRS 00 MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JEFFREY V. CHENG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	32 YRS 04½ MOS
YEN Y. CHENG	PROBATION DEPARTMENT Dept.#PB	03-31-2022	22 YRS ½ MOS
LIN K. CHEUNG	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	30 YRS 02½ MOS
MOLLYNY CHUM	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-30-2022	35 YRS 05 MOS
REBECCA A. CONTRERAS	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-07-2022	22 YRS 04½ MOS
SANDRA CORTEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-30-2022	21 YRS 03 MOS
CARMEN CUELLAR	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-28-2022	28 YRS 03½ MOS
EMILIANO J. DAEL	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-30-2022	06 YRS 06 MOS
DELORES DANIELS	PUBLIC DEFENDER Dept.#PD	03-31-2022	39 YRS 05½ MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
PERNELLA M. DANIELS	PARKS AND RECREATION Dept.#PK	04-30-2022	31 YRS 03 MOS
MIYOSHI U. DORSEY	PROBATION DEPARTMENT Dept.#PB	03-31-2022	33 YRS 06 MOS
CLARESSA D. EPPS	AMBULATORY CARE NETWORK Dept.#HN	03-31-2022	43 YRS 01½ MOS
LUCY FELIX	PUBLIC HEALTH PROGRAM Dept.#PH	05-14-2022	27 YRS 07½ MOS
LAURA FERNANDEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-26-2022	37 YRS 00 MOS
LAWRENCE G. FLORES	PARKS AND RECREATION Dept.#PK	05-31-2022	24 YRS 04 MOS
VIRGINIA L. FONG	MENTAL HEALTH Dept.#MH	03-25-2022	38 YRS 02 MOS
DONNA F. FRAZIER	ASSESSOR Dept.#AS	03-31-2022	35 YRS 09 MOS
SALVADOR GARCIA	CORRECTIONAL HEALTH Dept.#HC	03-31-2022	35 YRS 08½ MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ORALIA GARCIA	DISTRICT ATTORNEY Dept.#DA	04-18-2022	38 YRS 10 MOS
KENNARD A. GIVAN	PROBATION DEPARTMENT Dept.#PB	03-31-2022	31 YRS 06½ MOS
GUADALUPE C. GLORAE	SHERIFF Dept.#SH	04-30-2022	32 YRS 08 MOS
WYNENA J. GLORIA	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	18 YRS 03½ MOS
EVELYN S. GONZAGA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	05-31-2022	21 YRS 03½ MOS
MARGARITA H. GONZALEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	04-07-2022	35 YRS ½ MOS
APRIL C. GOODE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-30-2022	34 YRS 10½ MOS
MARTHA C. GORDILLO	PROBATION DEPARTMENT Dept.#PB	05-31-2022	07 YRS ½ MOS
ESTER GOVEA	SUPERIOR COURT/COUNTY CLERK Dept.#SC	05-31-2022	32 YRS 06½ MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ALEXANDER GUILLERMO	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	33 YRS 03½ MOS
BRIDGETT M. HALE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	04-15-2022	34 YRS 05½ MOS
PATCHARIN HANPANIT	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	04-15-2022	30 YRS 03½ MOS
CHERYL E. HAQUE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2022	37 YRS 02½ MOS
NEREYDA M. HORTON	AMBULATORY CARE NETWORK Dept.#HN	06-30-2022	21 YRS ½ MOS
YVORNIA HORTON	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	25 YRS 01½ MOS
ELISA HOVHANNISYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	21 YRS 02½ MOS
ELIZABETH M. HOWARD	INTERNAL SERVICES Dept.#IS	04-30-2022	41 YRS 08 MOS
DENNA M. HUNTER	SUPERIOR COURT/COUNTY CLERK Dept.#SC	04-30-2022	32 YRS 08 MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
NAMSON HUYNH	INTERNAL SERVICES Dept.#IS	04-30-2022	15 YRS 00 MOS
MARIA INFANTE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	41 YRS 04½ MOS
STEPHEN R. ING	PUBLIC LIBRARY Dept.#PL	04-30-2022	25 YRS 01 MOS
EMELITA JECIEL	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	35 YRS 04½ MOS
DARRIN S. JEFFERS	PARKS AND RECREATION Dept.#PK	04-30-2022	32 YRS 07 MOS
ZELDA D. JOHNSON	SHERIFF Dept.#SH	04-30-2022	42 YRS 11 MOS
JACQUELINE JOHNSON-BISH	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	04-07-2022	20 YRS 02 MOS
SARAH JONES	PROBATION DEPARTMENT Dept.#PB	04-29-2022	33 YRS 05 MOS
VANNA M. JONES	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	42 YRS 03½ MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SHERLEY R. JOY	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2022	41 YRS 09½ MOS
VICTOR KASMAN	SHERIFF Dept.#SH	06-01-2022	21 YRS ½ MOS
LILA L. KEMP	CHILDREN & FAMILY SERVICES Dept.#CH	05-31-2022	25 YRS 01½ MOS
VAROOJ KESHISHIAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-31-2022	28 YRS 04 MOS
AKOP KMBIKYAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-30-2022	22 YRS 05 MOS
SOUNG J. LEE	CORRECTIONAL HEALTH Dept.#HC	05-31-2022	19 YRS 00 MOS
RAYMOND LEMOS J R	PUBLIC WORKS Dept.#PW	03-31-2022	30 YRS 07 MOS
SCHERELL LEWIS	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	31 YRS 03 MOS
JOSEPH C. LI	PUBLIC WORKS Dept.#PW	03-31-2022	33 YRS 07½ MOS



## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CONNIE G. LIMONES	PUBLIC DEFENDER Dept.#PD	03-24-2022	19 YRS 07½ MOS
PHINKHIOEN LIN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-31-2022	15 YRS ½ MOS
TIMOTHY L. LINDSAY	PARKS AND RECREATION Dept.#PK	04-30-2022	31 YRS 08 MOS
OLENA LISOVSKA	CHILDREN & FAMILY SERVICES Dept.#CH	04-30-2022	14 YRS 10 MOS
ROXANNE C. LOCKETT	HEALTH SERVICES ADMINISTRATION Dept.#HS	04-30-2022	21 YRS 11 MOS
JITTIMA L. LOPEZ	SHERIFF Dept.#SH	04-30-2022	17 YRS 02 MOS
HELEN L. LOVE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	54 YRS 06 MOS
PHUONG LY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	28 YRS 02½ MOS
KIMBERLY Y. LYMAN	PUBLIC WORKS Dept.#PW	04-15-2022	30 YRS 01½ MOS

# BOARD OF RETIREMENT MEETING OF MAY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CATHERINE MACELVENY	SUPERIOR COURT/COUNTY CLERK Dept.#SC	05-31-2022	22 YRS ½ MOS
HENRY MAGENO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	47 YRS 03½ MOS
ANGELICA MANCILLAS	ASSESSOR Dept.#AS	04-26-2022	21 YRS 08 MOS
THARAN L. MANNING	PROBATION DEPARTMENT Dept.#PB	04-29-2022	33 YRS 09 MOS
MARIA L. MARFIL	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	04-30-2022	41 YRS 07 MOS
CARMEN MARQUEZ	HEALTH SERVICES ADMINISTRATION Dept.#HS	03-31-2022	34 YRS 11½ MOS
ARTHUR MARRUJO	L A COUNTY FIRE DEPT Dept.#FR	03-08-2022	38 YRS ½ MOS
SUSAN MARYN	SHERIFF Dept.#SH	03-01-2022	00 YRS 02 MOS
TINA MATA	MENTAL HEALTH Dept.#MH	04-04-2022	20 YRS ½ MOS

# BOARD OF RETIREMENT MEETING OF MAY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BERNARD S. MATIAS	SHERIFF Dept.#SH	04-30-2022	14 YRS 09 MOS
KIMBERLY M. MC KENZIE	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	04-30-2022	35 YRS 06 MOS
LONNELL MCBROOM S R.	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	04-30-2022	31 YRS 08 MOS
PANDORA F. MCDANIEL	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	04-16-2022	36 YRS 02 MOS
CESAR MEJIA	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-30-2022	32 YRS 02 MOS
JOSE MENDOZA	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	04-30-2022	42 YRS 08 MOS
GEORGE T. MIKHAEL	SHERIFF Dept.#SH	03-26-2022	42 YRS 11 MOS
ROBERT MINASSIANS	MENTAL HEALTH Dept.#MH	04-30-2022	21 YRS 01 MOS
HARRIETT MINKE	CHILDREN & FAMILY SERVICES Dept.#CH	04-30-2022	35 YRS 08 MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ROSE A. MITCHELL	PUBLIC LIBRARY Dept.#PL	03-31-2022	20 YRS 08½ MOS
YVONNE MITCHELL	ASSESSOR Dept.#AS	04-30-2022	43 YRS 07 MOS
EDDIE MOORE	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2022	44 YRS 01 MOS
EDWARD A. MORENTE	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	04-12-2022	25 YRS 01½ MOS
SAMSON MUNN	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	05-03-2022	06 YRS 02½ MOS
SHEILA L. NASH	AMBULATORY CARE NETWORK Dept.#HN	03-26-2022	52 YRS 04 MOS
ROWENA M. NAZARENO	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-31-2022	17 YRS 03 MOS
ANDREW R. O'CALLAGHAN	PUBLIC WORKS Dept.#PW	03-30-2022	29 YRS 11 MOS
JACOB OCAMPO	CHILDREN & FAMILY SERVICES Dept.#CH	03-17-2022	29 YRS 03 MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CECILIA C. OCHOA	DEPARTMENT OF HUMAN RESOURCES Dept.#HM	03-31-2022	42 YRS 04½ MOS
CAROLYN PACZONA	MENTAL HEALTH Dept.#MH	04-25-2022	30 YRS 11 MOS
NELSON F. PERAZA	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	04-30-2022	29 YRS 07 MOS
RUTH PEREZ	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	03-31-2022	27 YRS 07½ MOS
MELVIN C. PINKNEY	PARKS AND RECREATION Dept.#PK	03-31-2022	19 YRS 02 MOS
JENNIFER M. POHL	PUBLIC HEALTH PROGRAM Dept.#PH	04-23-2022	12 YRS 06 MOS
RITA C. POWELL	INTERNAL SERVICES Dept.#IS	03-29-2022	43 YRS ½ MOS
CHARLES PROSPER	CHILDREN & FAMILY SERVICES Dept.#CH	03-04-2022	07 YRS 11 MOS
MARK RAICHEL	PUBLIC DEFENDER Dept.#PD	06-07-2022	06 YRS 01½ MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
ALEJANDRO RAMIREZ	AMBULATORY CARE NETWORK Dept.#HN	06-25-2022	32 YRS 01 MOS
THERESA RAMOS	CHILDREN & FAMILY SERVICES Dept.#CH	05-31-2022	20 YRS 04 MOS
DEREK E. RAY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	05-31-2022	17 YRS 06 MOS
ROSARIO RENDO-PETROV	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	29 YRS 01½ MOS
CONNIE R. REX	CHILDREN & FAMILY SERVICES Dept.#CH	03-31-2022	41 YRS 09½ MOS
LUIS A. REYES ORTIZ	SHERIFF Dept.#SH	05-26-2022	25 YRS 00 MOS
JOHN E. RICE	PUBLIC WORKS Dept.#PW	04-30-2022	34 YRS 01 MOS
NANCY E. RICHARDS-CHA	PUBLIC DEFENDER Dept.#PD	04-30-2022	35 YRS 04 MOS
ELAINE ROBINSON-WAD	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	04-26-2022	28 YRS 08 MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BERTHA A. ROCHA	MENTAL HEALTH Dept.#MH	03-31-2022	32 YRS 11½ MOS
ANNA F. ROCHIN	PUBLIC HEALTH PROGRAM Dept.#PH	04-19-2022	25 YRS 01 MOS
INGRID P. RODRIGUEZ	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	23 YRS 08½ MOS
LIDIA C. RODRIGUEZ	CHILDREN & FAMILY SERVICES Dept.#CH	04-30-2022	15 YRS 07 MOS
PHYLLIS A. SALAZAR	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	03-30-2022	31 YRS 01 MOS
RANDALL J. SALISBURY	CHILDREN & FAMILY SERVICES Dept.#CH	05-28-2022	33 YRS 08 MOS
KRISTINE K. SAM	SHERIFF Dept.#SH	03-31-2022	41 YRS 06½ MOS
MORENA SANCHEZ DE D	PUBLIC HEALTH PROGRAM Dept.#PH	04-30-2022	24 YRS 06 MOS
MARCO A. SANDOVAL	BOARD OF SUPERVISORS Dept.#BS	03-31-2022	34 YRS 00 MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
BARBARA SCANLAN	CHILDREN & FAMILY SERVICES Dept.#CH	04-30-2022	25 YRS 07 MOS
LORNA M. SCHILL	CHILDREN & FAMILY SERVICES Dept.#CH	06-30-2022	21 YRS 00 MOS
KIMBERLY K. SCHMERBECK	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-31-2022	33 YRS 11½ MOS
HARLAN L. SCHWARTZ	SHERIFF Dept.#SH	03-31-2022	25 YRS 11½ MOS
JOSEPHINE SILO	REG-RECORDER/COUNTY CLERK Dept.#RR	05-31-2022	18 YRS ½ MOS
DALJINDER K. SINGH	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-30-2022	35 YRS 05 MOS
TONI SMITH	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	28 YRS 00 MOS
FELICIA R. SMITH	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-30-2022	36 YRS 06 MOS
MAUREEN STERLING	BEACHES & HARBORS Dept.#BH	03-31-2022	21 YRS 04 MOS



# BOARD OF RETIREMENT MEETING OF MAY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
WILLIAM M. STONE	PUBLIC DEFENDER Dept.#PD	04-30-2022	03 YRS 00 MOS
RICHARD E. TAKATA	AGRICULTURAL COMM./WTS & MEAS. Dept.#AW	03-31-2022	36 YRS 03½ MOS
DONNA TAYLOR	ASSESSOR Dept.#AS	04-30-2022	30 YRS 11 MOS
CLARENCE D. TENNILLE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	22 YRS 05½ MOS
CAROL R. THOMAS	PUBLIC WORKS Dept.#PW	03-31-2022	39 YRS 02½ MOS
MILTON J. THOMPSON	PUBLIC LIBRARY Dept.#PL	03-29-2022	13 YRS 09 MOS
SITI TOBIBAH	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	04-30-2022	05 YRS 09½ MOS
BINH TRAN	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-30-2022	43 YRS 01 MOS
KARL B. UHLIG	PUBLIC WORKS Dept.#PW	03-30-2022	05 YRS 11 MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
JO ANN JEWEL A. USTARES	AMBULATORY CARE NETWORK Dept.#HN	04-30-2022	16 YRS 00 MOS
BARBARA J. VAGUE	SHERIFF Dept.#SH	05-31-2022	25 YRS 01½ MOS
SERGIO VALENCIA	PUBLIC HEALTH PROGRAM Dept.#PH	04-29-2022	26 YRS 00 MOS
JOSEPH P. VARNEY	CORRECTIONAL HEALTH Dept.#HC	05-31-2022	25 YRS ½ MOS
LINDA VUONG	SHERIFF Dept.#SH	03-31-2022	40 YRS ½ MOS
ROBERT WALKER	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	04-30-2022	34 YRS 04 MOS
JEARLENE WALKER	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-30-2022	41 YRS 04 MOS
CHRISTINE Y. WHITAKER	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	05-16-2022	25 YRS 02 MOS
DEBRA A. WHITE	SHERIFF Dept.#SH	04-15-2022	36 YRS 05½ MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
NICOLE WHITFIELD	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-31-2022	26 YRS 10 MOS
ROBERT W. WIEDER	AGRICULTURAL COMM./WTS & MEAS. Dept.#AW	03-31-2022	31 YRS 05½ MOS
JACQUELINE N. WILLIAMS	CHILDREN & FAMILY SERVICES Dept.#CH	04-30-2022	39 YRS 08 MOS
MISEON K. WILSON	CHILDREN & FAMILY SERVICES Dept.#CH	05-28-2022	06 YRS 00 MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL SURVIVOR APPLICATIONS

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
EMMANUEL COLLINS	PROBATION DEPARTMENT Dept.#PB	12-11-2021	32 YRS 04½ MOS
SPOUSE of MARIESHAA COLLINS dec'd on 12-10-2021, Sect. #31781.1			
EDELMIRA A. TAYLOR	COASTAL CLUSTER-HARBOR/UCLA MC Dept.#HH	09-30-2021	16 YRS 10½ MOS
WIFE of ARMANDO TAYLOR dec'd on 09-29-2021, Sect. #31781.3			

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### SAFETY MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
OLDEN BRADFORD	SHERIFF Dept.#SH	03-08-2022	10 YRS 01 MOS
AMANDA R. MARTIN	SHERIFF Dept.#SH	03-31-2022	10 YRS 00 MOS
JACQUELYN MARTINEZ	SHERIFF Dept.#SH	03-25-2022	05 YRS 00 MOS

## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
MICHELLE A. BHOLAT	PUBLIC HEALTH PROGRAM Dept.#PH	03-31-2022	06 YRS 11 MOS
MICHAEL J. BYRNE	PUBLIC HEALTH PROGRAM Dept.#PH	03-07-2022	06 YRS 11 MOS
MARYANN CADDICK	PUBLIC WORKS Dept.#PW	03-31-2022	05 YRS 10 MOS
ROBERT E. COONEY	ASSESSOR Dept.#AS	03-31-2022	22 YRS 04 MOS
MARIA DE JES CORTEZ	NORTHEAST CLUSTER (LAC+USC) Dept.#HG	01-23-2022	24 YRS 02 MOS
MICHELLE A. DAILEY	SHERIFF Dept.#SH	04-04-2022	27 YRS 07 MOS
MANUEL L. DELGADO	INTERNAL SERVICES Dept.#IS	03-31-2022	13 YRS 11 MOS
EUGENE C. ECKHARDT	CORRECTIONAL HEALTH Dept.#HC	03-14-2022	23 YRS 07 MOS
MARY FLEMING	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-25-2022	21 YRS 10½ MOS

# BOARD OF RETIREMENT MEETING OF MAY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
SHELLY C. FOOTE	MENTAL HEALTH Dept.#MH	03-31-2022	18 YRS 11½ MOS
PATRICIA FOREMAN	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-01-2020	12 YRS 05 MOS
LETTIE F. GREGORY	CHILDREN & FAMILY SERVICES Dept.#CH	07-24-2015	00 YRS 07 MOS
LA-SHANTA S. HARVEY	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	04-24-2022	18 YRS 05½ MOS
GORDON C. HELM	MENTAL HEALTH Dept.#MH	04-17-2022	23 YRS 02 MOS
FREDERICK L. HOLMES	Dept.#156	03-01-2022	13 YRS 09 MOS
DANIEL HURTADO	ANIMAL CONTROL Dept.#AN	04-30-2022	20 YRS ½ MOS
MARIANNE JEFFERS	PUBLIC WORKS Dept.#PW	03-22-2022	34 YRS 05 MOS
RITA J. KIMBLE	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	03-01-2022	11 YRS 01 MOS

# BOARD OF RETIREMENT MEETING OF MAY 5, 2022

## BENEFIT APPROVAL LIST

### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
TERRELL E. KIRBY	PROBATION DEPARTMENT Dept.#PB	03-24-2022	15 YRS 11 MOS
DILMA M. LOPEZ	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	02-10-2022	30 YRS 08½ MOS
TUPPENCE MACINTYRE	DISTRICT ATTORNEY Dept.#DA	03-31-2022	32 YRS 00 MOS
SHEYRON W. MOORE	CHILDREN & FAMILY SERVICES Dept.#CH	03-15-2022	21 YRS 11 MOS
STACY L. OKUN-WIESE	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-11-2022	14 YRS 06½ MOS
MARY S. ORTIZ	LACERA Dept.#NL	03-01-2022	40 YRS 05½ MOS
RANDALL F. PACHECO	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-19-2022	08 YRS 05 MOS
LEZLIE A. PETRONELLO	Dept.#504	04-13-2022	10 YRS 03 MOS
DEREK J. ROGERS	SUPERIOR COURT/COUNTY CLERK Dept.#SC	03-05-2022	08 YRS 03 MOS



## BOARD OF RETIREMENT MEETING OF MAY 5, 2022

### BENEFIT APPROVAL LIST

#### GENERAL MEMBER APPLICATIONS FOR: SERVICE RETIREMENT FROM DEFERRED

<u>NAME</u>	<u>DEPARTMENT</u>	<u>RETIRED</u>	<u>SERVICE</u>
CHERYL R. SHIGG	PUBLIC HEALTH PROGRAM Dept.#PH	03-07-2022	14 YRS 11 MOS
DAVID I. SMITH	Dept.#365	03-01-2022	09 YRS 05 MOS
MARK A. STEUER	PUBLIC WORKS Dept.#PW	03-19-2022	17 YRS 00 MOS
NAVIN B. STILWELL	DEPT OF PUBLIC SOCIAL SERVICES Dept.#SS	12-30-2021	06 YRS 08 MOS
NANCY B. SUGIYAMA	ASSESSOR Dept.#AS	03-17-2022	11 YRS 09 MOS
VICKI L. TAMOUSH	SHERIFF Dept.#SH	03-24-2022	09 YRS 05 MOS
MARK A. VOLMERT	BOARD OF SUPERVISORS Dept.#BS	03-01-2022	08 YRS 00 MOS
CAROLINE WIN AYE	SFV CLUSTER-OLIVE VIEW/UCLA MC Dept.#HO	03-31-2022	12 YRS 00 MOS
CYTHIA M. ZABLOTNY	RANCHO LOS AMIGOS HOSPITAL Dept.#HR	03-15-2022	10 YRS 05½ MOS

**BOARD OF RETIREMENT MEETING OF MAY 5, 2022  
RESCISSIONS/CHANGES FROM BENEFIT APPROVAL LIST  
APPROVED ON APRIL 6, 2022**

**SAFETY MEMBER APPLICATIONS FOR SERVICE RETIREMENT**

<b>NAME</b>	<b>DEPARTMENT</b>	<b>UPDATE</b>
TODD E GOMEZ	L A COUNTY FIRE DEPT	CHANGE OF DATE TO March 29, 2022
DEAN DOUTY	L A COUNTY FIRE DEPT	CHANGE OF DATE TO March 16, 2022
GARY J GRAHAM	L A COUNTY FIRE DEPT	CHANGE OF DATE TO March 29, 2022
DOUGLAS R NALE	SHERIFF	RESCINDED RETIREMENT
NATHAN D GRIMES	SHERIFF	RESCINDED RETIREMENT

**GENERAL MEMBER APPLICATIONS FOR SERVICE RETIREMENT**

<b>NAME</b>	<b>DEPARTMENT</b>	<b>UPDATE</b>
TIMOTHY E BOTELLO	NORTHEAST CLUSTER (LAC+USC)	CHANGE OF DATE TO May 15, 2022
DARLENE E WILLIAMS	SHERIFF	RESCINDED RETIREMENT
HYEJOO K LEE	PUBLIC HEALTH PROGRAM	CHANGE OF DATE TO March 31, 2022
GERALD L DOLEN	SHERIFF	RESCINDED RETIREMENT
PAMELA WALKER	L A COUNTY FIRE DEPT	CHANGE OF DATE TO May 20, 2022
MYUNG H CHUNG	NORTHEAST CLUSTER (LAC+USC)	CHANGE OF DATE TO June 30, 2022
SUKEDA K DAY	MENTAL HEALTH	CHANGE OF DATE TO March 29, 2022
LARRY CHIN	SHERIFF	RESCINDED RETIREMENT
GUILLERMINA SOLTERO	SUPERIOR COURT/COUNTY CLERK	CHANGE OF DATE TO May 31, 2022
ALAN R MORGENSTERN	PUBLIC DEFENDER	CHANGE OF DATE TO May 11, 2022

LISA E BETRICE	ASSESSOR	RESCINDED RETIREMENT
ANAHID AVANESSIAN	DEPT OF PUBLIC SOCIAL SERVICES	CHANGE OF DATE TO April 30, 2022
JOSEPH F MUSSO	DISTRICT ATTORNEY	CHANGE OF DATE TO May 13, 2022
JESSILYN R ALEXANDER	CORRECTIONAL HEALTH	RESCINDED RETIREMENT
MINDY D TON-NU	CHILDREN & FAMILY SERVICES	CHANGE OF DATE TO March 30, 2022
GRACIELA VALENZUELA	PUBLIC DEFENDER	CHANGE OF DATE TO May 28, 2022
HOSUN M KIM	MENTAL HEALTH	RESCINDED RETIREMENT
JOEL RETA	CORRECTIONAL HEALTH	RESCINDED RETIREMENT
JACK B PIATETSKY	PROBATION DEPARTMENT	CHANGE OF DATE TO May 20, 2022
PAZ A QUILISADIO	REG-RECORDER/COUNTY CLERK	RESCINDED RETIREMENT
ACQUALYN RILEY	REG-RECORDER/COUNTY CLERK	RESCINDED RETIREMENT

April 22, 2022

TO: Each Trustee  
Board of Retirement

FROM: Insurance, Benefits and Legislative Committee  
Les Robbins, Chair  
Vivian H. Gray, Vice Chair  
Shawn R. Kehoe  
Wayne Moore  
Herman Santos, Alternate

FOR: May 5, 2022 Board of Retirement Meeting

SUBJECT: **Assembly Bill 1824—Public Employees' Retirement**

*Author: Committee on Public Employment and Retirement*

*Sponsor: State Association of County Retirement Systems  
California State Teachers' Retirement System*

*Amended: March 7, 2022*

*Introduced: February 7, 2022*

*Status: In SENATE. Read first time. To Committee on RULES for  
assignment. (04/07/2022)*

*IBLC Recommendation: Support (04/06/2022)*

*Staff Recommendation: Support*

## **RECOMMENDATION**

That the Board of Retirement adopt a "Support" position on Assembly Bill 1824, which would provide clarification and technical updates to the County Employees Retirement Law of 1937.

## **LEGISLATIVE POLICY STANDARD**

The Board of Retirement's legislative policy standard is to support proposals that provide clarification, technical updates, or conforming changes to the County Employees Retirement Law of 1937, the California Public Employees' Pension Reform Act of 2013, or other applicable provisions under California law related to public retirement systems.

## **SUMMARY**

AB 1824 is an omnibus bill that contains clarifications and technical updates to the County Employees Retirement Law of 1937 (CERL), including proposed amendments submitted by LACERA to the State Association of County Retirement Systems' legislative platform. Although the bill is co-sponsored by the California State Teachers' Retirement System and contains amendments to the Teachers' Retirement Law, this memo addresses only the bill's CERL provisions.

## **ANALYSIS**

### *Definition of Beneficiary (31452.7)*

Upon the death of a member or survivor, a retirement allowance or survivor's allowance earned but not yet paid is paid to a designated beneficiary. The bill would include a corporation, a trust, or an estate in the definition of "beneficiary."

### *Prior Military Reserve Service (31641.4)*

Members may receive service credit for service rendered in another public agency or for military service if they are not entitled to receive a pension from another agency based on that service.

The case of *Cantwell v. County of San Mateo*, 631 F.2d 631 (9th Cir. 1980) determined that federal law superseded Government Code Section 31641.4 and that a member whose military reserve service is credited towards a military pension is also eligible to use that service for a county retirement pension. The bill would conform Section 31641.4 to the *Cantwell* decision.

### *Safety Member Service Retirement (31663.25, 31663.26)*

Safety members who elect to retire for service are required to file an application setting a date not earlier than the date the application is filed or not more than 60 days after the date of filing the application. The bill would provide that a retirement board may approve a different number of days than 60 days.

### *Nonservice-connected Disability Retirement (31726, 31726.5)*

The bill would make technical and nonsubstantive changes to the provisions on nonservice-connected disability retirement to enhance readability.

### *Optional Settlements (31761, 31762, 31763, 31764)*

A member may designate a person having an insurable interest in their life to receive an optional settlement. The bill would clarify that it be "natural" persons having an insurable in the member's life.

### *Death Benefits*

The basic death benefit consists of the member's accumulated contributions and an amount provided by county contributions based on the member's annual compensation earnable or pensionable compensation during the 12 months immediately preceding the member's death. The bill would clarify that, in connection with the calculation of the death benefit, the computation of any absence shall be based on the compensation of the position held by the member at the beginning of the absence.

**IT IS THEREFORE RECOMMENDED THAT THE BOARD** adopt a "Support" position on Assembly Bill 1824, which would provide clarification and technical updates to the County Employees Retirement Law of 1937.

**Reviewed and Approved:**



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**Steven P. Rice, Chief Counsel**

**Attachments**

Attachment 1—Board Positions Adopted on Related Legislation

Attachment 2—Support and Opposition

AB 1824 (PE&R Committee) as amended on March 7, 2022

cc: Santos H. Kreimann  
Luis Lugo  
JJ Popowich  
Laura Guglielmo  
Steven P. Rice  
Carlos Barrios  
Allan Cochran  
Naomi Padron, McHugh Koepke & Associates

## **BOARD POSITIONS ADOPTED ON RELATED LEGISLATION**

AB 2376 (Chapter 134, Statutes of 2016) clarified the definition of Plan D for purposes of a prospective plan transfer and the applicability of the reciprocal provision on nonconcurrent retirement to Plan E members. The Board of Retirement adopted a “Support” position.

AB 992 (Chapter 40, Statutes of 2015) clarified the ability of members to change their retirement option after being granted a disability retirement. The Board of Retirement adopted a “Support” position.

AB 2474 (Chapter 741, Statutes of 2014) made various amendments to the County Employees Retirement Law of 1937 to conform with the California Public Employees’ Pension Reform Act of 2013. The Board of Retirement adopted a “Support” position.

AB 2473 (Chapter 740, Statutes of 2014) made various amendments to the County Employees Retirement Law of 1937 to conform with federal law. The Board of Retirement adopted a “Support” position.

SB 13 (Chapter 528, Statutes 2013) made various technical and clarifying amendments to the County Employees Retirement Law of 1937 and California Public Employees’ Pension Reform Act of 2013. The Board of Retirement adopted a “Support” position.

AB 1380 (Chapter 247, Statutes 2013) amended various provisions of the County Employees Retirement Law of 1937 to conform with the California Public Employees’ Pension Reform Act of 2013. The Board of Retirement adopted a “Watch” position.

SB 996 (Chapter 792, Statutes of 2012) clarified that for purposes of disability retirement the presumption of heart trouble is a rebuttable presumption. The Board of Retirement adopted a “Support” position.

AB 1902 (Chapter 86, Statutes of 2010) provided technical and clarifying amendments to the provisions of Plan E related to prospective plan transfers and disability retirement, reciprocity, and the crediting of service. The Board of Retirement adopted a “Support” position.

SB 1479 (Chapter 158, Statutes of 2010) provided technical and clarifying amendments to the County Employees Retirement Law of 1937 related to the commencement of membership, exclusion from membership based on monthly compensation rate, advance payments of employer contributions from districts, and compliance with Internal Revenue Service procedures. The Board of Retirement adopted a “Watch” position.

AB 1354 (Chapter 188, Statutes of 2010) amended the County Employees Retirement Law of 1937 to conform with federal law on tax qualification requirements and benefits

related to deaths due to military service. The Board of Retirement adopted a “Support” position.

AB 1355 (Chapter 9, Statutes of 2009) updated cross-references related to the calculation of survivor allowances and made other technical changes. The Board of Retirement adopted a “Watch” position.



**SUPPORT**

California State Teachers' Retirement System (Sponsor)  
State Association of County Retirement Systems (Sponsor)

**OPPOSITION**

None on file.

AMENDED IN ASSEMBLY MARCH 7, 2022  
AMENDED IN ASSEMBLY FEBRUARY 28, 2022

CALIFORNIA LEGISLATURE—2021–22 REGULAR SESSION

## **ASSEMBLY BILL**

**No. 1824**

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**Introduced by Committee on Public Employment and Retirement  
(Assembly Members Cooper (Chair), Voepel (Vice Chair),  
Calderon, Cooley, O'Donnell, and Seyarto)**

February 7, 2022

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An act to amend Sections 24602, 26113, 26803, 27100, and 27201 of, and to add Section 27100.5 to, the Education Code, and to amend Sections 31452.7, 31641.4, 31663.25, 31663.26, 31726, 31726.5, 31761, 31762, 31763, 31764, and 31781 of the Government Code, relating to public employees' retirement.

### LEGISLATIVE COUNSEL'S DIGEST

AB 1824, as amended, Committee on Public Employment and Retirement. Public employees' retirement.

Existing law, the Teachers' Retirement Law (TRL), establishes the State Teachers' Retirement System (STRS) and creates the Defined Benefit Program of the State Teachers' Retirement Plan, which provides a defined benefit to members of the program, based on final compensation, creditable service, and age at retirement, subject to certain variations. STRS is administered by the Teachers' Retirement Board. Existing law creates the Cash Balance Benefit Program, which is administered by the board, to provide a retirement plan for the benefit of participating employees who provide creditable service for less than 50% of full time.

The TRL defines “creditable service” in connection with the Cash Balance Benefit Program with reference to specified activities performed for certain employers, including for a prekindergarten through grade 12 employer, as specified, and for a community college employer, as specified. STRS prescribes the activities that earn creditable service in this regard to include trustee service, as specified.

This bill would revise the description of trustee service to link it to the definition of this service, which means duties performed by a member of the governing body of an employer.

Existing law requires that creditable service subject to coverage by the Cash Balance Benefit Program and service with the last employer or employers of the participant that is creditable under the Defined Benefit Program be terminated prior to the member’s retirement date.

This bill would revise this requirement to instead specify that this termination of services does not include retired member activities, as defined, or retired participant activities, as defined. The bill would also make these changes in provisions relating to termination benefits under the Cash Balance Benefit Program.

Existing law authorizes a participant in the Cash Balance Benefit Program to designate or change the designation of one or more primary beneficiaries and one or more contingent beneficiaries to receive a lump-sum death benefit that may be payable. Existing law authorizes a person, trust, or the estate of the participant to be a beneficiary for the lump-sum death benefit.

This bill would delete the authorization for a person, trust, or the estate of the participant to be a beneficiary of the lump-sum death benefit and would add a provision generally authorizing a corporation, trust, charitable organization, parochial institution, or public entity to be designated as a beneficiary, while prohibiting these entities from being designated as an annuity beneficiary, except as specified.

The County Employees Retirement Law of 1937 (CERL) authorizes counties to establish retirement systems pursuant to its provisions for the purpose of providing pension, disability, and death benefits to county and district employees. CERL vests management of the retirement systems created pursuant to its provisions in a board of retirement.

CERL requires, upon the death of a member, the payment of a retirement allowance earned but not yet paid to a member to be paid to the member’s designated beneficiary. CERL requires, upon the death of a person receiving a survivor’s allowance, the payment of any

allowance earned but not yet paid to the survivor to be paid to the survivor's designated beneficiary.

This bill would include a corporation, a trust, or an estate in the definition of "beneficiary" for purposes of these provisions.

CERL restricts the types of employment for which members may receive credit for service and restricts credit for other employment in public service based upon whether the member is entitled to receive a pension or retirement allowance from another public agency. If a member elects to contribute to obtain credit for other employment in another public agency, CERL requires certification, as specified, of the fact that pension or retirement allowance will not accrue to the member by virtue of the member's employment.

This bill would specify that the provisions described above do not prohibit a member from receiving credit for a period of federal public service if federal law expressly permits the credit even though the member is already entitled to receive a pension or retirement allowance from that service.

CERL prescribes a process for purposes of establishing a date of retirement with reference to safety members. CERL authorizes a safety member to be retired upon the occurrence of certain events and the filing, with the retirement board, of a written application setting forth the date upon which the member desires their retirement to become effective. CERL prohibits this date from being more than 60 days after the date of filing the application.

This bill would revise the restrictions on the above-described effective retirement date to prohibit the retirement date from being earlier than the date the application is filed with the board or more than 60 days after the date of filing the application or more than a number of days that has been approved by the board.

CERL authorizes the payment of a death benefit upon the death of a member while in service. CERL prescribes the components of the death benefit, which are a member's accumulated contributions and an amount, provided from contributions by a county or district, calculated pursuant to a specified method, not to exceed 50% of annual compensation earnable or pensionable compensation of the deceased.

This bill would require, in connection with the calculation of the death benefit, that the computation for any absence be based on the compensation of the position held by the member at the beginning of the absence.

This bill would also make nonsubstantive style and technical changes.

Vote: majority. Appropriation: no. Fiscal committee: yes.  
State-mandated local program: no.

*The people of the State of California do enact as follows:*

1 SECTION 1. Section 24602 of the Education Code is amended  
2 to read:

3 24602. The board may establish a special account and  
4 procedures to pay, on an emergency basis, allowances, death  
5 payments, and up to 75 percent of the return of the balance of the  
6 accumulated retirement contributions as a result of termination of  
7 employment or death. Disbursements under the special account  
8 shall be by checks issued by the system and subject to the auditing  
9 requirements of the Controller. Payments under the special account  
10 shall be deducted from allowances, death benefits, and payment  
11 of accumulated retirement contributions, otherwise due.

12 SEC. 2. Section 26113 of the Education Code is amended to  
13 read:

14 26113. (a) “Creditable service” means any of the activities  
15 described in subdivision (b) performed for any of the following  
16 employers:

17 (1) A prekindergarten through grade 12 employer in a position  
18 with certification qualifications authorized by the Commission on  
19 Teacher Credentialing pursuant to Section 44001.

20 (2) A community college employer by a faculty member, as  
21 defined in Section 87003, in an academic position, as defined in  
22 subdivision (b) of Section 87001, or by an educational  
23 administrator, as defined in subdivision (b) of Section 87002,  
24 subject to the appropriate minimum standards adopted by the Board  
25 of Governors of the California Community Colleges pursuant to  
26 Section 87356, or pursuant to a contract between a community  
27 college district and the United States Department of Defense to  
28 provide vocational training.

29 (3) A charter school employer under the provisions of an  
30 approved charter for the operation of a charter school for which  
31 the charter school is eligible to receive state apportionment.

32 (b) The types of activities are any of the following:

33 (1) The work of teachers, instructors, district interns, and  
34 academic employees employed in the instructional program for  
35 pupils, including special programs such as adult education, regional

1 occupational programs, child care centers, and prekindergarten  
2 programs pursuant to Section 22161.

3 (2) Education or vocational counseling, guidance, and placement  
4 services.

5 (3) The work of employees who plan courses of study to be  
6 used in California public schools, or research connected with the  
7 evaluation or efficiency of the instructional program.

8 (4) The selection, collection, preparation, classification,  
9 demonstration, or evaluation of instructional materials of any  
10 course of study for use in the development of the instructional  
11 program in California public schools, or other services related to  
12 California public school curriculum.

13 (5) The examination, selection, in-service training, mentoring,  
14 or assignment of teachers, principals, or other similar personnel  
15 involved in the instructional program.

16 (6) The work of nurses, physicians, speech therapists,  
17 psychologists, audiologists, and other California public school  
18 health professionals.

19 (7) Services as a California public school librarian.

20 (8) Activities connected with the enforcement of the laws  
21 relating to compulsory education, coordination of child welfare  
22 activities involving the school and the home, and the school  
23 adjustment of pupils.

24 (9) The work of employees who are responsible for the  
25 supervision of persons or administration of the duties described  
26 in this subdivision.

27 (c) "Creditable service" also means any of the activities  
28 described in subdivision (b) when they are performed for an  
29 employer by:

30 (1) Superintendents of California public schools, and presidents  
31 and chancellors of community college employers.

32 (2) Consulting teachers employed by an employer to participate  
33 in the California Peer Assistance and Review Program for Teachers  
34 pursuant to Article 4.5 (commencing with Section 44500) of  
35 Chapter 3 of Part 25 of Division 3 of Title 2.

36 (3) Audiometrists who hold a certificate of registration issued  
37 by the State Department of Health Care Services.

38 (d) "Creditable service" also means the performance of  
39 California public school activities related to, and an outgrowth of,  
40 the instructional and guidance program of the California public

1 school when performed for the same employer for which the  
2 member is performing any of the activities described in subdivision  
3 (b) or (c).

4 (e) "Creditable service" also means trustee service as defined  
5 in Section 26144.5, if eligible pursuant to Section 26403.

6 (f) The board shall have final authority for determining  
7 creditable service to cover activities not already specified.

8 SEC. 3. Section 26803 of the Education Code is amended to  
9 read:

10 26803. (a) All creditable service subject to coverage by the  
11 Cash Balance Benefit Program and the Defined Benefit Program,  
12 which does not include retired member activities as defined in  
13 Section 22164.5 or retired participant activities as defined in  
14 Section 26135.7, shall be terminated prior to the retirement date.

15 (b) All employers with which the participant is employed to  
16 perform creditable service subject to coverage by the plan shall  
17 certify in a format prescribed by the system that the participant's  
18 employment has been terminated unless the employment was  
19 terminated 12 months or more prior to the participant's retirement  
20 date.

21 SEC. 4. Section 27100 of the Education Code is amended to  
22 read:

23 27100. A participant may at any time designate or change the  
24 designation of one or more primary beneficiaries and one or more  
25 contingent beneficiaries to receive any lump-sum death benefit  
26 that may be payable under the plan. The beneficiary shall be  
27 designated on a form prescribed by the system that is received by  
28 the system before the participant's death.

29 SEC. 5. Section 27100.5 is added to the Education Code, to  
30 read:

31 27100.5. A corporation, trust, charitable organization, parochial  
32 institution, or public entity may be designated as a beneficiary  
33 under this part, but shall not be designated as an annuity  
34 beneficiary, except a trust as defined in Section 26106.5.

35 SEC. 6. Section 27201 of the Education Code is amended to  
36 read:

37 27201. (a) All creditable service subject to coverage by the  
38 Cash Balance Benefit Program and the Defined Benefit Program,  
39 which does not include retired member activities as defined in  
40 Section 22164.5 or retired participant activities as defined in

1 Section 26135.7, shall terminate prior to application for a  
2 termination benefit under this part.

3 (b) All employers with which the participant is employed to  
4 perform creditable service subject to coverage by the plan shall  
5 certify in a format prescribed by the system that the participant's  
6 employment has been terminated unless the employment was  
7 terminated 12 months or more prior to the date the termination  
8 benefit application is received by the system.

9 SEC. 7. Section 31452.7 of the Government Code is amended  
10 to read:

11 31452.7. (a) Upon the death of any member after retirement,  
12 any retirement allowance earned but not yet paid to the member  
13 shall, notwithstanding any other provision of law, be paid to the  
14 member's designated beneficiary.

15 (b) Upon the death of any person receiving a survivor's  
16 allowance under this chapter, any allowance earned but not yet  
17 paid to the survivor shall, notwithstanding any other provision of  
18 law, be paid to the survivor's designated beneficiary.

19 (c) For purposes of this section, "beneficiary" includes, but is  
20 not limited to, a corporation, a trust, or an estate.

21 SEC. 8. Section 31641.4 of the Government Code is amended  
22 to read:

23 31641.4. (a) (1) Except as provided in paragraph (2), a  
24 member shall receive credit for employment in public service only  
25 for such service as the member is not entitled to receive a pension  
26 or retirement allowance from such public agency. The service for  
27 which the member elects to contribute and the fact that no pension  
28 or retirement allowance will accrue to such member by virtue of  
29 the member's employment in the public agency shall be certified  
30 to by an officer of the public agency where the member rendered  
31 such public service or shall be established to the satisfaction of  
32 the board.

33 (2) Nothing in this subdivision prohibits a member from  
34 receiving credit for a period of federal public service if federal law  
35 expressly permits the credit even though the member is already  
36 entitled to receive a pension or retirement allowance from that  
37 service. It is intended that this section be consistent with the  
38 holdings in *Cantwell v. San Mateo County* (1980) 631 F.2d 631.

39 (b) Notwithstanding any other provision of law, a safety member  
40 who receives credit for prior employment in public service, the



1 principal duties of which consisted of active law enforcement or  
2 active fire suppression, or active service in the armed services of  
3 the United States during time of war or national emergency, shall  
4 have the member's pension or retirement allowance for this service  
5 calculated on the same basis as the calculation of the retirement  
6 allowance the member would receive as a safety member under  
7 Section 31664.

8 (c) A safety member who entered the service as a peace officer  
9 prior to the establishment of the safety membership provisions in  
10 the member's county shall be considered a safety member from  
11 the member's initial hiring date, for the purposes of this section,  
12 notwithstanding any other provision of law.

13 SEC. 9. Section 31663.25 of the Government Code is amended  
14 to read:

15 31663.25. (a) Except as provided in Section 31663.26, a safety  
16 member who has reached the applicable compulsory age of  
17 retirement, if any, or a safety member who has completed 10 years  
18 of continuous service and who has reached the age of 50, or a  
19 safety member who has completed 20 years of service regardless  
20 of age, may be retired upon filing with the board a written  
21 application setting forth the date upon which the member desires  
22 the member's retirement to become effective. The effective  
23 retirement date shall not be either of the following:

24 (1) Earlier than the date the application is filed with the board.

25 (2) More than 60 days after the date of filing the application or  
26 more than a number of days that has been approved by the board.

27 (b) This section shall not apply to a member who is subject to  
28 the provisions of the California Public Employees' Pension Reform  
29 Act of 2013 (Article 4 (commencing with Section 7522) of Chapter  
30 21 of Division 7 of Title 1) for all or any portion of that member's  
31 membership in the county retirement system.

32 SEC. 10. Section 31663.26 of the Government Code is amended  
33 to read:

34 31663.26. (a) Notwithstanding Section 31663.25, a safety  
35 member who has reached the applicable compulsory age of  
36 retirement, if any, or a safety member who is a full-time employee,  
37 has completed 10 years of service, has reached the age of 50, and  
38 has no service break which exceeds 12 months, or a safety member  
39 who has completed 20 years of service regardless of age, may be  
40 retired upon filing with the board a written application setting forth

1 the date upon which the member desires the member's retirement  
2 to become effective. The effective retirement date shall not be  
3 either of the following:

4 (1) Earlier than the date the application is filed with the board.

5 (2) More than 60 days after the date of filing the application or  
6 more than a number of days that has been approved by the board.

7 (b) This section shall not be operative in any county until such  
8 time as the board of supervisors shall, by ordinance, make this  
9 section applicable in the county.

10 (c) This section shall not apply to a member who is subject to  
11 the provisions of the California Public Employees' Pension Reform  
12 Act of 2013 for all or any portion of their membership in the county  
13 retirement system.

14 SEC. 11. Section 31726 of the Government Code is amended  
15 to read:

16 31726. (a) Upon retirement for nonservice-connected disability  
17 a member who has attained age 65 shall receive their service  
18 retirement allowance.

19 (b) Every member under age 65 who is retired for  
20 nonservice-connected disability and who is not simultaneously  
21 retired as a member on deferred retirement of the Public  
22 Employees' Retirement System or a retirement system established  
23 under this chapter in another county shall receive a disability  
24 retirement allowance which shall be the greater of the following:

25 (1) The sum to which the member would be entitled as service  
26 retirement; or

27 (2) A sum which shall consist of:

28 (A) An annuity which is the actuarial equivalent of the member's  
29 accumulated contributions at the time of retirement.

30 (B) If, in the opinion of the board, the member's disability is  
31 not due to intemperate use of alcoholic liquor or drugs, willful  
32 misconduct, or violation of law on the member's part, a disability  
33 retirement pension purchased by contributions of the county or  
34 district.

35 (C) If, in the opinion of the board, the member's disability is  
36 not due to conviction of a felony or criminal activity which caused  
37 or resulted in the member's disability, a disability retirement  
38 pension purchased by contributions of the county or district. This  
39 subparagraph shall only apply to a person who becomes a member  
40 of the system on or after January 1, 1988.

1 SEC. 12. Section 31726.5 of the Government Code is amended  
2 to read:

3 31726.5. (a) Upon retirement for nonservice-connected  
4 disability a safety member who has attained age 55 shall receive  
5 their service retirement allowance.

6 (b) Every safety member under age 55 who is retired for  
7 nonservice-connected disability and who is not simultaneously  
8 retired as a member on deferred retirement of the Public  
9 Employees' Retirement System or a retirement system established  
10 under this chapter in another county shall receive a disability  
11 retirement allowance which shall be the greater of:

12 (1) The sum to which the member would be entitled to as service  
13 retirement; or

14 (2) A sum which shall consist of:

15 (A) An annuity which is the actuarial equivalent of the member's  
16 accumulated contributions at the time of retirement.

17 (B) If, in the opinion of the board, the member's disability is  
18 not due to intemperate use of alcoholic liquor or drugs, willful  
19 misconduct, or violation of law on the member's part, a disability  
20 retirement pension purchased by contributions of the county or  
21 district.

22 (C) If, in the opinion of the board, the member's disability is  
23 not due to conviction of a felony or criminal activity which caused  
24 or resulted in the member's disability, a disability retirement  
25 pension purchased by contributions of the county or district.

26 This subparagraph shall only apply to a person who becomes a  
27 member of the association on or after January 1, 1988.

28 SEC. 13. Section 31761 of the Government Code is amended  
29 to read:

30 31761. Optional settlement 1 consists of the right to elect in  
31 writing to have a retirement allowance paid to the member until  
32 the member's death and, if the member dies before receiving in  
33 annuity payments the amount of the member's accumulated  
34 contributions at retirement, to have the balance at death paid to  
35 the member's estate or to the natural person, having an insurable  
36 interest in the member's life, as the member nominates by written  
37 designation duly executed and filed with the board.

38 SEC. 14. Section 31762 of the Government Code is amended  
39 to read:

1     31762. Optional settlement 2 consists of the right to elect in  
2 writing to have a retirement allowance paid to the member until  
3 the member's death, and thereafter to the natural person, having  
4 an insurable interest in the member's life, as the member nominates  
5 by written designation duly executed and filed with the board at  
6 the time of the member's retirement.

7     SEC. 15. Section 31763 of the Government Code is amended  
8 to read:

9     31763. Optional settlement 3 consists of the right to elect in  
10 writing to have a retirement allowance paid to the member until  
11 the member's death, and thereafter to have one-half of the  
12 member's retirement allowance paid to the natural person, having  
13 an insurable interest in the member's life, as the member nominates  
14 by written designation duly executed and filed with the board at  
15 the time of the member's retirement.

16     SEC. 16. Section 31764 of the Government Code is amended  
17 to read:

18     31764. Optional settlement 4 consists of the right to elect in  
19 writing to have a retirement allowance paid to the member until  
20 the member's *death* and thereafter to have other benefits as are  
21 approved by the board, upon the advice of the actuary, continued  
22 throughout the life of and paid to the persons, having an insurable  
23 interest in the member's life, as the member nominates by written  
24 designation duly executed and filed with the board at the time of  
25 retirement. The designation shall not, in the opinion of the board  
26 and the actuary, place any additional burden upon the retirement  
27 system.

28     SEC. 17. Section 31781 of the Government Code is amended  
29 to read:

30     31781. The death benefit shall consist of:

31     (a) The member's accumulated contributions.

32     (b) An amount, provided from contributions by the county or  
33 district, equal to one-twelfth of the annual compensation earnable  
34 or pensionable compensation as defined in Section 7522.34,  
35 whichever is applicable, by the deceased during the 12 months  
36 immediately preceding the member's death, multiplied by the  
37 number of completed years of service under the system, but not  
38 to exceed 50 percent of such annual compensation. The

- 1 computation for any absence shall be based on the compensation
- 2 of the position held by the member at the beginning of the absence.

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**SUPPLEMENTAL AGENDA INFORMATION**

April 21, 2022

TO: Each Trustee  
Board of Retirement

FROM: Barry W. Lew   
Legislative Affairs Officer

FOR: May 5, 2022 Board of Retirement Meeting

SUBJECT: **Assembly Bill 1944—Brown Act**

*Author: Lee [D] and Garcia [D]*

*Sponsor: Author-sponsored*

*Amended: April 18, 2022*

*Introduced: February 10, 2022*

*Status: Re-referred to ASSEMBLY Committee on LOCAL GOVERNMENT. (04/19/2022)*

*IBLC Recommendation: Support (04/06/2022)*

*Staff Recommendation: Support*

**RECOMMENDATION**

That the Board of Retirement concur with the recommendation by the Insurance, Benefits and Legislative and adopt a “Support” position on Assembly Bill 1944, which would not require a nonpublic teleconference location to be identified or accessible to the public.

**LEGISLATIVE POLICY STANDARD**

The Board of Retirement’s legislative policy standard is to support proposals that provide increased flexibility in its administration of retirement plans and operations or enable more efficient and effective service to members and stakeholders. AB 1944 would provide the Board with more flexibility in holding board meetings as well as increase access and participation for the public and stakeholders.

**SUMMARY AND ANALYSIS OF AMENDMENTS**

As amended on April 18, 2022:

- The meeting agenda of the legislative body must identify any members who will participate in the meeting remotely. If a member elects to participate remotely after the agenda is posted, an updated agenda must be posted.

- The legislative body may decide by majority vote whether the private location where a member elects to teleconference must be disclosed and accessible to the public.
- The bill would repeal these amendments to the Brown Act on January 1, 2030.

The amendments provide that the agenda must specifically identify those members of the legislative body who elect to teleconference. If a member elects to participate remotely after the agenda is posted, then the agenda can only be updated during the 72 hours before a regular meeting or 24 hours before a special meeting to reflect that the member is participating remotely.

The amendments also provide discretion to the legislative body to determine whether the address of any private location from which a member elects to teleconference must be disclosed. The determination remains applicable until the legislative body votes otherwise.

The bill provides for a sunset date of January 1, 2030 for these amendments that provide more flexibility to legislative bodies in holding teleconferenced meetings. Thus, AB 1944 provides a pilot testing period for these changes to the Brown Act. Although the Brown Act will revert to the traditional requirements for holding teleconferenced meetings after the sunset date, this pilot testing period will enable an evaluation of the long-term feasibility of these changes on teleconferencing based on the experiences of various legislative bodies.

**IT IS THEREFORE RECOMMENDED THAT YOUR BOARD** concur with the recommendation by the Insurance, Benefits and Legislative and adopt a "Support" position on Assembly Bill 1944, which would not require a nonpublic teleconference location to be identified or accessible to the public.

**Reviewed and Approved:**



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**Steven P. Rice, Chief Counsel**

AB 1944  
Board of Retirement  
April 21, 2022  
Page 3

**Attachment**

AB 1944 (Lee and Garcia) as amended on April 18, 2022

cc: Santos H. Kreimann  
Luis Lugo  
JJ Popowich  
Laura Guglielmo  
Steven P. Rice  
Jon Grabel  
Shari McHugh, McHugh Koepke & Associates  
Naomi Padron, McHugh Koepke & Associates



AMENDED IN ASSEMBLY APRIL 18, 2022

CALIFORNIA LEGISLATURE—2021–22 REGULAR SESSION

**ASSEMBLY BILL**

**No. 1944**

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**Introduced by Assembly Members Lee and Cristina Garcia**

February 10, 2022

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An act to ~~amend~~ *amend, repeal, and add* Section 54953 of the Government Code, relating to public meetings.

LEGISLATIVE COUNSEL’S DIGEST

AB 1944, as amended, Lee. Local government: open and public meetings.

Existing law, the Ralph M. Brown Act, requires, with specified exceptions, that all meetings of a legislative body of a local agency, as those terms are defined, be open and public and that all persons be permitted to attend and participate. The act contains specified provisions regarding the timelines for posting an agenda and providing for the ability of the public to observe and provide comment. The act allows for meetings to occur via teleconferencing subject to certain requirements, particularly that the legislative body notice each teleconference location of each member that will be participating in the public meeting, that each teleconference location be accessible to the public, that members of the public be allowed to address the legislative body at each teleconference location, that the legislative body post an agenda at each teleconference location, and that at least a quorum of the legislative body participate from locations within the boundaries of the local agency’s jurisdiction. The act provides an exemption to the jurisdictional requirement for health authorities, as defined.

Existing law, until January 1, 2024, authorizes a local agency to use teleconferencing without complying with those specified

teleconferencing requirements in specified circumstances when a declared state of emergency is in effect, or in other situations related to public health.

~~This bill would specify that if a member of a legislative body elects to teleconference from a location that is not public, the address does not need to be identified in the notice and agenda or be accessible to the public when the legislative body has elected to allow members to participate via teleconferencing.~~

*This bill would require the agenda to identify any member of the legislative body that will participate in the meeting remotely. The bill would also require an updated agenda reflecting all of the members participating in the meeting remotely to be posted, if a member of the legislative body elects to participate in the meeting remotely after the agenda is posted.*

*This bill would authorize, under specified circumstances and upon a determination by a majority vote of the legislative body, a member to be exempt from identifying the address of the member's teleconference location in the notice and agenda or having the location be accessible to the public, if the member elects to teleconference from a location that is not a public place.*

This bill would require all open and public meetings of a legislative body that elects to use teleconferencing to provide a video stream accessible to members of the public and an option for members of the public to address the body remotely during the public comment period through an audio-visual or call-in option.

*This bill would repeal these provisions on January 1, 2030.*

Existing constitutional provisions require that a statute that limits the right of access to the meetings of public bodies or the writings of public officials and agencies be adopted with findings demonstrating the interest protected by the limitation and the need for protecting that interest.

This bill would make legislative findings to that effect.

The California Constitution requires local agencies, for the purpose of ensuring public access to the meetings of public bodies and the writings of public officials and agencies, to comply with a statutory enactment that amends or enacts laws relating to public records or open meetings and contains findings demonstrating that the enactment furthers the constitutional requirements relating to this purpose.

This bill would make legislative findings to that effect.

Vote: majority. Appropriation: no. Fiscal committee: ~~yes~~-no.  
State-mandated local program: no.

*The people of the State of California do enact as follows:*

1 SECTION 1. Section 54953 of the Government Code, as  
2 amended by Section 3 of Chapter 165 of the Statutes of 2021, is  
3 amended to read:

4 54953. (a) All meetings of the legislative body of a local  
5 agency shall be open and public, and all persons shall be permitted  
6 to attend any meeting of the legislative body of a local agency,  
7 except as otherwise provided in this chapter.

8 (b) (1) Notwithstanding any other ~~provision~~ of law, the  
9 legislative body of a local agency may use teleconferencing for  
10 the benefit of the public and the legislative body of a local agency  
11 in connection with any meeting or proceeding authorized by law.  
12 The teleconferenced meeting or proceeding shall comply with all  
13 otherwise applicable requirements of this chapter and all otherwise  
14 applicable provisions of law relating to a specific type of meeting  
15 or proceeding.

16 (2) Teleconferencing, as authorized by this section, may be used  
17 for all purposes in connection with any meeting within the subject  
18 matter jurisdiction of the legislative body. All votes taken during  
19 a teleconferenced meeting shall be by rollcall.

20 (3) (A) If the legislative body of a local agency elects to use  
21 teleconferencing, it shall post agendas at all teleconference  
22 locations and conduct teleconference meetings in a manner that  
23 protects the statutory and constitutional rights of the parties or the  
24 public appearing before the legislative body of a local agency.  
25 ~~Each~~

26 (B) *Each* teleconference location shall be identified in the notice  
27 and agenda of the meeting or proceeding, and each teleconference  
28 location shall be accessible to the public, except as provided in  
29 subparagraph ~~(A)~~. ~~During~~ (E).

30 (C) *The agenda shall identify any member of the legislative*  
31 *body that will participate in the meeting remotely. If a member of*  
32 *the legislative body elects to participate in the meeting remotely*  
33 *after the agenda is posted, an updated agenda shall be posted. In*  
34 *the time between the start of the meeting and 72 hours before a*  
35 *regular meeting, in accordance with Section 54954.2, and 24 hours*

1 *before a special meeting, in accordance with Section 54956, a*  
2 *legislative body shall only update the agenda to reflect the members*  
3 *participating in the meeting remotely.*

4 *(D) During the teleconference, at least a quorum of the members*  
5 *of the legislative body shall participate from locations within the*  
6 *boundaries of the territory over which the local agency exercises*  
7 *jurisdiction, except as provided in subdivisions (d) and (e). The*  
8 *agenda shall provide an opportunity for members of the public to*  
9 *address the legislative body directly pursuant to Section 54954.3*  
10 *at each teleconference location, except as provided in subparagraph*

11 ~~(A); (E).~~

12 ~~(A)~~

13 *(E) If a member of a legislative body elects to teleconference*  
14 *from a location that is not public, a public place, the legislative*  
15 *body shall be exempt from identifying the address—does not need*  
16 *to be identified of the location in the notice and agenda—or and*  
17 *from having the location be accessible to the public—when the*  
18 *legislative body has elected to allow members to participate via*  
19 *teleconferencing; in both of the following circumstances:*

20 *(i) The legislative body holds its first teleconferenced meeting*  
21 *after passage of the act that added this subparagraph, for the*  
22 *purpose of determining, by a majority vote, whether members will*  
23 *not be required to identify the address of any private location from*  
24 *which the member elects to teleconference. This determination*  
25 *remains applicable to the legislative body until such time as the*  
26 *legislative body votes otherwise.*

27 *(ii) The legislative body holds a meeting and has previously*  
28 *determined, by majority vote, that members will not be required*  
29 *to identify the address of any private location from which the*  
30 *member elects to teleconference.*

31 ~~(B)~~

32 *(F) If a legislative body elects to use teleconferencing, they*  
33 *teleconferencing as authorized by this section, it shall provide both*  
34 *of the following:*

35 *(i) A video stream accessible to members of the public.*

36 *(ii) An option for members of the public to address the body*  
37 *remotely during the public comment period through an audio-visual*  
38 *or call-in option.*

39 *(4) For the purposes of this section, “teleconference” means a*  
40 *meeting of a legislative body, the members of which are in different*

1 locations, connected by electronic means, through either audio or  
2 video, or both. Nothing in this section shall prohibit a local agency  
3 from providing the public with additional teleconference locations.

4 (5) For the purposes of this section, “~~video-streaming~~ *stream*”  
5 means ~~media~~ *a medium* in which the data from a live filming or a  
6 video file is continuously delivered via the internet to a remote  
7 user, allowing a video to be viewed online by the public without  
8 being downloaded on a host computer or device.

9 (c) (1) No legislative body shall take action by secret ballot,  
10 whether preliminary or final.

11 (2) The legislative body of a local agency shall publicly report  
12 any action taken and the vote or abstention on that action of each  
13 member present for the action.

14 (3) Prior to taking final action, the legislative body shall orally  
15 report a summary of a recommendation for a final action on the  
16 salaries, salary schedules, or compensation paid in the form of  
17 fringe benefits of a local agency executive, as defined in  
18 subdivision (d) of Section 3511.1, during the open meeting in  
19 which the final action is to be taken. This paragraph shall not affect  
20 the public’s right under the California Public Records Act (~~Chapter~~  
21 ~~3.5 (Division 10~~ (commencing with Section ~~6250~~) ~~of Division 7~~  
22 ~~7920.000~~) of Title 1) to inspect or copy records created or received  
23 in the process of developing the recommendation.

24 (d) (1) Notwithstanding the provisions relating to a quorum in  
25 paragraph (3) of subdivision (b), if a health authority conducts a  
26 teleconference meeting, members who are outside the jurisdiction  
27 of the authority may be counted toward the establishment of a  
28 quorum when participating in the teleconference if at least 50  
29 percent of the number of members that would establish a quorum  
30 are present within the boundaries of the territory over which the  
31 authority exercises jurisdiction, and the health authority provides  
32 a teleconference number, and associated access codes, if any, that  
33 allows any person to call in to participate in the meeting and the  
34 number and access codes are identified in the notice and agenda  
35 of the meeting.

36 (2) Nothing in this subdivision shall be construed as  
37 discouraging health authority members from regularly meeting at  
38 a common physical site within the jurisdiction of the authority or  
39 from using teleconference locations within or near the jurisdiction  
40 of the authority. A teleconference meeting for which a quorum is

1 established pursuant to this subdivision shall be subject to all other  
2 requirements of this section.

3 (3) For purposes of this subdivision, a health authority means  
4 any entity created pursuant to Sections 14018.7, 14087.31,  
5 14087.35, 14087.36, 14087.38, and 14087.9605 of the Welfare  
6 and Institutions Code, any joint powers authority created pursuant  
7 to Article 1 (commencing with Section 6500) of Chapter 5 of  
8 Division 7 for the purpose of contracting pursuant to Section  
9 14087.3 of the Welfare and Institutions Code, and any advisory  
10 committee to a county-sponsored health plan licensed pursuant to  
11 Chapter 2.2 (commencing with Section 1340) of Division 2 of the  
12 Health and Safety Code if the advisory committee has 12 or more  
13 members.

14 (e) (1) A local agency may use teleconferencing without  
15 complying with the requirements of paragraph (3) of subdivision  
16 (b) if the legislative body complies with the requirements of  
17 paragraph (2) of this subdivision in any of the following  
18 circumstances:

19 (A) The legislative body holds a meeting during a proclaimed  
20 state of emergency, and state or local officials have imposed or  
21 recommended measures to promote social distancing.

22 (B) The legislative body holds a meeting during a proclaimed  
23 state of emergency for the purpose of determining, by majority  
24 vote, ~~whether~~ *whether*, as a result of the emergency, meeting in  
25 person would present imminent risks to the health or safety of  
26 attendees.

27 (C) The legislative body holds a meeting during a proclaimed  
28 state of emergency and has determined, by majority vote, pursuant  
29 to subparagraph (B), that, as a result of the emergency, meeting  
30 in person would present imminent risks to the health or safety of  
31 attendees.

32 (2) A legislative body that holds a meeting pursuant to this  
33 subdivision shall do all of the following:

34 (A) The legislative body shall give notice of the meeting and  
35 post agendas as otherwise required by this chapter.

36 (B) The legislative body shall allow members of the public to  
37 access the meeting and the agenda shall provide an opportunity  
38 for members of the public to address the legislative body directly  
39 pursuant to Section 54954.3. In each instance in which notice of  
40 the time of the teleconferenced meeting is otherwise given or the

1 agenda for the meeting is otherwise posted, the legislative body  
2 shall also give notice of the means by which members of the public  
3 may access the meeting and offer public comment. The agenda  
4 shall identify and include an opportunity for all persons to attend  
5 via a call-in option or an internet-based service option. This  
6 subparagraph shall not be construed to require the legislative body  
7 to provide a physical location from which the public may attend  
8 or comment.

9 (C) The legislative body shall conduct teleconference meetings  
10 in a manner that protects the statutory and constitutional rights of  
11 the parties and the public appearing before the legislative body of  
12 a local agency.

13 (D) In the event of a disruption which prevents the public agency  
14 from broadcasting the meeting to members of the public using the  
15 call-in option or internet-based service option, or in the event of  
16 a disruption within the local agency's control which prevents  
17 members of the public from offering public comments using the  
18 call-in option or internet-based service option, the body shall take  
19 no further action on items appearing on the meeting agenda until  
20 public access to the meeting via the call-in option or internet-based  
21 service option is restored. Actions taken on agenda items during  
22 a disruption which prevents the public agency from broadcasting  
23 the meeting may be challenged pursuant to Section 54960.1.

24 (E) The legislative body shall not require public comments to  
25 be submitted in advance of the meeting and must provide an  
26 opportunity for the public to address the legislative body and offer  
27 comment in real time. This subparagraph shall not be construed  
28 to require the legislative body to provide a physical location from  
29 which the public may attend or comment.

30 (F) Notwithstanding Section 54953.3, an individual desiring to  
31 provide public comment through the use of an internet website, or  
32 other online platform, not under the control of the local legislative  
33 body, that requires registration to log in to a teleconference may  
34 be required to register as required by the third-party internet  
35 website or online platform to participate.

36 (G) (i) A legislative body that provides a timed public comment  
37 period for each agenda item shall not close the public comment  
38 period for the agenda item, or the opportunity to register, pursuant  
39 to subparagraph (F), to provide public comment until that timed  
40 public comment period has elapsed.

(ii) A legislative body that does not provide a timed public comment period, but takes public comment separately on each agenda item, shall allow a reasonable amount of time per agenda item to allow public members the opportunity to provide public comment, including time for members of the public to register pursuant to subparagraph (F), or otherwise be recognized for the purpose of providing public comment.

(iii) A legislative body that provides a timed general public comment period that does not correspond to a specific agenda item shall not close the public comment period or the opportunity to register, pursuant to subparagraph (F), until the timed general public comment period has elapsed.

(3) If a state of emergency remains active, or state or local officials have imposed or recommended measures to promote social distancing, in order to continue to teleconference without compliance with paragraph (3) of subdivision (b), the legislative body shall, not later than 30 days after teleconferencing for the first time pursuant to subparagraph (A), (B), or (C) of paragraph (1), and every 30 days thereafter, make the following findings by majority vote:

(A) The legislative body has reconsidered the circumstances of the state of emergency.

(B) Any of the following circumstances exist:

(i) The state of emergency continues to directly impact the ability of the members to meet safely in person.

(ii) State or local officials continue to impose or recommend measures to promote social distancing.

(4) For the purposes of this subdivision, “state of emergency” means a state of emergency proclaimed pursuant to Section 8625 of the California Emergency Services Act (Article 1 (commencing with Section 8550) of Chapter 7 of Division 1 of Title 2).

(f) This section shall remain in effect only until January 1, 2024, and as of that date is repealed.

SEC. 2. Section 54953 of the Government Code, as added by Section 4 of Chapter 165 of the Statutes of 2021, is amended to read:

54953. (a) All meetings of the legislative body of a local agency shall be open and public, and all persons shall be permitted to attend any meeting of the legislative body of a local agency, except as otherwise provided in this chapter.



(b) (1) Notwithstanding any other ~~provision~~ of law, the legislative body of a local agency may use teleconferencing for the benefit of the public and the legislative body of a local agency in connection with any meeting or proceeding authorized by law. The teleconferenced meeting or proceeding shall comply with all requirements of this chapter and all otherwise applicable provisions of law relating to a specific type of meeting or proceeding.

(2) Teleconferencing, as authorized by this section, may be used for all purposes in connection with any meeting within the subject matter jurisdiction of the legislative body. All votes taken during a teleconferenced meeting shall be by rollcall.

(3) (A) If the legislative body of a local agency elects to use teleconferencing, it shall post agendas at all teleconference locations and conduct teleconference meetings in a manner that protects the statutory and constitutional rights of the parties or the public appearing before the legislative body of a local agency. ~~Each~~

(B) *Each* teleconference location shall be identified in the notice and agenda of the meeting or proceeding, and each teleconference location shall be accessible to the public, except as provided in subparagraph ~~(A)~~. ~~During~~ (E).

(C) *The agenda shall identify any member of the legislative body that will participate in the meeting remotely. If a member of the legislative body elects to participate in the meeting remotely after the agenda is posted, an updated agenda shall be posted. In the time between the start of the meeting and 72 hours before a regular meeting, in accordance with Section 54954.2, and 24 hours before a special meeting, in accordance with Section 54956, a legislative body shall only update the agenda to reflect the members participating in the meeting remotely.*

(D) *During* the teleconference, at least a quorum of the members of the legislative body shall participate from locations within the boundaries of the territory over which the local agency exercises jurisdiction, except as provided in subdivision (d). The agenda shall provide an opportunity for members of the public to address the legislative body directly pursuant to Section 54954.3 at each teleconference location, except as provided in subparagraph ~~(A)~~. (E).

~~(A)~~

1 (E) If a member of a legislative body elects to teleconference  
2 from a location that is not ~~public~~, *a public place, the legislative*  
3 *body shall be exempt from identifying the address—does not need*  
4 *to be identified of the location in the notice and agenda, or agenda*  
5 *and from having the location be accessible to the public when the*  
6 *legislative body has elected to allow members to participate via*  
7 *teleconferencing*, in both of the following circumstances:

8 (i) *The legislative body holds its first teleconferenced meeting*  
9 *after passage of the act that added this subparagraph, for the*  
10 *purpose of determining, by a majority vote, whether members will*  
11 *not be required to identify the address of any private location from*  
12 *which the member elects to teleconference. This determination*  
13 *remains applicable to the legislative body until such time as the*  
14 *legislative body votes otherwise.*

15 (ii) *The legislative body holds a meeting and has previously*  
16 *determined, by majority vote, that members will not be required*  
17 *to identify the address of any private location from which the*  
18 *member elects to teleconference.*

19 ~~(B)~~

20 (F) If a legislative body elects to use ~~teleconferencing~~, they  
21 *teleconferencing as authorized by this section, it shall provide both*  
22 *of the following:*

23 (i) A video stream accessible to members of the public.

24 (ii) An option for members of the public to address the body  
25 remotely during the public comment period through an audio-visual  
26 or call-in option.

27 (4) For the purposes of this section, “teleconference” means a  
28 meeting of a legislative body, the members of which are in different  
29 locations, connected by electronic means, through either audio or  
30 video, or both. Nothing in this section shall prohibit a local agency  
31 from providing the public with additional teleconference ~~locations~~  
32 *locations.*

33 (5) For the purposes of this section, “~~video-streaming~~ stream”  
34 ~~means media~~ *a medium* in which the data from a live filming or a  
35 video file is continuously delivered via the internet to a remote  
36 user, allowing a video to be viewed online by the public without  
37 being downloaded on a host computer or device.

38 (c) (1) No legislative body shall take action by secret ballot,  
39 whether preliminary or final.

1 (2) The legislative body of a local agency shall publicly report  
2 any action taken and the vote or abstention on that action of each  
3 member present for the action.

4 (3) Prior to taking final action, the legislative body shall orally  
5 report a summary of a recommendation for a final action on the  
6 salaries, salary schedules, or compensation paid in the form of  
7 fringe benefits of a local agency executive, as defined in  
8 subdivision (d) of Section 3511.1, during the open meeting in  
9 which the final action is to be taken. This paragraph shall not affect  
10 the public's right under the California Public Records Act (~~Chapter~~  
11 ~~3.5 (Division 10 (commencing with Section 6250) of Division 7~~  
12 ~~7920.000)~~ of Title 1) to inspect or copy records created or received  
13 in the process of developing the recommendation.

14 (d) (1) Notwithstanding the provisions relating to a quorum in  
15 paragraph (3) of subdivision (b), if a health authority conducts a  
16 teleconference meeting, members who are outside the jurisdiction  
17 of the authority may be counted toward the establishment of a  
18 quorum when participating in the teleconference if at least 50  
19 percent of the number of members that would establish a quorum  
20 are present within the boundaries of the territory over which the  
21 authority exercises jurisdiction, and the health authority provides  
22 a teleconference number, and associated access codes, if any, that  
23 allows any person to call in to participate in the meeting and the  
24 number and access codes are identified in the notice and agenda  
25 of the meeting.

26 (2) Nothing in this subdivision shall be construed as  
27 discouraging health authority members from regularly meeting at  
28 a common physical site within the jurisdiction of the authority or  
29 from using teleconference locations within or near the jurisdiction  
30 of the authority. A teleconference meeting for which a quorum is  
31 established pursuant to this subdivision shall be subject to all other  
32 requirements of this section.

33 (3) For purposes of this subdivision, a health authority means  
34 any entity created pursuant to Sections 14018.7, 14087.31,  
35 14087.35, 14087.36, 14087.38, and 14087.9605 of the Welfare  
36 and Institutions Code, any joint powers authority created pursuant  
37 to Article 1 (commencing with Section 6500) of Chapter 5 of  
38 Division 7 for the purpose of contracting pursuant to Section  
39 14087.3 of the Welfare and Institutions Code, and any advisory  
40 committee to a county-sponsored health plan licensed pursuant to

Chapter 2.2 (commencing with Section 1340) of Division 2 of the Health and Safety Code if the advisory committee has 12 or more members.

(e) This section shall become operative January 1, 2024.

(f) *This section shall remain in effect only until January 1, 2030, and as of that date is repealed.*

SEC. 3. *Section 54953 is added to the Government Code, to read:*

54953. (a) *All meetings of the legislative body of a local agency shall be open and public, and all persons shall be permitted to attend any meeting of the legislative body of a local agency, except as otherwise provided in this chapter.*

(b) (1) *Notwithstanding any other provision of law, the legislative body of a local agency may use teleconferencing for the benefit of the public and the legislative body of a local agency in connection with any meeting or proceeding authorized by law. The teleconferenced meeting or proceeding shall comply with all requirements of this chapter and all otherwise applicable provisions of law relating to a specific type of meeting or proceeding.*

(2) *Teleconferencing, as authorized by this section, may be used for all purposes in connection with any meeting within the subject matter jurisdiction of the legislative body. All votes taken during a teleconferenced meeting shall be by rollcall.*

(3) *If the legislative body of a local agency elects to use teleconferencing, it shall post agendas at all teleconference locations and conduct teleconference meetings in a manner that protects the statutory and constitutional rights of the parties or the public appearing before the legislative body of a local agency. Each teleconference location shall be identified in the notice and agenda of the meeting or proceeding, and each teleconference location shall be accessible to the public. During the teleconference, at least a quorum of the members of the legislative body shall participate from locations within the boundaries of the territory over which the local agency exercises jurisdiction, except as provided in subdivision (d). The agenda shall provide an opportunity for members of the public to address the legislative body directly pursuant to Section 54954.3 at each teleconference location.*

1     (4) *For the purposes of this section, “teleconference” means a*  
2 *meeting of a legislative body, the members of which are in different*  
3 *locations, connected by electronic means, through either audio or*  
4 *video, or both. Nothing in this section shall prohibit a local agency*  
5 *from providing the public with additional teleconference locations*

6     (c) (1) *No legislative body shall take action by secret ballot,*  
7 *whether preliminary or final.*

8     (2) *The legislative body of a local agency shall publicly report*  
9 *any action taken and the vote or abstention on that action of each*  
10 *member present for the action.*

11     (3) *Prior to taking final action, the legislative body shall orally*  
12 *report a summary of a recommendation for a final action on the*  
13 *salaries, salary schedules, or compensation paid in the form of*  
14 *fringe benefits of a local agency executive, as defined in subdivision*  
15 *(d) of Section 3511.1, during the open meeting in which the final*  
16 *action is to be taken. This paragraph shall not affect the public’s*  
17 *right under the California Public Records Act (Division 10*  
18 *(commencing with Section 7920.000) of Title 1) to inspect or copy*  
19 *records created or received in the process of developing the*  
20 *recommendation.*

21     (d) (1) *Notwithstanding the provisions relating to a quorum in*  
22 *paragraph (3) of subdivision (b), if a health authority conducts a*  
23 *teleconference meeting, members who are outside the jurisdiction*  
24 *of the authority may be counted toward the establishment of a*  
25 *quorum when participating in the teleconference if at least 50*  
26 *percent of the number of members that would establish a quorum*  
27 *are present within the boundaries of the territory over which the*  
28 *authority exercises jurisdiction, and the health authority provides*  
29 *a teleconference number, and associated access codes, if any, that*  
30 *allows any person to call in to participate in the meeting and the*  
31 *number and access codes are identified in the notice and agenda*  
32 *of the meeting.*

33     (2) *Nothing in this subdivision shall be construed as*  
34 *discouraging health authority members from regularly meeting at*  
35 *a common physical site within the jurisdiction of the authority or*  
36 *from using teleconference locations within or near the jurisdiction*  
37 *of the authority. A teleconference meeting for which a quorum is*  
38 *established pursuant to this subdivision shall be subject to all other*  
39 *requirements of this section.*

(3) For purposes of this subdivision, a health authority means any entity created pursuant to Sections 14018.7, 14087.31, 14087.35, 14087.36, 14087.38, and 14087.9605 of the Welfare and Institutions Code, any joint powers authority created pursuant to Article 1 (commencing with Section 6500) of Chapter 5 of Division 7 for the purpose of contracting pursuant to Section 14087.3 of the Welfare and Institutions Code, and any advisory committee to a county-sponsored health plan licensed pursuant to Chapter 2.2 (commencing with Section 1340) of Division 2 of the Health and Safety Code if the advisory committee has 12 or more members.

(e) This section shall become operative January 1, 2030.

~~SEC. 3.~~

~~SEC. 4.~~ The Legislature finds and declares that Sections 1 and 2- 1, 2, and 3 of this act, which ~~amends~~ amend, repeal, and add Section 54953 of the Government Code, further, within the meaning of paragraph (7) of subdivision (b) of Section 3 of Article I of the California Constitution, the purposes of that constitutional section as it relates to the right of public access to the meetings of local public bodies or the writings of local public officials and local agencies. Pursuant to paragraph (7) of subdivision (b) of Section 3 of Article I of the California Constitution, the Legislature makes the following findings:

This act is necessary to ensure minimum standards for public participation allowing for greater public participation in teleconference meetings.

~~SEC. 4.~~

SEC. 5. (a) The Legislature finds and declares that during the COVID-19 public health emergency, certain requirements of the Ralph M. Brown Act (Chapter 9 (commencing with Section 54950) of Part 1 of Division 2 of Title 5 of the Government Code) and the Bagley-Keene Open Meeting Act (Article 9 (commencing with Section 11120) of Chapter 1 of Part 1 of Division 3 of Title 2 of the Government Code) were suspended by Executive Order No. N-29-20. Audio and video teleconference were widely used to conduct public meetings in lieu of physical location meetings, and public meetings conducted by teleconference during the COVID-19 public health emergency have been productive, have increased public participation by all members of the public regardless of their location in the state and ability to travel to physical meeting

1 locations, have protected the health and safety of civil servants  
2 and the public, and have reduced travel costs incurred by members  
3 of state bodies and reduced work hours spent traveling to and from  
4 meetings.

5 (b) The Legislature finds and declares that Sections ~~1 and 2~~ 1,  
6 2, *and* 3 of this act, which ~~amend~~ *amend, repeal, and add* Section  
7 54953 of the Government Code, ~~imposes~~ *impose* a potential  
8 limitation on the public's right of access to the meetings of public  
9 bodies or the writings of public officials and agencies within the  
10 meaning of Section 3 of Article I of the California Constitution.  
11 Pursuant to that constitutional provision, the Legislature makes  
12 the following findings to demonstrate the interest protected by this  
13 potential limitation and the need for protecting that interest:

14 By removing the requirement for each teleconference location  
15 to be identified in the notice and agenda, including the member's  
16 private home address, *and by providing exceptions to the*  
17 *requirements that each teleconference location must be accessible*  
18 *to the public and that members of the public be given the*  
19 *opportunity to address the legislative body directly at each*  
20 *teleconference location*, this act protects the personal, private  
21 information *and location* of public officials and their families while  
22 preserving the public's right to access information concerning the  
23 conduct of the people's business.

April 21, 2022

TO: Each Trustee  
Board of Retirement

FROM: Insurance, Benefits and Legislative Committee  
Les Robbins, Chair  
Vivian H. Gray, Vice Chair  
Shawn R. Kehoe  
Wayne Moore  
Herman Santos, Alternate

FOR: May 5, 2022 Board of Retirement Meeting

SUBJECT: **Assembly Bill 1944—Brown Act**

*Author: Lee [D] and Garcia [D]*  
*Sponsor: Author-sponsored*  
*Amended: April 18, 2022*  
*Introduced: February 10, 2022*  
*Status: Re-referred to ASSEMBLY Committee on LOCAL GOVERNMENT. (04/19/2022)*

*IBLC Recommendation: Support (04/06/2022)*  
*Staff Recommendation: Support*

## RECOMMENDATION

That the Board of Retirement adopt a “Support” position on Assembly Bill 1944, which would not require a nonpublic teleconference location to be identified or accessible to the public.

## LEGISLATIVE POLICY STANDARD

The Board of Retirement’s legislative policy standard is to support proposals that provide increased flexibility in its administration of retirement plans and operations or enable more efficient and effective service to members and stakeholders. AB 1944 would provide the Board with more flexibility in holding board meetings as well as increase access and participation for the public and stakeholders.

## SUMMARY

AB 1944 would specify that a local agency’s legislative body need not disclose the address of a nonpublic teleconference location or make it accessible to the public if it elects to use teleconferencing for its meetings. It would require a legislative body that elects to use teleconferencing to provide a publicly accessible video stream and an audio-visual or call-in option for public comment.



## **ANALYSIS**

### *Existing Law*

The Ralph M. Brown Act provides that meetings of a local agency's legislative body must be open and public, and all persons are permitted to attend the meeting, unless otherwise provided by the Brown Act. The legislative body may elect to hold its meetings through teleconferencing. If so, it must post agendas at all teleconference locations, which must be identified in the agenda and be accessible to the public. A quorum of the legislative body's members must be within the boundaries of its jurisdiction. Members of the public must have an opportunity to address the legislative body at each teleconference location.

During a proclaimed state of emergency, the legislative body may under specified circumstances use teleconferencing without complying with these requirements. However, it must comply with other specified requirements related to the conduct of teleconferenced meetings during a state of emergency. The option to conduct teleconference meetings under these modified requirements during a state of emergency remains in effect until January 1, 2024. After this date, the Brown Act will revert to its traditional requirements for holding teleconferenced meetings.

### *This Bill*

AB 1944 would provide that if a local agency's legislative body elects to hold teleconference meetings, it is not required to disclose the address of a nonpublic teleconference location or make it accessible to the public. It would require the legislative body that elects to use teleconferencing to provide a publicly accessible video stream and an audio-visual or call-in option for public comment.

The Brown Act's provisions that allow a local agency's legislative body to hold teleconference meetings under modified requirements during a state of emergency remain in effect only until January 1, 2024. After that date, the traditional requirements of the Brown Act related to teleconference meetings will take effect.

AB 1944 would apply to teleconference meetings held before or after January 1, 2024. Thus, if before January 1, 2024, the state of emergency was proclaimed no longer active, AB 1944 would allow a legislative body to hold teleconference meetings without disclosing the location of a nonpublic teleconference location or make it accessible to the public.

Although the Brown Act traditionally provided for the use of teleconference meetings, the requirement that a teleconference location be identified and accessible to the public has been an area of concern. Frequently, such a teleconference location would be a board member's private residence, which gives rise to issues of safety and privacy and has been a barrier to remote participation.

At the outset of the COVID-19 pandemic in March 2020, Governor Newsom issued an executive order that suspended certain requirements of the Brown Act related to the physical presence of a legislative body and the public when teleconferencing to comply

with public health guidelines on social distancing. Thus, board members who were teleconferencing at their private residence did not have to disclose its location or provide access to the public.

Over a year later in June 2021 while the pandemic was ongoing and public agencies continued to use teleconferenced meetings, the Little Hoover Commission issued a report<sup>1</sup> on the benefits of the use of remote technology related to the Bagley-Keene Act, which is the state's open meeting law. The Commission made two recommendations to the California State Legislature about updating Bagley-Keene's framework. One recommendation was to increase access to public meetings by requiring that state boards and commissions provide public access to their meetings with both a physical location and a teleconference option. The second recommendation was to make it easier for board members to participate remotely by not requiring public disclosure and public accessibility of nonpublic teleconference locations, in particular a board member's private residence.

Although the Commission's report relates to the Bagley-Keene Act, the Commission also recommended that policymakers consider similar changes to the Brown Act. AB 1944 is consistent with the Commission's recommendation regarding the disclosure and accessibility of a board member's private residence. By the same token, the bill does not preclude a legislative body from providing other additional public locations from being accessible to the public to participate via teleconferencing. As such, AB 1944 provides flexibility to local agency boards to hold teleconference meetings and enables increased access and participation by the public and other stakeholders.

**IT IS THEREFORE RECOMMENDED THAT THE BOARD** adopt a "Support" position on Assembly Bill 1944, which would not require a nonpublic teleconference location to be identified or accessible to the public.

### **Attachments**

Attachment 1—Board Positions Adopted on Related Legislation

Attachment 2—Support and Opposition

AB 1944 (Lee and Garcia) as introduced on February 10, 2022

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<sup>1</sup> Little Hoover Commission. (2021, June). *The Government of Tomorrow: Online Meetings*. Retrieved March 28, 2022, from <https://lhc.ca.gov/report/government-tomorrow-online-meetings>

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cc: Santos H. Kreimann  
Luis Lugo  
JJ Popowich  
Laura Guglielmo  
Steven P. Rice  
Jon Grabel  
Naomi Padron, McHugh Koepke & Associates

## **BOARD POSITIONS ADOPTED ON RELATED LEGISLATION**

SB 274 (Chapter 274, Statutes of 2021) required a local agency with an internet website to email a copy of or a link to the agenda or agenda packet if a person requests that the items be delivered by email. If it is not technologically feasible to use email, the local agency must mail a copy of the agenda or a website link to the agenda and to mail a copy of the agenda packet. The Board of Retirement did not adopt a position.

AB 703 (2021, died in committee) would remove the requirements of the Brown Act particular to teleconferencing and allow for teleconferencing subject to existing provisions regarding the posting of notice of an agenda and the ability of the public to observe the meeting and provide public comment. The Board of Retirement did not adopt a position.

AB 361 (Chapter 165, Statutes of 2021) authorized a local agency to use teleconferencing without complying with the Brown Act's teleconferencing requirements when its legislative body holds a meeting during a declared state of emergency, when public health officials have imposed or recommended social distancing measures. The Board of Retirement did not adopt a position.

AB 339 (2021, vetoed by Governor) would require all open and public meetings to include an in-person public comment opportunity, except in specified circumstances during a declared state or local emergency. It would require all meetings to provide the public with an opportunity to comment in person and remotely via a telephonic or an internet-based service option. The Board of Retirement did not adopt a position.

AB 992 (Chapter 89, Statutes of 2020) provided that until January 1, 2026, a member of a legislative body is not prohibited from engaging in separate conversations or communications outside of an authorized meeting using an internet-based social media platform to answer questions, provide information to the public, or to solicit information from the public. A majority of members cannot use the internet-based social media platform to discuss among themselves or respond to each other on matters within the legislative body's jurisdiction. The Board of Retirement did not adopt a position.

AB 2257 (Chapter 265, Statutes of 2016) required that the posting of a meeting agenda on a website must have a prominent, direct link to the agenda from the homepage. It requires the posted agenda to meet requirements of platform independence and machine readability. It exempts local agencies if the legislative body has an integrated agenda management platform whose direct link is posted on the homepage and contains the current and prior agendas. The Board of Retirement adopted a "Watch" position.

AB 382 (Chapter 326, Statutes of 2013) addressed an inconsistency between the Ralph M. Brown Act and the California Public Records Act. It would include prescribed documents dealing with alternative investments within the exceptions to the requirement

for disclosure of documents related to public meetings. The Board of Investments adopted a “Support” position.

AB 246 (Chapter 11, Statutes of 2013) authorized a local agency’s legislative body to hold closed session meetings with the Governor. The Board of Retirement did not adopt a position.

AB 1736 (2012, died in committee) would authorize a local agency’s legislative body to hold closed session meetings with the Governor and other specified individuals. It would require additional information in its posted agenda for these specified types of meetings. The Board of Retirement did not adopt a position.

SCA 7 (2011, died in committee) would make it a requirement in the California Constitution that each public body provide public notice of its meetings and disclose any action taken. A constitutional requirement would make agenda posting and reporting ineligible for state reimbursement. The Board of Retirement adopted a “Watch” position.

AB 392 (2011, died in committee) would require a local agency’s legislative body to post the agenda and reports related to the agenda items on its website. If the legislative body does not have a website, it would require the legislative body to disclose on the posted agenda a public location where the reports are available for copying and inspection by the public at least 72 hours before the meeting. The Board of Retirement adopted a “Support” position.

AB 23 (Chapter 91, Statutes of 2011) required a convened legislative body whose membership constitutes a quorum of another legislative body to convene a meeting of that subsequent legislative body only if a clerk or member of the convened legislative body announces the amount of compensation or stipend that each member will receive as a result of convening the simultaneous or serial meeting of the subsequent legislative body. The Board of Retirement adopted a “Watch” position.

SB 711 (2009, died in committee) would require a local agency, before holding a closed session meeting for the purpose of having designated representatives report on a collectively bargained agreement, to make the agreement publicly available at least 15 calendar days before the meeting. The Board of Retirement adopted a “Watch” position.

AB 137 (2009, died in committee) would limit the exclusion of advisory committees from the Brown Act that are composed solely of less than a quorum of board members and whose subject matter jurisdiction cumulatively lasts for 2 years or less. The Board of Retirement adopted a “Watch” position.

SB 1732 (Chapter 63, Statutes of 2008) prohibited a majority of board members from using a series of communications to discuss, deliberate, or take action on any item of

business within the board's subject matter jurisdiction outside of an agenda meeting. It did not prevent an employee or official of a local agency from engaging in separate communications to answer questions or provide information regarding matters within the local agency's jurisdiction. The Board of Retirement adopted a "Watch" position.

SB 964 (2007, vetoed by Governor) would prohibit a majority of board members from using a series of communications to discuss, deliberate, or take action on any item of business within the board's subject matter jurisdiction outside of an agenda meeting. The Board of Retirement adopted a "Watch" position.

## **SUPPORT**

California State Association of Counties  
Rural County Representatives of California  
Urban Counties of California  
League of California Cities Latino Caucus  
Association of California School Administrators  
Association of California Healthcare Districts  
California Association of Public Authorities for IHSS  
Bay Area Air Quality Management District  
City of San José  
City of Santa Clara  
First 5 Solano Children and Families Commission  
Los Angeles Unified School District  
Peninsula Clean Energy  
Silicon Valley Clean Energy  
Silicon Valley Community Foundation  
Solano County Board of Supervisors  
Sonoma Clean Power  
Town of Hillsborough  
Gilroy City Councilmember Zach Hilton  
Pinole Mayor Pro Tem Devin T. Murphy  
Santa Clara School Board Member Vickie Fairchild  
Santa Clara School Board Member Bonnie Lieberman  
Seaside City Councilmember Jon Wizard  
South San Francisco Councilmember James Coleman  
San Bruno Park District Trustee Andriana Shea  
Santa Ana City Councilmember Jessie Lopez  
Sacramento City Councilmember Katie Valenzuela  
South San Francisco Unified School District Board of Trustees John Baker  
North Westwood Neighborhood Councilmember Andrew Lewis

## **OPPOSITION**

Unknown

(Note: Although legislative policy committee that has subject-matter jurisdiction over AB 1944 has not released a bill analysis listing officially registered support or opposition by interested parties, the aforementioned list of supporters was provided in a fact sheet from the author's office.)

**ASSEMBLY BILL**

**No. 1944**

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**Introduced by Assembly Members Lee and Cristina Garcia**

February 10, 2022

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An act to amend Section 54953 of the Government Code, relating to public meetings.

LEGISLATIVE COUNSEL’S DIGEST

AB 1944, as introduced, Lee. Local government: open and public meetings.

Existing law, the Ralph M. Brown Act, requires, with specified exceptions, that all meetings of a legislative body of a local agency, as those terms are defined, be open and public and that all persons be permitted to attend and participate. The act contains specified provisions regarding the timelines for posting an agenda and providing for the ability of the public to observe and provide comment. The act allows for meetings to occur via teleconferencing subject to certain requirements, particularly that the legislative body notice each teleconference location of each member that will be participating in the public meeting, that each teleconference location be accessible to the public, that members of the public be allowed to address the legislative body at each teleconference location, that the legislative body post an agenda at each teleconference location, and that at least a quorum of the legislative body participate from locations within the boundaries of the local agency’s jurisdiction. The act provides an exemption to the jurisdictional requirement for health authorities, as defined.

Existing law, until January 1, 2024, authorizes a local agency to use teleconferencing without complying with those specified teleconferencing requirements in specified circumstances when a



declared state of emergency is in effect, or in other situations related to public health.

This bill would specify that if a member of a legislative body elects to teleconference from a location that is not public, the address does not need to be identified in the notice and agenda or be accessible to the public when the legislative body has elected to allow members to participate via teleconferencing.

This bill would require all open and public meetings of a legislative body that elects to use teleconferencing to provide a video stream accessible to members of the public and an option for members of the public to address the body remotely during the public comment period through an audio-visual or call-in option.

Existing constitutional provisions require that a statute that limits the right of access to the meetings of public bodies or the writings of public officials and agencies be adopted with findings demonstrating the interest protected by the limitation and the need for protecting that interest.

This bill would make legislative findings to that effect.

The California Constitution requires local agencies, for the purpose of ensuring public access to the meetings of public bodies and the writings of public officials and agencies, to comply with a statutory enactment that amends or enacts laws relating to public records or open meetings and contains findings demonstrating that the enactment furthers the constitutional requirements relating to this purpose.

This bill would make legislative findings to that effect.

Vote: majority. Appropriation: no. Fiscal committee: yes.  
State-mandated local program: no.

*The people of the State of California do enact as follows:*

- 1 SECTION 1. Section 54953 of the Government Code, as
- 2 amended by Section 3 of Chapter 165 of the Statutes of 2021, is
- 3 amended to read:
- 4 54953. (a) All meetings of the legislative body of a local
- 5 agency shall be open and public, and all persons shall be permitted
- 6 to attend any meeting of the legislative body of a local agency,
- 7 except as otherwise provided in this chapter.
- 8 (b) (1) Notwithstanding any other provision of law, the
- 9 legislative body of a local agency may use teleconferencing for
- 10 the benefit of the public and the legislative body of a local agency

1 in connection with any meeting or proceeding authorized by law.  
2 The teleconferenced meeting or proceeding shall comply with all  
3 otherwise applicable requirements of this chapter and all otherwise  
4 applicable provisions of law relating to a specific type of meeting  
5 or proceeding.

6 (2) Teleconferencing, as authorized by this section, may be used  
7 for all purposes in connection with any meeting within the subject  
8 matter jurisdiction of the legislative body. All votes taken during  
9 a teleconferenced meeting shall be by rollcall.

10 (3) If the legislative body of a local agency elects to use  
11 teleconferencing, it shall post agendas at all teleconference  
12 locations and conduct teleconference meetings in a manner that  
13 protects the statutory and constitutional rights of the parties or the  
14 public appearing before the legislative body of a local agency.  
15 Each teleconference location shall be identified in the notice and  
16 agenda of the meeting or proceeding, and each teleconference  
17 location shall be accessible to the ~~public~~ *public, except as provided*  
18 *in subparagraph (A)*. During the teleconference, at least a quorum  
19 of the members of the legislative body shall participate from  
20 locations within the boundaries of the territory over which the  
21 local agency exercises jurisdiction, except as provided in  
22 subdivisions (d) and (e). The agenda shall provide an opportunity  
23 for members of the public to address the legislative body directly  
24 pursuant to Section 54954.3 at each teleconference ~~location~~.  
25 *location, except as provided in subparagraph (A)*.

26 (A) *If a member of a legislative body elects to teleconference*  
27 *from a location that is not public, the address does not need to be*  
28 *identified in the notice and agenda or be accessible to the public*  
29 *when the legislative body has elected to allow members to*  
30 *participate via teleconferencing.*

31 (B) *If a legislative body elects to use teleconferencing, they shall*  
32 *provide both of the following:*

- 33 (i) *A video stream accessible to members of the public.*
- 34 (ii) *An option for members of the public to address the body*  
35 *remotely during the public comment period through an audio-visual*  
36 *or call-in option.*

37 (4) For the purposes of this section, “teleconference” means a  
38 meeting of a legislative body, the members of which are in different  
39 locations, connected by electronic means, through either audio or

1 video, or both. Nothing in this section shall prohibit a local agency  
2 from providing the public with additional teleconference locations.

3 (5) *For the purposes of this section, “video streaming” means*  
4 *media in which the data from a live filming or a video file is*  
5 *continuously delivered via the internet to a remote user, allowing*  
6 *a video to be viewed online by the public without being downloaded*  
7 *on a host computer or device.*

8 (c) (1) No legislative body shall take action by secret ballot,  
9 whether preliminary or final.

10 (2) The legislative body of a local agency shall publicly report  
11 any action taken and the vote or abstention on that action of each  
12 member present for the action.

13 (3) Prior to taking final action, the legislative body shall orally  
14 report a summary of a recommendation for a final action on the  
15 salaries, salary schedules, or compensation paid in the form of  
16 fringe benefits of a local agency executive, as defined in  
17 subdivision (d) of Section 3511.1, during the open meeting in  
18 which the final action is to be taken. This paragraph shall not affect  
19 the public’s right under the California Public Records Act (Chapter  
20 3.5 (commencing with Section 6250) of Division 7 of Title 1) to  
21 inspect or copy records created or received in the process of  
22 developing the recommendation.

23 (d) (1) Notwithstanding the provisions relating to a quorum in  
24 paragraph (3) of subdivision (b), if a health authority conducts a  
25 teleconference meeting, members who are outside the jurisdiction  
26 of the authority may be counted toward the establishment of a  
27 quorum when participating in the teleconference if at least 50  
28 percent of the number of members that would establish a quorum  
29 are present within the boundaries of the territory over which the  
30 authority exercises jurisdiction, and the health authority provides  
31 a teleconference number, and associated access codes, if any, that  
32 allows any person to call in to participate in the meeting and the  
33 number and access codes are identified in the notice and agenda  
34 of the meeting.

35 (2) Nothing in this subdivision shall be construed as  
36 discouraging health authority members from regularly meeting at  
37 a common physical site within the jurisdiction of the authority or  
38 from using teleconference locations within or near the jurisdiction  
39 of the authority. A teleconference meeting for which a quorum is

1 established pursuant to this subdivision shall be subject to all other  
2 requirements of this section.

3 (3) For purposes of this subdivision, a health authority means  
4 any entity created pursuant to Sections 14018.7, 14087.31,  
5 14087.35, 14087.36, 14087.38, and 14087.9605 of the Welfare  
6 and Institutions Code, any joint powers authority created pursuant  
7 to Article 1 (commencing with Section 6500) of Chapter 5 of  
8 Division 7 for the purpose of contracting pursuant to Section  
9 14087.3 of the Welfare and Institutions Code, and any advisory  
10 committee to a county-sponsored health plan licensed pursuant to  
11 Chapter 2.2 (commencing with Section 1340) of Division 2 of the  
12 Health and Safety Code if the advisory committee has 12 or more  
13 members.

14 (e) (1) A local agency may use teleconferencing without  
15 complying with the requirements of paragraph (3) of subdivision  
16 (b) if the legislative body complies with the requirements of  
17 paragraph (2) of this subdivision in any of the following  
18 circumstances:

19 (A) The legislative body holds a meeting during a proclaimed  
20 state of emergency, and state or local officials have imposed or  
21 recommended measures to promote social distancing.

22 (B) The legislative body holds a meeting during a proclaimed  
23 state of emergency for the purpose of determining, by majority  
24 vote, whether as a result of the emergency, meeting in person  
25 would present imminent risks to the health or safety of attendees.

26 (C) The legislative body holds a meeting during a proclaimed  
27 state of emergency and has determined, by majority vote, pursuant  
28 to subparagraph (B), that, as a result of the emergency, meeting  
29 in person would present imminent risks to the health or safety of  
30 attendees.

31 (2) A legislative body that holds a meeting pursuant to this  
32 subdivision shall do all of the following:

33 (A) The legislative body shall give notice of the meeting and  
34 post agendas as otherwise required by this chapter.

35 (B) The legislative body shall allow members of the public to  
36 access the meeting and the agenda shall provide an opportunity  
37 for members of the public to address the legislative body directly  
38 pursuant to Section 54954.3. In each instance in which notice of  
39 the time of the teleconferenced meeting is otherwise given or the  
40 agenda for the meeting is otherwise posted, the legislative body

1 shall also give notice of the means by which members of the public  
2 may access the meeting and offer public comment. The agenda  
3 shall identify and include an opportunity for all persons to attend  
4 via a call-in option or an internet-based service option. This  
5 subparagraph shall not be construed to require the legislative body  
6 to provide a physical location from which the public may attend  
7 or comment.

8 (C) The legislative body shall conduct teleconference meetings  
9 in a manner that protects the statutory and constitutional rights of  
10 the parties and the public appearing before the legislative body of  
11 a local agency.

12 (D) In the event of a disruption which prevents the public agency  
13 from broadcasting the meeting to members of the public using the  
14 call-in option or internet-based service option, or in the event of  
15 a disruption within the local agency's control which prevents  
16 members of the public from offering public comments using the  
17 call-in option or internet-based service option, the body shall take  
18 no further action on items appearing on the meeting agenda until  
19 public access to the meeting via the call-in option or internet-based  
20 service option is restored. Actions taken on agenda items during  
21 a disruption which prevents the public agency from broadcasting  
22 the meeting may be challenged pursuant to Section 54960.1.

23 (E) The legislative body shall not require public comments to  
24 be submitted in advance of the meeting and must provide an  
25 opportunity for the public to address the legislative body and offer  
26 comment in real time. This subparagraph shall not be construed  
27 to require the legislative body to provide a physical location from  
28 which the public may attend or comment.

29 (F) Notwithstanding Section 54953.3, an individual desiring to  
30 provide public comment through the use of an internet website, or  
31 other online platform, not under the control of the local legislative  
32 body, that requires registration to log in to a teleconference may  
33 be required to register as required by the third-party internet  
34 website or online platform to participate.

35 (G) (i) A legislative body that provides a timed public comment  
36 period for each agenda item shall not close the public comment  
37 period for the agenda item, or the opportunity to register, pursuant  
38 to subparagraph (F), to provide public comment until that timed  
39 public comment period has elapsed.

1 (ii) A legislative body that does not provide a timed public  
2 comment period, but takes public comment separately on each  
3 agenda item, shall allow a reasonable amount of time per agenda  
4 item to allow public members the opportunity to provide public  
5 comment, including time for members of the public to register  
6 pursuant to subparagraph (F), or otherwise be recognized for the  
7 purpose of providing public comment.

8 (iii) A legislative body that provides a timed general public  
9 comment period that does not correspond to a specific agenda item  
10 shall not close the public comment period or the opportunity to  
11 register, pursuant to subparagraph (F), until the timed general  
12 public comment period has elapsed.

13 (3) If a state of emergency remains active, or state or local  
14 officials have imposed or recommended measures to promote  
15 social distancing, in order to continue to teleconference without  
16 compliance with paragraph (3) of subdivision (b), the legislative  
17 body shall, not later than 30 days after teleconferencing for the  
18 first time pursuant to subparagraph (A), (B), or (C) of paragraph  
19 (1), and every 30 days thereafter, make the following findings by  
20 majority vote:

21 (A) The legislative body has reconsidered the circumstances of  
22 the state of emergency.

23 (B) Any of the following circumstances exist:

24 (i) The state of emergency continues to directly impact the  
25 ability of the members to meet safely in person.

26 (ii) State or local officials continue to impose or recommend  
27 measures to promote social distancing.

28 (4) For the purposes of this subdivision, “state of emergency”  
29 means a state of emergency proclaimed pursuant to Section 8625  
30 of the California Emergency Services Act (Article 1 (commencing  
31 with Section 8550) of Chapter 7 of Division 1 of Title 2).

32 (f) This section shall remain in effect only until January 1, 2024,  
33 and as of that date is repealed.

34 SEC. 2. Section 54953 of the Government Code, as added by  
35 Section 4 of Chapter 165 of the Statutes of 2021, is amended to  
36 read:

37 54953. (a) All meetings of the legislative body of a local  
38 agency shall be open and public, and all persons shall be permitted  
39 to attend any meeting of the legislative body of a local agency,  
40 except as otherwise provided in this chapter.

(b) (1) Notwithstanding any other provision of law, the legislative body of a local agency may use teleconferencing for the benefit of the public and the legislative body of a local agency in connection with any meeting or proceeding authorized by law. The teleconferenced meeting or proceeding shall comply with all requirements of this chapter and all otherwise applicable provisions of law relating to a specific type of meeting or proceeding.

(2) Teleconferencing, as authorized by this section, may be used for all purposes in connection with any meeting within the subject matter jurisdiction of the legislative body. All votes taken during a teleconferenced meeting shall be by rollcall.

(3) If the legislative body of a local agency elects to use teleconferencing, it shall post agendas at all teleconference locations and conduct teleconference meetings in a manner that protects the statutory and constitutional rights of the parties or the public appearing before the legislative body of a local agency. Each teleconference location shall be identified in the notice and agenda of the meeting or proceeding, and each teleconference location shall be accessible to the ~~public~~ *public, except as provided in subparagraph (A)*. During the teleconference, at least a quorum of the members of the legislative body shall participate from locations within the boundaries of the territory over which the local agency exercises jurisdiction, except as provided in subdivision (d). The agenda shall provide an opportunity for members of the public to address the legislative body directly pursuant to Section 54954.3 at each teleconference ~~location~~ *location, except as provided in subparagraph (A)*.

*(A) If a member of a legislative body elects to teleconference from a location that is not public, the address does not need to be identified in the notice and agenda, or be accessible to the public when the legislative body has elected to allow members to participate via teleconferencing.*

*(B) If a legislative body elects to use teleconferencing, they shall provide both of the following:*

- (i) A video stream accessible to members of the public.*
- (ii) An option for members of the public to address the body remotely during the public comment period through an audio-visual or call-in option.*

(4) For the purposes of this section, “teleconference” means a meeting of a legislative body, the members of which are in different

1 locations, connected by electronic means, through either audio or  
2 video, or both. Nothing in this section shall prohibit a local agency  
3 from providing the public with additional teleconference locations

4 (5) *For the purposes of this section, “video streaming” means*  
5 *media in which the data from a live filming or a video file is*  
6 *continuously delivered via the internet to a remote user, allowing*  
7 *a video to be viewed online by the public without being downloaded*  
8 *on a host computer or device.*

9 (c) (1) No legislative body shall take action by secret ballot,  
10 whether preliminary or final.

11 (2) The legislative body of a local agency shall publicly report  
12 any action taken and the vote or abstention on that action of each  
13 member present for the action.

14 (3) Prior to taking final action, the legislative body shall orally  
15 report a summary of a recommendation for a final action on the  
16 salaries, salary schedules, or compensation paid in the form of  
17 fringe benefits of a local agency executive, as defined in  
18 subdivision (d) of Section 3511.1, during the open meeting in  
19 which the final action is to be taken. This paragraph shall not affect  
20 the public’s right under the California Public Records Act (Chapter  
21 3.5 (commencing with Section 6250) of Division 7 of Title 1) to  
22 inspect or copy records created or received in the process of  
23 developing the recommendation.

24 (d) (1) Notwithstanding the provisions relating to a quorum in  
25 paragraph (3) of subdivision (b), if a health authority conducts a  
26 teleconference meeting, members who are outside the jurisdiction  
27 of the authority may be counted toward the establishment of a  
28 quorum when participating in the teleconference if at least 50  
29 percent of the number of members that would establish a quorum  
30 are present within the boundaries of the territory over which the  
31 authority exercises jurisdiction, and the health authority provides  
32 a teleconference number, and associated access codes, if any, that  
33 allows any person to call in to participate in the meeting and the  
34 number and access codes are identified in the notice and agenda  
35 of the meeting.

36 (2) Nothing in this subdivision shall be construed as  
37 discouraging health authority members from regularly meeting at  
38 a common physical site within the jurisdiction of the authority or  
39 from using teleconference locations within or near the jurisdiction  
40 of the authority. A teleconference meeting for which a quorum is



1 established pursuant to this subdivision shall be subject to all other  
2 requirements of this section.

3 (3) For purposes of this subdivision, a health authority means  
4 any entity created pursuant to Sections 14018.7, 14087.31,  
5 14087.35, 14087.36, 14087.38, and 14087.9605 of the Welfare  
6 and Institutions Code, any joint powers authority created pursuant  
7 to Article 1 (commencing with Section 6500) of Chapter 5 of  
8 Division 7 for the purpose of contracting pursuant to Section  
9 14087.3 of the Welfare and Institutions Code, and any advisory  
10 committee to a county-sponsored health plan licensed pursuant to  
11 Chapter 2.2 (commencing with Section 1340) of Division 2 of the  
12 Health and Safety Code if the advisory committee has 12 or more  
13 members.

14 (e) This section shall become operative January 1, 2024.

15 SEC. 3. The Legislature finds and declares that Sections 1 and  
16 2 of this act, which amends Section 54953 of the Government  
17 Code, further, within the meaning of paragraph (7) of subdivision  
18 (b) of Section 3 of Article I of the California Constitution, the  
19 purposes of that constitutional section as it relates to the right of  
20 public access to the meetings of local public bodies or the writings  
21 of local public officials and local agencies. Pursuant to paragraph  
22 (7) of subdivision (b) of Section 3 of Article I of the California  
23 Constitution, the Legislature makes the following findings:

24 This act is necessary to ensure minimum standards for public  
25 participation allowing for greater public participation in  
26 teleconference meetings.

27 SEC. 4. (a) The Legislature finds and declares that during the  
28 COVID-19 public health emergency, certain requirements of the  
29 Ralph M. Brown Act (Chapter 9 (commencing with Section 54950)  
30 of Part 1 of Division 2 of Title 5 of the Government Code) and the  
31 Bagley-Keene Open Meeting Act (Article 9 (commencing with  
32 Section 11120) of Chapter 1 of Part 1 of Division 3 of Title 2 of  
33 the Government Code) were suspended by Executive Order No.  
34 N-29-20. Audio and video teleconference were widely used to  
35 conduct public meetings in lieu of physical location meetings, and  
36 public meetings conducted by teleconference during the COVID-19  
37 public health emergency have been productive, have increased  
38 public participation by all members of the public regardless of  
39 their location in the state and ability to travel to physical meeting  
40 locations, have protected the health and safety of civil servants

1 and the public, and have reduced travel costs incurred by members  
2 of state bodies and reduced work hours spent traveling to and from  
3 meetings.

4 (b) The Legislature finds and declares that Sections 1 and 2 of  
5 this act, which amend Section 54953 of the Government Code,  
6 imposes a potential limitation on the public's right of access to the  
7 meetings of public bodies or the writings of public officials and  
8 agencies within the meaning of Section 3 of Article I of the  
9 California Constitution. Pursuant to that constitutional provision,  
10 the Legislature makes the following findings to demonstrate the  
11 interest protected by this potential limitation and the need for  
12 protecting that interest:

13 By removing the requirement for each teleconference location  
14 to be identified in the notice and agenda, including the member's  
15 private home address, this act protects the personal, private  
16 information of public officials and their families while preserving  
17 the public's right to access information concerning the conduct of  
18 the people's business.

April 21, 2021

TO: Each Trustee  
Board of Retirement

FROM: Insurance, Benefits and Legislative Committee  
Les Robbins, Chair  
Vivian H. Gray, Vice Chair  
Shawn R. Kehoe  
Wayne Moore  
Herman Santos, Alternate

FOR: May 5, 2022 Board of Retirement Meeting

SUBJECT: **Federal Engagement: Visit with Congress**

## **RECOMMENDATION**

That the Board of Retirement:

1. Approve a visit with Congress by Board trustees as designated by the Chair of the Board of Retirement and by staff as designated by the Chief Executive Officer during the week of May 23, 2022 in Washington, D.C.; and
2. Approve reimbursement of all travel costs incurred in accordance with LACERA's Trustee Travel Policy.

## **LEGAL AUTHORITY**

The Board of Retirement's Policy on Engagement for Public Policy Issues Relating to Plan Administration and Retirement and Health Care Benefits provides for engagement to promote LACERA's presence and visibility with the legislative, executive, and judicial branches of state and federal governments.

## **DISCUSSION**

The California Delegation consisting of 52 representatives and 2 senators is the largest in Congress. Board members and staff have engaged with members of Congress and their staff on previous visits to Washington, D.C. in May 2018, January 2019, May 2019, and January 2020. The visit is a continuing effort of engagement to foster relationships with members of Congress by increasing LACERA's presence and visibility among the members and providing education and information about LACERA's history, organization, and operations. It will be an opportunity to continue expanding outreach to other members of the California Delegation. The visit will also be an opportunity to continue engagement with Congress on the repeal of the Windfall Elimination Provision, the Government Pension Offset, and the direct payment requirement of healthcare premiums from the pension distributions of public safety officers.

The International Foundation of Employee Benefit Plans (IFEBP) will be holding its annual Washington Legislative Update on May 23-24, 2022 in Washington, D.C. LACERA's federal legislative advocate, Tony Roda of Williams & Jensen, may be able to schedule Congressional meetings following the IFEBP Washington Legislative Update conference. The visit to Congress would ideally be available to those Board trustees and staff who are already in Washington, D.C., for the pre-approved IFEBP conference to visit with Congress afterwards; scheduling the visit immediately after the IFEBP conference is an efficient way to save on air travel costs that would otherwise be incurred if the visit were scheduled on a separate occasion.

**IT IS THEREFORE RECOMMENDED THAT THE BOARD:**

1. Approve a visit with Congress by Board trustees as designated by the Chair of the Board of Retirement and by staff as designated by the Chief Executive Officer during the week of May 23, 2022 in Washington, D.C.; and
2. Approve reimbursement of all travel costs incurred in accordance with LACERA's Trustee Travel Policy.

cc: Santos H. Kreimann  
Luis Lugo  
JJ Popowich  
Laura Guglielmo  
Steven P. Rice  
Cassandra Smith  
Tony Roda, Williams & Jensen  
Shane Doucet, Doucet Consulting Solutions

April 7, 2022

TO: Each Trustee  
Board of Retirement

FROM: Insurance, Benefits & Legislative Committee  
Les Robbins, Chair  
Vivian H. Gray, Vice Chair  
Shawn R. Kehoe  
Wayne Moore  
Herman Santos, Alternate

FOR: May 5, 2022, Board of Retirement Meeting

SUBJECT: **SCAN SERVICE AREA EXPANSION**

### **RECOMMENDATION**

That the Board of Retirement authorize staff to allow a temporary one-time waiver of the 6-month waiting period for eligible members electing to transfer to SCAN's new expanded service areas.

### **EXECUTIVE SUMMARY**

During our 2022-2023 annual premium renewal process, staff and Segal Consulting were informed by SCAN of an expansion to their health plan service areas effective January 1, 2022, to include the following service areas:

- Arizona: Maricopa, Pima, and Pinal Counties
- Nevada: Clark County

LACERA currently offers SCAN as one of the Medicare Advantage plan options to our retirees and their eligible dependents who have both Medicare Parts A & B and reside in any of the following eligible service areas:

• Los Angeles	• San Diego	• Napa
• Orange	• Ventura	• San Francisco
• Riverside	• Santa Clara	• Stanislaus
• San Bernardino	• Sonoma	• Alameda
• San Mateo		

We are happy to inform you that effective July 1, 2022, SCAN's expanded service areas will be available to eligible retirees participating in the LACERA-administered Retiree Healthcare (RHC) Program. RHC members and their eligible dependents must reside in the specified service areas of Arizona and Clark County. As a result, we are requesting approval to allow a one-time temporary waiver of the 6-month waiting period policy for members who are currently enrolled in either the LACERA-administered Medicare Advantage (Cigna Preferred with RX) or Medicare Supplement (Anthem Blue Cross III) plan.

RHC members who are currently enrolled in either the Anthem Blue Cross I, II, or Prudent Buyer plans may also change plans into SCAN if they have Medicare (Parts A & B) and are not enrolled in a Medicare plan. Such members would not be subject to the 6-month waiting period as there is no waiting period to transfer from a non-Medicare plan into a Medicare plan. For example, a member who is residing within the newly provided eligible zip code area and has Medicare Parts A & B, can enroll with no waiting period.

With these expanded service areas, retirees now have another health plan option available if enrolled in Anthem Blue Cross I, II, or Prudent Buyer plans with Medicare Parts A & B, and potentially face hitting their \$1 million lifetime maximum benefit.

LACERA's policy allow for members to change plans anytime during the year following a six-month waiting period. For example, a member contacting LACERA in January to change medical plans would be able to affect that change in August (six complete months). The active Los Angeles County employees are able to change their plan once a year during an annual "open enrollment" period in October for an effective date of January 1st. It is common practice to allow healthcare plan participants to periodically change medical/dental/vision plans. In the past, Trustees have approved temporary waivers, as is being recommended today.

### **ACTION PLAN**

Upon obtaining the updated eligible zip code listing from SCAN, staff began working with System's staff to determine how many of our retirees reside within any of the new zip coded areas. As of February 2022, staff found that there are approximately 2,200 members who may be eligible based on addresses on file at the time. Staff is recommending a temporary waiver of the 6-month waiting period to allow for eligible members to take advantage of transferring plans upon SCAN becoming available in the new service areas.

A temporary waiver would be allowed for enrollment forms received in LACERA offices beginning June 15, 2022 – August 15, 2022, from members currently enrolled in either the Cigna Preferred with RX (Phoenix AZ only) or Anthem Blue Cross III plans only. The

chart below indicates the effective date of the new plan coverage based on the date LACERA receives the members medial plan change request.

<b>LACERA Receives the Change Form on or before</b>	<b>Effective Date of New Plan Premium</b>	<b>Effective Date of Member's New Plan Coverage</b>
June 15, 2022	June 30, 2022	July 1, 2022
July 15, 2022	July 31, 2022	August 1, 2022
August 15, 2022	August 31, 2022	September 1, 2022

Members who are currently enrolled in either the Anthem Blue Cross I, II or Prudent Buyer plans who submit their enrollment form and Medicare verification by the 15<sup>th</sup> of any month, will be enrolled on the first of the month following. This is in accordance with LACERA's standard processing timeline. A targeted mailing will be mailed out to retired members with addresses within the newly eligible zip coded areas, in addition to the annual healthcare packet scheduled to go out over the Memorial Day weekend.

### **CONCLUSION**

SCAN announced the expansion of their eligible service area to now include Arizona Maricopa, Pima, and Pinal Counties as well as Clark County, Nevada. LACERA currently has approximately 2,200 retirees residing within the new zip coded service areas that may be eligible to enroll in SCAN. Members currently enrolled in our Cigna Preferred with RX or the Anthem Blue Cross III plans, if approved, would be eligible for a one-time temporary waiver of the standard 6-month waiting period to transfer into SCAN.

This is now an additional health plan option for eligible members living in these service areas, should they find themselves faced with reaching their \$1 million lifetime maximum benefit.

### **IT IS THEREFORE RECOMMENDED THAT THE BOARD:**

Authorize staff to allow a temporary one-time waiver of the 6-month waiting period for eligible members electing to transfer to SCAN's new expanded service areas.

April 25, 2022

TO: Each Trustee,  
Board of Retirement  
Board of Investments

FROM: Joint Organizational Governance Committee  
Herman B. Santos, Chair  
David Green, Vice Chair  
Elizabeth Greenwood  
James P. Harris  
Shawn R. Kehoe  
William R. Pryor  
Antonio Sanchez  
Gina V. Sanchez

FOR: May 5, 2022 Board of Retirement Meeting  
May 11, 2022 Board of Investments Meeting

SUBJECT: Approval of Revised Trustee Education and Travel Policies

### **RECOMMENDATION**

That the Board of Retirement (BOR) and the Board of Investments (BOI) approve the revised Education and Travel Policies.

### **LEGAL AUTHORITY**

Under Section 7.2 of its Charter, the Joint Organizational Governance Committee (JOGC) has the responsibility to “assist in the development of and make recommendations with respect to all joint policies, such as ... education and travel.” The BOR and BOI in turn have plenary authority and exclusive fiduciary responsibility for the administration of the system and may exercise that authority to approve reasonable policies governing Board operations, such as the Education and Travel Policies. Cal. Const., art. XVI, § 17.

### **BACKGROUND**

At its December 15, 2021 meeting, the JOGC reviewed the Travel Policy and voted to recommend certain changes. At its March 11, 2022 meeting, the JOGC reviewed the Education and Travel Policies based on input from a poll of Trustees on both Boards. At its April 22, 2022 meeting, the JOGC reviewed proposed revisions to both policies and voted to recommend the revised policies to the BOR and BOI for approval. A redlined version of the Trustee Education Policy is attached as Attachment A, and a redlined version of the Trustee Travel Policy is attached as Attachment B.



## **DISCUSSION**

The redlined revisions to the policies are summarized as follows:

### ***A. Trustee Education Policy (Attachment A)***

#### **1. Section I – Purpose**

Definitions of “Educational Conferences” and “Administrative Meetings” are added to align with the definitions in the Trustee Travel Policy. The definition of Administrative Meetings is revised to include domestic and international Educational Conferences at which a Trustee will make a speech. Other changes are made throughout the Education Policy to further consistency in use of these terms.

#### **2. Section II – Principles, Section A (Performance of Fiduciary Duty)**

The descriptions of the Trustees’ fiduciary duties of loyalty and prudence are revised to be consistent with other governing documents, including the Board Charters, the Investment Policy Statement, and the Code of Ethical Conduct, by quoting the Constitution and providing citations to the Constitution and the County Employees Retirement Law of 1937. The same changes are made in the Trustee Travel Policy.

#### **3. Section III – New Trustee Orientation and Support**

Section C (Development and Content) is revised to delete the long list of required elements of new Trustee orientation. This list is replaced with language that provides a high-level description of general subject matter.

Section D (Orientation Materials) is revised to provide that materials will be provided to new Trustees electronically or in such format as they prefer, including a binder of materials covering the orientation topics.

Section E (Mentoring) is revised to provide that the Chief Executive Officer will establish a mentoring program to assist interested Trustees.

#### **4. Section IV – Ongoing Trustee Education, Section C (Methods of Education)**

Subsection C(1) (In-House Education) is revised to provide that training by fiduciary counsel will be regular, rather than annual, and that the Chief Executive Officer will develop a fiduciary counsel training schedule.

Language is added to clarify the existing provision that Canada and Mexico are

not considered international destinations for Educational Conferences.

The title of Section C(3) is changed to “Virtual Education and Self-Study” to reflect the breadth of remote education options. Language is added clarifying that virtual education and self-study are not limited by cost.

5. Section V – Administrative Meetings

The definition of “Administrative Meetings” is deleted since it was moved to Section I (Purpose), as noted above. The language is also simplified in recognition of the policy that any Administrative Meeting may be approved in advance on such terms as deemed appropriate.

6. Section VII – Gifts and Conflicts

A minor change is made to clarify that gift and conflict issues should be considered in all educational contexts, as in all Trustee activities.

7. Section IX – Other Charters and Policies, Section A (Prevention of Sexual Harassment)

Changes are made to clarify that the training is entitled and addresses Prevention of Sexual Harassment Training.

8. Section X – Policy Provisions and Applicable Law

This is a new section added based on Travel Policy language so that the policies are the same with respect to the Board’s ability to waive policy provisions for good cause presented in writing and with respect to interpretation of the policy under applicable governing law.

9. Appendix B – Approval External Educational Conferences

Educational conferences, meetings, and seminars provided by ColCapital are added to the pre-approved list. As already stated as to all pre-approved conferences, the list only applies to domestic events.

***B. Trustee Travel Policy (Attachment B)***

1. Section I – Purpose

Changes are made to reflect that a domestic and international Education Conference at which a Trustee gives a speech will be regarded as within the definition of “Administrative Conferences” that the Boards may approve on such

terms as appropriate.

2. Section II – Principles

Section A (Performance of Fiduciary Duty) is modified to describe the Trustees' fiduciary duties of loyalty and prudence consistently with other governing documents, including the Board Charters, the Investment Policy Statement, and the Code of Ethical Conduct, by quoting the Constitution and providing citations to the Constitution and the relevant section of the County Employees Retirement Law of 1937.

Section B (Member-Centric Concentration) is retitled "Focus on Mission" to more accurately reflect its content.

Section C (Transparency) is revised to add the interests of other stakeholders, in addition to members. Language is also added to clarify that Trustees may sign the policy attestation electronically as provided by staff on a calendar year basis.

3. Section III – Trustee Travel

Section B (Cease Travel) is retitled to clarify that the provision also relates to "Other Expenses." The language was also revised to state that travel to Administrative Meetings must cease, as well as Educational Conferences. The language was clarified that appointed Trustees should cease travel when they are told they will not be reappointed and that elected Trustees should also cease travel when they decide not to run for reelection.

Section C (Authorized Expenses) is simplified to state that reimbursement will be made in accordance with the policy and its Appendix A and that reasonable efforts will be made to promptly reimburse Trustees.

Section D (Costs of Administration) is clarified that meals, under FPPC standards, can be considered a gift of travel.

Section E (Claims for Reimbursement) is revised to clarify that reimbursement forms may be obtained from the Executive Board Assistants and to give examples of information that made be redacted from expense records produced under the Public Records Act. Language is added providing for reasonable efforts to promptly reimburse Trustees. This section also includes redlines approved at the December 2021 JOGC meeting that each Board Chair and the CEO may approve expense deviations from policy of less than \$1,000 per trip.

Section F (Cancellation of Travel Arrangements) is revised to make clarifying heading changes and to state that the CEO is responsible to provide notice to Trustees of costs due for untimely cancellation.

Section G (Cash Advances) is revised to provide that airfare and hotel are to be purchased in advance by the Executive Board Assistants and that cash advances may be given, as determined by the CEO for good cause, only for per diem expenses. All cash advances must be accounted for on the trip expense report.

4. Section IV – Administrative Meetings

The language is clarified and simplified to state that Administrative Meetings must be approved by the relevant Board in advance on such terms as deemed appropriate.

5. Section IX – Sustainability

This new provision provides that “Trustees are encouraged to consider sustainability in making education and travel choices, including whether and how to travel, the viability and efficacy of alternative forms of participation (such as geographically closer or virtual meetings), the impact of business class vs. coach, the number of trips taken, and the number of Trustees participating in a single event, avoidance of car transportation when possible, and obtaining an estimate of the carbon footprint of travel and lodging options through available online tools and inclusion of estimates in board recommendation memos.” The Executive Boards Assistants will be familiar with resources that provide sustainability estimates.

6. Policy Appendix A (Reimbursement Schedule)

Section I.A (Airline Travel) is redlined, as approved at the December 2021 JOGC meeting, to provide that business class travel is permitted either when (i) any individual non-stop or connecting travel time from the original airport to the final destination airport is five hours or more, or (ii) total non-stop or connecting roundtrip travel time is ten hours or more. An addition is made to provide that Trustees may not use travel points to pay for LACERA travel and that the use of LACERA points is determined by the CEO

Section II.D (Travel Not Connected with an Established Hotel Venue) is clarified that per diem rates are as set by the General Services Administration, not the Internal Revenue Service.

Section III (Meals) is revised to state that meals paid for by a third-party are not reimbursed except as determined by the CEO.

## **CONCLUSION**

Based on the above information and the attached redlined versions, the JOGC recommends the Board of Retirement (BOR) and the Board of Investments (BOI) approve the revised Education and Travel Policies.

### **Attachments**

c:	Santos H. Kreimann	Laura Guglielmo	Ted Granger
	Jonathan Grabel	JJ Popowich	Leisha Collins
	Luis A. Lugo	Richard Bendall	Christina Logan
			Ervin Wu

## ATTACHMENT A

Revised and Redlined Trustee Education Policy



# TRUSTEE EDUCATION POLICY

LACERA Board of Retirement & Board of Investments

Adopted ~~December 16, 2020~~ \_\_\_\_\_, 2022

## TRUSTEE EDUCATION POLICY

### I. PURPOSE

The purpose of this policy is to align the elements of LACERA's Trustee education program with the Mission, Vision, Values, and work culture of the organization. More specifically, the program is intended to assist all Trustees to obtain knowledge and receive relevant information that will enhance their understanding of the issues facing LACERA and equip the Trustees to discharge and further their fiduciary duties for the sole benefit of active members, retirees, and their beneficiaries under a framework compliant with applicable law and policy, including the 24-hour biennial education requirement of Government Code Section 31522.8 and the beliefs set forth in LACERA's Investment Policy Statement. The program will be administered in a manner that can be overseen by the Board of Retirement and Board of Investments and understood by LACERA's members and other stakeholders as reasonable and cost-effective in fulfilling LACERA's Mission to Produce, Protect, and Provide the Promised Benefits. This policy applies to LACERA Trustees only.

"Educational Conferences" are those conferences, seminars, and meetings that have an educational purpose. "Administrative Meetings" are meetings attended by Trustees in their LACERA capacity and furthers LACERA's interests, including legislative advocacy, speaking engagements, including a domestic and international Educational Conference at which a Trustee gives a speech, positions in the administration of pension related organizations, and similar events.

### II. PRINCIPLES

The following principles shall govern what, why, when, where, and how Trustee education is obtained and the interpretation of this policy.

**A. *Performance of Fiduciary Duty.*** Education is necessary and should be encouraged and undertaken in order that Trustees may obtain knowledge relevant to the proper performance of their fiduciary duty under the California Constitution, the County Employees Retirement Law of 1937 (CERL), and other applicable laws by a reasonable method and at reasonable cost for the value received, and further LACERA's interests. Specifically:

1. Duty of Loyalty. Education must assist the Trustees to perform their fiduciary duty of loyalty to "discharge their duties with respect to the system solely in the interest of, and for the exclusive purposes of providing benefits to, participants and their beneficiaries, minimizing employer contributions thereto, and defraying reasonable expenses of administering the system. A retirement board's duty to its participants and their beneficiaries shall take precedence over any other duty." Cal. Const., art. XVI, § 17(b); see Cal. Gov't Code § 31595(a). ~~act solely in the interest of providing benefits to members and their beneficiaries, minimizing employer contributions, and defraying reasonable expenses of administering the system, with the duty to members and their beneficiaries being paramount.~~



2. Duty of Prudence. Education must assist the Trustees to perform their fiduciary duty of prudence to “discharge their duties with respect to the system with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with these matters would use in the conduct of an enterprise with a like character and like aims.” Const., art. XVI, § 17(c); see Cal. Gov’t Code § 31595(b). ~~to act with the care, skill, prudence, and diligence that a prudent person acting in a like capacity would use in the conduct of a public pension system.~~

**B. Further a Common Foundation of Knowledge.** A foundation of common knowledge, or Trustee Competencies, relevant to LACERA and its Mission is necessary among all Trustees to fulfill their fiduciary duty.

**C. Opportunities for Enrichment.** The fiduciary value of education includes alternatives and choices for individual Trustees to pursue enrichment in areas of interest and specialization related to LACERA and its Mission, and to stay abreast of current developments.

**D. Sharing of Information.** Because education ~~is~~ a group effort as well as an individual endeavor, Trustees are encouraged to share information and perspectives concerning the education they separately pursue with fellow Trustees. For this reason, education should also include the flexibility and opportunities for Trustees to network with and obtain relevant knowledge from others in the public pension and investment communities.

**E. Leverage LACERA Resources.** A concerted effort should be made to present educational presentations in the boardroom to take advantage of the expertise of LACERA staff, LACERA consultants, and other outside experts to create a shared educational environment for all Trustees to interact, question, and receive common benefit.

**F. Annual Review of Education and Needs.** The fiduciary value of Trustee education is enhanced by each Trustee, on an individual basis, reviewing their past education each year and planning in advance when possible for how to meet their educational goals in the coming year. An annual review process recognizes that Trustees and their individual educational needs evolve over time, and no single method of educating Trustees is optimal. Instead, the ability of Trustees to develop flexible education plans for themselves and to employ a variety of methods is necessary and appropriate and will assist staff to source and provide appropriate choices and opportunities that meet Trustee needs.

**G. Transparency and Monitoring.** Trustee education and its fiduciary value and cost should be transparent to LACERA’s members and other stakeholders. The Boards should be provided with information on a periodic and consistent basis to enable effective oversight of the Trustee education program.

### III. NEW TRUSTEE ORIENTATION AND SUPPORT

- A. Attendance.** Each new Trustee and alternate, including the Treasurer and Tax Collector's Chief Deputy, shall attend orientation.
- B. Timing for Orientation.** Orientation should occur before a new Trustee attends their first Board meeting.
- C. Development and Content.** Orientation will be developed and overseen by the Chief Executive Officer. Orientation and will, at a minimum, include an overview of LACERA's independence, Mission, organizational structure, and key functions, Board and Trustee responsibilities and fiduciary duty, pension benefits and member services, retiree healthcare and the OPEB Trust, investments, legal issues, conflicts of interest and ethics, strategic planning, budget, and accounting, actuarial matters and contribution-setting, internal audit, Trustee education, and Trustee technology, resources, and support. the following topics:
- ~~1. Overview and importance of LACERA's Mission, Vision, and Values.~~
  - ~~2. The California Constitution (Proposition 162), CERL, and the other primary laws, with an emphasis on the fiduciary duty of Trustees.~~
  - ~~3. LACERA's status as an independent public agency under the law, including the role of the plan sponsors.~~
  - ~~4. The regulations, charters, policies, procedures, and rules governing LACERA and its Boards.~~
  - ~~5. Transparency, including the Ralph M. Brown Act regarding open meetings, Robert's Rules of Order, and the Public Records Act.~~
  - ~~6. LACERA's benefit structure and processes, including membership, service retirement, and disability retirement, including the relationship with the County-offered 457(b) and 401(k) plans.~~
  - ~~7. Pension funding and processes, including actuarial valuations and experience studies, employer and employee contributions, investment earnings, and the Boards' funding policy.~~
  - ~~8. LACERA's strategic plan and strategic planning process.~~
  - ~~9. System expenses, budgeting, and accounting protocols.~~
  - ~~10. The Investment Policy Statement, strategic asset allocation, and investment processes.~~
  - ~~11. The retiree healthcare program (including governing healthcare agreements), and the role of LACERA and the participating employers in the administration of the program.~~
  - ~~12. Retiree healthcare program funding, including current payments and the OPEB Trust.~~
  - ~~13. The Internal Audit function, including the independent role of the Audit Committee.~~
  - ~~14. Principal fund consultants and their role, including consulting and reviewing actuaries, financial auditor, investment consultants, healthcare consultant, and fiduciary counsel. Meetings with the consultants will be arranged, if possible.~~
  - ~~15. Conflicts of interest and ethics, including Form 700, Political Reform Act, and LACERA's Code of Ethical Conduct.~~

- ~~16. Board composition, including the committees and their purposes, and best practices for Board governance.~~
- ~~17. Trustee education and travel.~~
- ~~18. Current and emerging issues before the Board.~~
- ~~19. LACERA's Executive Management team, division managers, and other staff, including the organizational chart, roles and responsibilities, and communication protocols.~~
- ~~20. Media and public relations, including lacera.com and member and stakeholder communications.~~
- ~~21. Technology, including equipment and support.~~
- ~~22. A tour of the LACERA offices, if practicable.~~

**D. Orientation Materials.** At or before orientation, the following materials shall be made available to new Trustees in electronic format or such other format as a Trustee request:

1. A confidential roster of names, addresses, and contact information for the Board Trustees.
2. A confidential roster of names, addresses, and contact information for the Executive Management team.
3. Board agendas, minutes, policies and procedures, the strategic plan, applicable law, and other available resources, and how to access them.
4. Other relevant material requested by the new Trustee or deemed appropriate by the Chief Executive Officer, including a binder of materials covering the orientation topics listed in Section III(C).

**E. Mentoring.** The Chief Executive Officer will establish a formal mentorship program to assist interested Trustees. Any new Trustee may request a mentor to assist them in becoming familiar with their responsibilities on the Board. If a request is made, the Board Chair(s) will designate one experienced Trustee to be a mentor to the new Trustee for a period of one year.

**F. Returning Trustees.** Trustees who have previously served non-consecutive terms on the Boards shall attend orientation to refamiliarize themselves with the content described in Section III.C and changes to the system during their absence. The content of returning Trustee orientation will be developed by the Chief Executive Officer in collaboration with each returning Trustee.

#### **IV. ONGOING TRUSTEE EDUCATION**

**A. Required Education.** CERL requires that all Trustees receive a minimum of 24 hours of Trustee education within the first two years of assuming office and for every subsequent two-year period the Trustee continues to serve on the Board. This requirement sets a minimum standard. Trustees may obtain additional education consistent with the provisions of this policy.

**B. Appropriate Subject Matter.** All education must be relevant to the duties and responsibilities of Trustees. In accordance with CERL, the Boards identify knowledge in the matters and areas set forth in the Trustee Competencies

stated in Appendix A as appropriate for required education. All Trustees must seek education in and should strive to have knowledge in these areas to assist in the performance of their fiduciary duty and delivering on LACERA's Mission. In addition to these areas, Trustees may obtain education in other areas relevant to their fiduciary responsibilities. Members of Board committees are encouraged to focus a portion of their education on the subject matter of their committee.

**C. *Methods of Education.*** Since no one method of education is optimal, a Trustee's annual education should include various methods. In considering the various methods, Trustees should weigh the costs and benefits of each method. Trustee education may be obtained through the following:

1. In-House Education. The Chief Executive Officer will obtain annual Board approval for a program of in-house education for Trustees during regularly scheduled Board meetings, offsites, and other settings as may be permissible under the Brown Act. Such education will be provided by staff, LACERA consultants, and outside experts, except that the Chief Investment Officer will be responsible to plan and oversee investment-related education. LACERA consultants will be required in their contracts to provide Trustee education.

In addition to such other in-house education as may be provided, the Trustees shall ~~annually~~ receive regular training from fiduciary counsel in (1) fiduciary duty, (2) ethics and conflicts of interest, (3) governance, and (4) the Brown Act and Public Records Act. The Chief Executive Officer will develop a fiduciary counsel training schedule.

2. In-Person External Educational Conferences. Trustees may pursue in-person external education as provided in this policy, including conferences, seminars, and meetings. The Chief Executive Officer will obtain annual Board approval, maintain, and update on a current basis, a list of external educational options, organized by subject matter, appropriate for Trustees. The conferences listed in Appendix B are pre-approved. Trustees may attend external education that meets the criteria of this policy without additional approval, subject to the Boards' Trustee Travel Policy, provided that all international education must be approved by the applicable Board. For purposes of this policy Mexico and Canada are not considered international locations.

Every Trustee is authorized to attend up to 4 in-person external education opportunities per fiscal year (of which no more than 1 may be international), and up to 6 if the Trustee is serving simultaneously on both Boards at any time during the fiscal year (of which no more than 1 may be international), except that a Board may approve education in excess of these limits upon good cause. External education must have at least 5 hours of educational content per day. "International" education is all education that takes place outside the United States, except Mexico and Canada. Two conferences

separated by no more than one day, with no additional travel, count as one conference.

Conferences located in California are not subject to the hour and number limits stated in the preceding paragraph.

The Chief Executive Officer will maintain Domestic and International Education Priority Lists for each Board for use when attendance at an event is limited. Trustees will be offered the opportunity to attend based on their place on the appropriate priority list. Trustees on each Board initially will be placed on applicable lists in order of the start date of their earliest term of continuous service on the Board (for appointed Trustees, a gap of 18 months or less will not break continuity of service). Trustees with the same priority date will be given priority in alphabetical order. A Trustee who attends an event based on their priority will be placed at the bottom of the list. New Trustees with no prior Board service or a gap of more than 18 months will be placed at the top of the list to facilitate their education.

Prior to attending an international benefits conference, a Trustee is required to attend an American Health Insurance Plans (AHIP) National Policy Forum or World Health Care Congress. Prior to attending an international investment conference, a Trustee is required to attend the State Association of County Retirement Systems (SACRS) Public Pension Investment Management Program or the University of Pennsylvania Wharton School of Business Portfolio Concepts and Management course. These courses are within the limits described above in this Section IV.C.2.

3. Virtual Education and Self-Study. Trustees are encouraged to attend webinars and other forms of virtual education, self-study, and other forms of education that do not require travel relating to LACERA and the performance of a Trustee's duties, including the conferences described in Section IV.C.2 above and Appendix B when offered virtually. If attendance is limited at a virtual-such education event, the same priority list as described in Section IV.C.2 will be used. Attendance at virtual-such education is exempt from the limits stated in Section IV.C.2 as to the number of in-person educational opportunities that may be attended. Virtual education and self-study are not limited by cost.
4. Periodicals. Trustees are encouraged to read periodicals related to the performance of their fiduciary duties as a valuable method of keeping current on relevant news and pension and investment trends, including but not limited to those selected from a list of pension and investment-related periodicals maintained by the Chief Executive Officer. All subscriptions must be arranged through the Executive Office, and LACERA will bear the expense. The Chief Executive Officer will annually review and update the periodical list with input from Trustees.
5. Evaluation. Trustees may complete and submit to the Chief Executive Officer for distribution to all Trustees, an evaluation or syllabus of all in-



person external conferences and virtual education attended. A suggested sample evaluation form is attached as Appendix C. Trustees are also encouraged to share knowledge from education at Board meetings during a Report on Trustee Education agenda item or when relevant during specific agenda items.

**D. Assessment and Planning.** Trustees are encouraged to assess their educational status and needs against the Trustee Competencies in Appendix A at the beginning of each calendar year and to use such information as the basis for establishing the Trustee's own self-development goals and education plan for the year. A suggested sample education plan format is attached as Appendix D.

**E. Reporting and Monitoring.** All Trustee education will be reported to the Chief Executive Officer. The Chief Executive Officer shall provide the Boards quarterly reports concerning the amount and type of Trustee education and post them on lacera.com. In addition, in compliance with CERL, the Chief Executive Officer will annually provide the Boards and post on lacera.com a report of each Trustee's compliance with the education requirement described in Section IV.A of this policy.

## **V. ADMINISTRATIVE MEETINGS**

~~"Administrative Meetings" are meetings attended by Trustees in their LACERA capacity and that have a non-educational purpose in furtherance of LACERA's interests, including legislative advocacy, speaking engagements, positions in the administration of pension-related organizations, and similar events.~~ The relevant Board will be informed of a Trustee's need to attend Administrative Meetings and provide advance approval on such terms as deemed appropriate. ~~Attendance at Administrative Meetings is exempt from the limits stated in Section IV.C.2 as to the number of in-person educational opportunities that may be attended.~~

## **VI. BROWN ACT COMPLIANCE**

Attendance at external education and Administrative Meetings by more than four members of a Board is not a violation of this provision, provided that the members may not discuss any item of LACERA business.

## **VII. GIFTS AND CONFLICTS**

The Boards desire to avoid even the appearance of impropriety in connection with education, and related expenses. The Boards acknowledge that acceptance of gifts of education and related expenses, such as registration, transportation, meals, and lodging by a public agency, though permitted under certain circumstances by applicable law, can create the appearance that LACERA encourages "pay to play" and may, unwittingly, create opportunities for undue influence on Trustees. This policy therefore does not permit LACERA as an entity to accept gifts of education, and related expenses. This policy does not prohibit certain payments for education and/or related expenses as part of the negotiated consideration under agreements with vendors, consultants, and

managers, although all such payments should be reviewed in advance with the Legal Division to ensure compliance with applicable law, regulations, and reporting.

Items provided during education may constitute reportable gifts.

Trustees should be familiar with the provisions of LACERA's Code of Ethical Conduct as it may apply to ~~certain~~ education and interaction with and items received from the sponsors or other attendees.

## **VIII. TRAVEL POLICY AND PROCEDURES**

This policy is subject to and will be read and interpreted in conjunction with the Trustee Travel Policy.

## **IX. OTHER CHARTERS AND POLICIES**

To reconcile this policy with the Board Charters and other Board policies:

- A. Prevention of Sexual Harassment.** ~~Sexual harassment~~ training will be obtained by Trustees as provided in the Boards' Policy on Sexual Harassment Prevention Training for Board Members.
- B. *Board Charters.*** This policy constitutes the development policy and addresses Trustee education programs, as referenced in Section 6.1.4 of the Board of Retirement Charter and Reserve Power 5 of the Board of Investments Powers Reserved and Delegated Authorities and Powers Reserved Defined.
- C. *Prior Board Educational Requirements Policies.*** This policy supersedes and replaces the LACERA Board of Retirement Board Member Educational Requirements Policy and LACERA Board of Investments Board Member Educational Requirements Policy, which are of no further force or effect.

## **X. POLICY PROVISIONS AND APPLICABLE LAW**

- A. Waiver of Policy Provisions.** For good cause presented in writing, and in the exercise of its sound discretion, the Board of Retirement or the Board of Investments may waive compliance with specific requirements of this policy when in the best interest of LACERA.
- B. Applicable Law.** This policy is to be implemented in compliance with the relevant provisions of the California Government Code and other applicable law, and in harmony with existing philosophy, objectives, policies and guidelines previously approved by the Board of Retirement and the Board of Investments.

## **X-XI. REVIEW**

This policy shall be reviewed by the Joint Organizational Governance Committee, the Board of Retirement, and the Board of Investments every three years or as needed and may be amended by both Boards at any time.

**Policy History:**

Restated and Approved by the Board of Retirement and Board of Investments on December 16, 2020, and updated and approved by the Board of Retirement and Board of Investments on \_\_\_\_\_, 2022. Prior versions are superseded and of no effect as of the stated approval date.





## **APPENDIX A TRUSTEE COMPETENCIES**

- ***Governance***

- Understanding Board function, processes, committee structure, exercise of discretion, delegation of responsibilities and oversight role.
- Understanding the organizational structure and roles of staff and consultants, including the actuary, auditors, healthcare consultant, investment consultants, and fiduciary counsel,
- Understanding the laws and rules governing the system.
- Understanding the Boards' governing documents.
- Understanding the system's independence under applicable laws.
- Understanding best practices for public pension board governance.
- Understanding information technology and data security.
- Understanding the meaning and role of diversity, equity, and inclusion.
- Understanding Robert's Rules of Order.

- ***Fiduciary Duties and Responsibilities***

- Understanding the duty of loyalty.
- Understanding the duty of prudence.
- Understanding the paramount fiduciary duty to members and beneficiaries.
- Understanding the fiduciary duty to minimize employer contributions.
- Understanding the fiduciary duty to defray the reasonable costs of administering the system.
- Understanding how to delegate authority while retaining appropriate oversight.

- ***Benefits Administration***

- Service Retirement
  - Understanding the different plans available to employees and retirees.
  - Understanding how the system communicates with members.
  - Understanding the difference between the responsibility for plan design (plan sponsor) and plan administration (LACERA).
  - Understanding the administrative appeal process.
- Disability Retirement
  - Understanding the qualifications for a disability retirement and the benefits that are provided.
  - Understanding the process that is followed in disability applications, from intake through determination of eligibility.
  - Understand the medical and legal issues that are discussed during consideration of disability matters.
  - Understanding the disability hearing and appeal process.
  - Understanding fair hearings and decision-making standards.
- Retiree Healthcare
  - Understanding the healthcare program structure.

- Understanding the history, agreements, and relationship with the County and other participating employers in connection with the funding and administration of the program.
- ***Ethics, Conflicts of Interest, and Disclosures***
  - Understanding the Form 700 process, and Conflict of Interest Code.
  - Understanding applicable conflict of interest laws and the duty to avoid participating in a decision that affects a Trustee's economic interests.
  - Understanding the LACERA Code of Ethical Conduct.
  - Understanding the RFP quiet period and Trustee disclosure obligations.
- ***Open Meeting and Public Records***
  - Understanding the importance of transparency to stakeholders.
  - Understanding the notice requirement for meetings, including teleconference meetings under the Brown Act.
  - Understanding the limitations on discussing matters that have not been noticed on the agenda.
  - Understanding the circumstances under which communications outside of noticed meetings can be deemed to be a prohibited meeting under the law.
  - Understanding what may and may not be discussed during a closed session.
  - Understanding what constitutes a “public record” under the law and circumstances under which records must be disclosed or withheld.
- ***Financial Controls and Audits***
  - Understanding the role of LACERA staff, Internal Audit, the outside financial auditor, and the Audit Committee.
  - Understanding the Comprehensive Annual Financial Report (CAFR).
  - Understanding the concepts of “risk assessment” and developing internal controls to address those risks.
  - Understanding the responsibility for maintaining the security of confidential information kept by the system, including privacy rights.
  - Understanding vendor selection policy and practices.
- ***Pension Funding and Actuarial Process***
  - Understanding of how assets and liabilities of the system are calculated on an actuarial basis, and the role of actuarial consultants.
  - Understanding the difference and relationship between the actuarial value of assets and the market value of assets and the asset smoothing process.
  - Understanding how changes in actuarial assumptions have an impact on system assets and liabilities.
  - Understanding the nature of the plan sponsors’ funding obligations and the responsibility of the Board of Investments to determine the annual required contribution and employee contributions and provide oversight of the actuarial process.
  - Understanding OPEB pay-as-you-go and trust funding mechanisms for retiree healthcare, and the Board of Retirement’s oversight role.

- ***Investments***

- Understanding the comprehensive nature of the investment process.
- Understanding portfolio construction.
- Understanding different asset categories and portfolio complexity.
- Understanding responsible stewardship of LACERA's investments in a manner that promotes and safeguards the economic interests of LACERA and its members, including robust investor rights, strong corporate governance practices and policies at the firms in which LACERA invests, and sound public policies governing financial markets help generate long-term economic performance.



## **APPENDIX B**

### **APPROVED EXTERNAL EDUCATIONAL CONFERENCES**

The following list of approved external Eeducational Conferences is provided under Section IV.C.2 of the Trustee Education Policy as a resource to Trustees in selecting pre-approved education that will support required education, Trustee Competencies, and educational assessment and planning. Education is organized by primary subject matter, although some events may provide education in multiple areas. All conferences on this list are intended only to refer to domestic U.S. events.

#### ***Governance***

National Association of Corporate Directors (NACD) conferences, seminars, and meetings

#### ***Pension Benefits and System Administration***

California Association of Public Employee Retirement Systems (CALAPRS) conference, seminars, and meetings, including Principles of Pension Management Course

Government Finance Officers Association (GFOA) conferences, seminars, and meetings

Harvard Law School Labor and Worklife Program conferences, seminars, and programs

Koried conferences, seminars, and programs

National Conference on Public Employees Retirement Systems (NCPERS) conferences, seminars, and meetings

National Institute on Retirement Security (NIRS) conferences, seminars, and meetings

Public Retirement Journal conferences, seminars, and meetings

State Association of County Retirement Systems (SACRS) conferences, seminars, and meetings

#### ***Retiree Healthcare***

America's Health Insurance Plans (AHIP) conferences, seminars, and meetings, including Annual Institute, Annual Medicare Conference, and Annual National Policy Forum

California Retired County Employees Association (CRCEA) semi-annual conferences

Federal and state legislative hearings on pension and retirement health care issues

International Foundation of Employee Benefit Plans (IFEBC) conferences, seminars, and meetings, including Annual Employee Benefits Conference, Annual Benefits Conference for Public Employees, Annual Health Care Cost Management Conference, Annual Investments Institute, and Annual Washington Legislative Update Conference

## ***Investments***

Association of Asian American Investment Managers conferences, seminars, and meetings

Association for Private Capital Investment in Latin America (LAVCA) conferences, seminars, and meetings

### ColCapital educational conferences, seminars, and meetings

Council of Institutional Investors (CII) conferences, seminars, and meetings

Harvard Kennedy School, Trustee Leadership Forum for Retirement Security and Initiative for Responsible Investment

Harvard Law School Forum on Corporate Governance

Information Management Network (IMN) Beneficial Owners' International Securities Lending Summit Annual Conference

International Corporate Governance Network (ICGN) conferences, seminars, meetings

Institutional Limited Partners Association (ILPA) conferences, seminars, and meetings

Milken Institute conferences, seminars, and meetings

National Association of Investment Companies conferences, seminars, and meetings

National Association of Securities Professionals (NASP) conferences, seminars, and meetings, including Annual Pension and Financial Services Conference

New America Alliance conferences, seminars, and meetings

Pacific Pension & Investment Institute (PPI) conferences, seminars, and meetings

Pension Bridge conferences, seminars, and meetings

Pension Real Estate Association (PREA) annual spring and fall conferences and institute

United Nations Principals of Responsible Investing (UNPRI) conferences, seminars, and meetings

University of Pennsylvania Wharton School's Pension Fund and Investment Management and the Portfolio Concepts and Management courses

Women in Institutional Investments Network conferences, seminars, and meetings

**APPENDIX C**  
**SUGGESTED SAMPLE CONFERENCE EVALUATION FORM**

Under Section IV.C.5 of the Trustee Education Policy, Trustees are encouraged to complete an evaluation form or provide a syllabus for all in-person external conferences and virtual education attended.

**TRUSTEE NAME:**

**CONFERENCE NAME:**

**CONFERENCE DATE:**

**RANKING 1-10:**

1 (lowest)    2    3    4    5    6    7    8    9    10 (highest)

**DO YOU RECOMMEND THE COURSE TO OTHER TRUSTEES?    Yes    No**

**WHY?**

**DESCRIPTION OF SUBJECT MATTER (attach syllabus if available):**

**HIGHLIGHTS:**

**WEAKNESSES:**

**Return to:**

Board Offices

[BoardOffices@lacera.com](mailto:BoardOffices@lacera.com)

**APPENDIX D**  
**SUGGESTED TRUSTEE EDUCATION PLAN**

Under Section IV.D of the policy, Trustees are encouraged to assess their personal educational status and needs as against the Trustee Competencies in Appendix A at the beginning of each calendar year and to use such information as the basis for establishing the Trustee's own self-development goals and education for the year.

**TRUSTEE NAME:**

**PLAN FOR YEAR: 20**\_\_\_\_

**HAVE I COMPLETED MY 24 HOURS OF CERL-REQUIRED EDUCATION EVERY TWO YEARS?    Yes       No**

**FIVE AREAS WHERE I WOULD LIKE TO GET ADDITIONAL EDUCATION THIS YEAR TO HELP IN THE PERFORMANCE OF FIDUCIARY DUTY:**

- 1.
- 2.
- 3.
- 4.
- 5.

**SUGGESTIONS FOR INTERNAL EDUCATION I WOULD LIKE TO SEE THIS YEAR**  
(you may email them to the CEO, [skreimann@lacera.com](mailto:skreimann@lacera.com)):

**IDEAS FOR EXTERNAL EDUCATION I WOULD LIKE TO PURSUE THIS YEAR:**

**ARE THERE ANY ADDITIONAL EDUCATIONAL RESOURCES I NEED FROM THE EXECUTIVE OFFICE THIS YEAR** (you may email them to the CEO, [skreimann@lacera.com](mailto:skreimann@lacera.com)):

## ATTACHMENT B

Revised and Redlined Trustee Travel Policy





# TRUSTEE TRAVEL POLICY

LACERA Board of Retirement & Board of Investments

Adopted ~~December 16, 2020~~ \_\_\_\_\_, 2022



# TRUSTEE TRAVEL POLICY

## I. PURPOSE

The purpose of this policy is to align travel by Trustees in connection with educational conferences and administrative meetings on LACERA's behalf with the Mission, Vision, Values, and work culture of the organization. The Board of Retirement and Board of Investments recognize that travel associated with education and administrative meetings on LACERA's behalf is a component of building the knowledge base and operational understanding of Trustees given their fiduciary responsibilities and will equip them to discharge their fiduciary duties for the sole benefit of active members, retirees, and their beneficiaries. To ensure reimbursement for incurring and paying travel is expenses are allowed for only those expenses deemed reasonable and necessary for the proper administration of the system, the policy will be administered in a manner that can be overseen by the Boards and understood by LACERA members and other stakeholders as reasonable, cost effective, value-driven, and necessary to fulfill LACERA's Mission to Produce, Protect, and Provide the Promised Benefits.

This policy applies to travel in connection with Educational Conferences and Administrative Meetings. "Educational Conferences" are those conferences, seminars, and meetings that have an educational purpose. "Administrative Meetings" are meetings attended by Trustees in their LACERA capacity and that have a non-educational purpose in furtherance of LACERA's interests, including legislative advocacy, speaking engagements, including a domestic and international Educational Conference at which a Trustee gives a speech, positions in the administration of pension related organizations, and similar events. This policy applies to LACERA Trustees only.

## II. PRINCIPLES

The following principles shall govern Trustee travel in connection with Educational Conferences and Administrative Meetings on LACERA's behalf and the interpretation of this policy.

**A. Performance of Fiduciary Duty.** Travel for educational conferences and administrative meetings in furtherance of LACERA's interests is necessary and should be encouraged and undertaken in order that Trustees may obtain and share knowledge relevant to the proper performance of their fiduciary duty under the California Constitution, the County Employees Retirement Law of 1937 (CERL), and other applicable laws by a reasonable method and at reasonable cost for the value received. Specifically:

1. Duty of Loyalty. Education and travel must assist the Trustees to perform their fiduciary duty of loyalty to "discharge their duties with respect to the system solely in the interest of, and for the exclusive purposes of providing benefits to, participants and their beneficiaries, minimizing employer contributions thereto, and defraying reasonable expenses of administering the system. A retirement board's duty to its participants and their beneficiaries shall take precedence over any other duty." Cal. Const., art. XVI, § 17(b); see Cal. Gov't Code § 31595(a). Education

## TRUSTEE TRAVEL POLICY

~~and attendance at administrative meetings must assist the Trustees to perform their fiduciary duty of loyalty to act solely in the interest of providing benefits to members and their beneficiaries, minimizing employer contributions, and defraying reasonable expenses of administering the system, with the duty to members and their beneficiaries being paramount.~~

2. Duty of Prudence. ~~Education and travel must assist the Trustees to perform their fiduciary duty of prudence to “discharge their duties with respect to the system with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in a like capacity and familiar with these matters would use in the conduct of an enterprise with a like character and like aims.” Const., art. XVI, § 17(c); see Cal. Gov’t Code § 31595(b).~~ ~~Education and attendance at administrative meetings must assist the Trustees to perform their fiduciary duty to act with the care, skill, prudence, and diligence that a prudent person acting in a like capacity would use in the conduct of a public pension system.~~

- B. ~~Focus on Mission~~Member-Centric Concentration.** Trustees have a fiduciary duty to make policy decisions consistent with applicable law, including constraints imposed by the Fund’s budget. At the same time, travel may be necessary to support and enhance LACERA’s Mission to Produce, Protect and Provide the Promised Benefits on behalf of LACERA members.

Trustees are expected to be and believed to be mindful and financially prudent in all travel and trip-related expenditures that consume resources funded by LACERA members.

- i. All monies held in the Fund by LACERA are ultimately due and payable in the form of pension benefits to active and retired members. Therefore, all administrative, operational and travel expenditures should be able to withstand the scrutiny of our members, stakeholders, plan sponsors and the public at large, thereby minimizing any reputational risk to LACERA.
- ii. Trustees are accountable for their actions and responsible for appropriate use of organizational resources and establishing policy creating limits and constraining expenditures. Travel policies for staff can be more restrictive depending on circumstances and financial constraints.

- C. Transparency.** Travel administrative procedures and guidelines are established and carried out in a manner that is easy for members and all other stakeholders to identify actions taken by Trustees.

- i. Expenses incurred for travel and trips are clearly identifiable as business-related expenses only, not for personal expenses of the Trustee or any traveling companions.
- ii. Travel expenses and trip information are reported to LACERA members and stakeholders via a public forum.

## TRUSTEE TRAVEL POLICY

- iii. Trustees recognize and accept their accountability and responsibility to LACERA members for travel and trip expenditures which are charged to LACERA.
- iv. All Trustees electronically acknowledge and sign a travel attestation document (Appendix B) provided by staff annually on a calendar year basis, confirming their commitment to act responsibly and prudently and in the best interest of LACERA members.

**D. Simplicity, Uniformity and Consistency.** Travel administrative procedures and guidelines for claiming and reimbursing expenses are designed to be simple, uniform, and consistent, and should not be overly onerous so as to negatively affect Trustees.

- i. Travel procedures should be a guide with simple, unambiguous rules for making and approving travel arrangements, and for the timely processing and accounting of trip related expenditures and reimbursements.
- ii. Travel expenditures are documented on expense claims that are clear and concise.
- iii. Travel expense reimbursement processes should be clear, consistent, transparent, convenient, efficient, and compliant with the Trustee Travel Policy.
- iv. Travel expense methods are simple and universally applied so the reimbursement claim process is streamlined (e.g., all meals are claimed under the Per Diem Method).
- v. Travel procedures, including administrative expense guidelines and reimbursement process, are maintained under the direction of the Chief Executive Officer (CEO) or their designee.

**E. Ease of Execution.** Travel administrative procedures and guidelines are established with administrative ease and designed to reduce the manual processes and the considerable effort required of staff. Processes related to travel reimbursements and processing expense claims should not be cumbersome and complicated for staff and Trustees.

- i. Travel accommodations and Trustee conveniences are streamlined and provide a group of appropriate choices instead a vast menu of options.
- ii. The process itself of conducting travel bookings and expense processing are considered a priority.
- iii. Expense categories, reimbursement process, and claim forms are periodically reviewed and updated by staff.
- iv. Trustees, not proxies, are responsible for obtaining, gathering, and submitting the appropriate documentation justifying expenditures in a timely manner.
- v. External providers including travel agents and other travel-related industry services are to be considered and integrated into the process for Trustees and administrative ease.
- vi. Technological tools for capturing and recording trip documentation are employed where reasonable and necessary considering cost implications.

## TRUSTEE TRAVEL POLICY

- vii. Practices will periodically be reviewed to ensure best practices and industry norms are included within the Trustee Travel Policy.

### III. TRUSTEE TRAVEL

**A. *Approval.*** All travel requires approval of that Trustee's Board, except that Educational Conferences and Administrative Meetings in California where the total cost of attendance is no more than \$23,000 are pre-approved for attendance and reimbursement, provided that a Trustee may not incur over \$4015,000 for all expenses of attending all such Educational Conferences and Administrative Meetings in a fiscal year without Board approval.

**B. *Cease Travel and Other Expenses.*** Trustees shall cease arranging future travel and expenses for Educational Conferences and Administrative Meetings once they become aware their term of service will end. For appointed Trustees, this occurs in the final year of their appointment and after the Board of Supervisors votes on the next appointment, or when an appointed Trustee has been told they will not be reappointed. For elected Trustees this occurs if when an elected Trustee decides not to run for reelection or when, as a candidate, is replaced once election results are certified by the Board of Supervisors. For the Ex-Officio Trustee, this occurs once a retirement is announced.

**C. *Authorized Expenses.*** Authorized travel expenses shall be determined by the Boards as set forth in Appendix A. LACERA shall use reasonable efforts to promptly reimburse trustees in accordance with this policy and Appendix A. ~~LACERA Trustees shall be reimbursed for reasonable and necessary expenses incurred, and documented, in connection with LACERA business.~~

**D. *Costs of Administration.*** Travel expenses for Trustees shall be administrative costs of the Fund and may not be paid through third party contracts. It is LACERA's policy that ~~LACERA, as an agency, and its~~ Trustees shall not accept gifts of travel. Gifts of travel, including transportation, lodging, and meals, may be reportable as gifts to individual Trustees if not paid by LACERA.

#### **E. *Claims for Reimbursement.***

- i. Submission. A travel expense reimbursement shall be claimed by completing an expense voucher form available on the LACERA intranet website from the Executive Board Assistants and submitting it to the appropriate authorizing person. Trustees may submit their expense reimbursements to their respective Executive Board Assistant. All expense reimbursement requests shall be accompanied by receipts and/or other reasonable documentation. Expense reimbursement records are subject to disclosure under the Public Records Act, with redaction of confidential information, such as personal addresses, telephone numbers, and credit

## TRUSTEE TRAVEL POLICY

card information. The deadline for submitting an education and travel reimbursement claim is 90 days after completing the education, or 30 days after the fiscal year-end, whichever comes first. Extensions to this deadline may be granted by the Board Chair.

- ii. Approval. The Executive Board Assistants will receive and review, for compliance with this policy, all expense reimbursement requests prior to submission. The Financial and Accounting Services Division (FASD) will review expense reimbursement requests for compliance with this policy and shall only process and use reasonable efforts to promptly pay those that are in compliance and approved by the CEO or their designee. FASD will notify the CEO or their designee of all deficiencies in a submission by a Trustee, and the CEO or their designee will in turn notify the respective Trustee. LACERA will not reimburse a Trustee for expenses that are not authorized under this policy unless specifically exempted and approved by that Trustee's Board, except that, without Board approval, each Board Chair or the Chief Executive Officer may authorize deviations of less than \$1,000 per trip from the expense limits in this policy and subject to subsequent review of all expenses for compliance with this policy.

### ***F. Cancellation of Travel Arrangements.***

- i. Responsibility for Timely Cancellation. Trustees are responsible for timely canceling travel arrangements made on behalf of the Trustee which will not be used so that no costs will be incurred by LACERA.
- ii. Responsibility for ~~Untimely Cancellation~~ Costs Resulting from Untimely Cancellation. Trustees are responsible for all costs LACERA incurs as a result of the Trustee's failure to cancel travel arrangements before cancellation charges accrue, unless the failure to cancel was due to facts or circumstances beyond the Trustee's control. The Trustee must reimburse LACERA within 30 days after notification of the amount due. Notice will be provided by the Chief Executive Officer. If reimbursement is not made within such 30-day period, and payment is still due, the amount shall be deducted from any payment due the Trustee from LACERA. If a Trustee is enrolled for an Educational Conference or Administrative Meeting but fails to attend or timely cancel and LACERA incurs an expense as a result (e.g., conference registration, travel and lodging cancellation fees), that conference or meeting counts toward the limit under Section IV.C.2. of the Trustee Education Policy until the Trustee reimburses LACERA for all expenses incurred or cancellation is excused under Section III. F. iii. of this Trustee Travel Policy.
- iii. Approval of Cancellation Costs with Good Cause. If the Trustee believes the failure to cancel was due to facts or circumstances beyond their control, they must submit written justification to the Board Chair within 30 days after



## TRUSTEE TRAVEL POLICY

receiving notification of the cancelation expenses due. For Trustees, the Board Chair will approve or disapprove the excuse in writing to the Trustee, with a copy to the Executive Board Assistant. If the individual is a Board Chair, then the written excuse must be submitted to that Board's Vice Chair who will then approve or disapprove the excuse. If the individual is a Vice Chair simultaneously serving as the acting Chair, then the Vice Chair's written excuse must be submitted to that Board's Secretary who will then approve or disapprove the excuse. Should a Trustee disagree with the Board Officer's determination, the Trustee may request the Executive Board Assistant to agendize the matter for consideration by the full Board.

**G. Cash Advances.** Hotel and airfare shall be purchased in advance by the Executive Board Assistants, and no cash advances will be allowed for such expenses. Cash advances for permitted per diem expenses in accordance with this policy may will not be allowed unless specifically approved for Trustees by the appropriate Board Chief Executive Officer for good cause. Trustees will account for any per diem cash advance on their trip expense report upon completion of the travel.

**H. Expenses for Traveling Companions.** Travel expenses for family members and/or traveling companions are not reimbursable by LACERA.

**I. Additional Travel Days to Minimize Overall Travel Cost.** Travel resulting in arrival one day prior to and/or one day after an Educational Conference or Administrative Meeting will be reimbursed if reasonably necessary because of time constraints. Travel resulting in arrival two days prior to and/or one day after international travel will be reimbursed as reasonably necessary based on the location of the Educational Conference or Administrative Meeting. In addition, lodging and per diem for extra days prior to or after an Educational Conference or Administrative Meeting will be reimbursed if such extension results in lower overall trip costs. If a Trustee adds personal travel before or after a trip, the extra personal days outside of the above restrictions shall not be reimbursed. Written justification for travel expenses incurred prior to or after an Educational Conference or Administrative Meeting shall be submitted with the claim for reimbursement.

**J. Ground Transportation.** Trustees will be expected to use taxis or ride sharing services to and from domestic destinations. Limousine or executive car services shall not be used in domestic locations unless the cost for such services is comparable to that of taxi services and/or airport parking; they may be used without restriction in international locations. Reimbursement of rental vehicles require justification and prior approval from the Chief Executive Officer. When renting a vehicle, purchase of optional insurance is not necessary as LACERA's insurance will cover the same risks.

## TRUSTEE TRAVEL POLICY

**K. *International Travel Insurance.*** LACERA will purchase travel insurance covering Trustees while traveling internationally on LACERA business. The insurance will include accident, medical, security assistance and evacuation, travel assistance, trip cancelation, interruption or delay, and baggage loss or delay coverage.

**L. *Travel Reports.***

- i. Monthly. A monthly Travel Report shall be submitted to both Boards listing the current fiscal year's completed, anticipated, and canceled Education Conferences and Administrative Meetings (including whether excused under Section III. F. iii) for all Trustees on both Boards.
- ii. Quarterly. A quarterly Travel Report shall be submitted to both Boards listing education and administrative travel expenses paid/reimbursed by LACERA for all Trustee on both Boards. Such report shall identify whether each item of travel was for an Educational Conference or Administrative Meeting, the purpose, location, cost by expense category, and whether excused under Section III. F. iii.
- iii. Availability. The monthly and quarterly reports shall be agendized as reports for the Boards in the first month after they are available (and for privacy and personal security reasons, after travel has been completed) and shall be posted on lacera.com.

## IV. ADMINISTRATIVE MEETINGS

The relevant Board will be informed of a Trustee's need to attend Administrative Meetings and provide advance approval of the cost on such terms as deemed appropriate. For attendance at an Administrative Meeting, as defined above, the Trustee will notify the relevant Board of a Trustee's need to attend and the Board will provide approval on such terms as deemed appropriate.

Due to the unique nature of Administrative Meetings, this travel does not have number limits, subject to the cost limits of Section III. A., and does not count towards the maximum approved number limits for travel unless in conjunction with an Educational Conference.

## V. BROWN ACT COMPLIANCE

Attendance at external Education Conferences and Administrative Meetings by more than four Trustees of a Board is not a violation of this provision, provided that the Trustees may not discuss any item of LACERA business.

## VI. GIFTS AND CONFLICTS



## TRUSTEE TRAVEL POLICY

The Boards desire to avoid even the appearance of impropriety in connection with education, and related expenses. The Boards acknowledge that acceptance of gifts of education and related expenses, such as registration, transportation, meals, and lodging by a public agency, though permitted under certain circumstances by applicable law, can create the appearance that LACERA encourages “pay to play” and may, unwittingly, create opportunities for undue influence on Trustees. This policy therefore does not permit LACERA as an entity to accept gifts of education, and related expenses. This policy does not prohibit certain payments for education and/or related expenses as part of the negotiated consideration under agreements with vendors, consultants, and managers, although all such payments should be reviewed in advance with the Legal Division to ensure compliance with applicable law, regulations, and reporting.

Items provided during Educational Conferences and Administrative Meetings may constitute reportable gifts.

Trustees should be familiar with the provisions of LACERA’s Code of Ethical Conduct as it may apply to certain education and administrative meeting interaction with and items received from the sponsors or other attendees.

The Boards acknowledge that international travel, though expensive, is increasingly necessary in light of today’s global economy and LACERA’s ongoing prudent investment of a substantial portion of its assets outside the United States.

### VII. EDUCATION POLICY AND PROCEDURES

This policy is subject to and will be read and interpreted in conjunction with the Trustee Education Policy.

### VIII. POLICY PROVISIONS AND APPLICABLE LAW

**A. Waiver of Policy Provisions.** For good cause presented in writing, and in the exercise of its sound discretion, the Board of Retirement or the Board of Investments may waive compliance with specific requirements of this policy when in the best interest of LACERA.

**B. Applicable Law.** This policy is to be implemented in compliance with the relevant provisions of the California Government Code and other applicable law, and in harmony with existing philosophy, objectives, policies and guidelines previously approved by the Board of Retirement and the Board of Investments.

### IX. SUSTAINABILITY

Trustees are encouraged to consider sustainability in making education and travel choices, including whether and how to travel, the viability and efficacy of alternative forms of participation (such as geographically closer or virtual meetings), the impact of business class vs. coach, the number of trips taken, and the number of Trustees participating in a

## TRUSTEE TRAVEL POLICY

single event, avoidance of car transportation when possible, and obtaining an estimate of the carbon footprint of travel and lodging options through available online tools and inclusion of estimates in board recommendation memos.

### **IX.X. REVIEW**

This policy shall be reviewed by the Joint Organizational Governance Committee, the Board of Retirement, and the Board of Investments every three years or as needed and may be amended by both Boards at such time.

**Policy History:** Restated and Approved by the Board of Retirement and Board of Investments on December 16, 2020, and updated and approved by the Board of Retirement and Board of Investments on \_\_\_\_\_, 2022. Prior versions are superseded and of no effect as of the stated approval date.

## TRUSTEE TRAVEL POLICY

### APPENDIX A REIMBURSEMENT SCHEDULE

Amounts which can be reimbursed for transportation, lodging, meals, and other covered items are indicated as follows:

#### I. TRANSPORTATION:

##### A. Airline Travel

1. Trustees will travel in coach/economy class except that they may travel in business class or its equivalent for:
  - a. Flights having (i) a scheduled non-stop flight time or total connecting travel time from original departing airport to the final destination airport of five hours or more, or (ii) a scheduled non-stop roundtrip flight time or total connecting roundtrip travel time of ten hours or more.
  - b. Red-eye flights. "Red-eye flights" are defined as flights in which a majority of the flight time occurs between 10:00 PM and 6:00 AM.
  - c. Flights arriving or departing at an international location, including Canada and Mexico.
2. LACERA will reimburse the additional cost of coach/economy class seats advertised as having additional leg room regardless of flight time.
3. Air travel will only be reimbursed at the lowest available non-refundable fare at the time of purchase (for class travel authorized under this Policy). Trustee may elect to fly on United, American, Delta, JetBlue, or Southwest Airlines for the dates and times of travel. Other carriers are authorized, but reimbursement shall not exceed the lowest non-refundable fare offered either amongst the five major airlines carriers mentioned above or three other major carriers who fly to selected destination.
4. Recognizing air carriers have begun charging for incidental items historically included in the ticket price (for example, checking luggage, providing pillows, blankets, and non-alcoholic beverages during flights, etc.), LACERA also will reimburse carrier charges for such incidental items upon submission of an itemized receipt. Alcoholic beverages will not be reimbursed.
5. Substantiation of airline travel shall include a copy of the ticket or E-mail confirmation showing the cost of the air travel.
6. Trustees traveling by air to conduct LACERA business may use only regularly scheduled airline services operating by an air carrier certified by the Federal

## TRUSTEE TRAVEL POLICY

### APPENDIX A REIMBURSEMENT SCHEDULE

Aviation Administration or comparable foreign authority. Trustees are prohibited from traveling on LACERA business via private aircraft, including but not limited to aircraft owned, leased, or rented by the individual Trustee. Persons traveling on LACERA business via private aircraft will be deemed to be acting outside the scope of their responsibilities and employment. They will not be covered by LACERA's liability insurance. Trustees may not use travel points to pay for LACERA travel. Any points earned on LACERA's credit card will be used at the Chief Executive Officer's discretion.

#### B. Other Common Carrier Travel

1. Travel permitted under this policy should be accomplished in the most cost-effective and efficient manner practicable, considering the costs of the mode of transportation, travel time, accommodations, and per diem.
2. Generally, air travel is the most cost-effective and efficient means for long distance travel, with "long distance travel" defined as a travel to a site more than 300 miles from LACERA's headquarters.
3. Where a traveler has special travel needs or concerns such that travel by air for long distance travel is not practicable, then the traveler may use an alternate common carrier (e.g., train or bus) and incur such reasonable expenses associated with that mode of travel (e.g., sleeper car, additional days of per diem).
4. Substantiation of other common carrier travel shall include a copy of the ticket or E-mail confirmation showing the cost of the travel.

## II. LODGING:

#### A. Room Cost

Reimbursement is limited to a standard class single room rate, including mandatory taxes and hotel fees. Actual expenses for lodging will be reimbursed upon submittal of receipts. For example, room upgrades, and bed and breakfast additions will be at the expense of the Trustee, unless for good cause such as the unavailability of standard rooms and/or international destinations.

#### B. Government Rates

Trustees traveling on LACERA business should always request government rates when making reservations and/or checking in. LACERA recognizes that the governmental rate offered for local governmental entities like LACERA may be higher

## TRUSTEE TRAVEL POLICY

### APPENDIX A REIMBURSEMENT SCHEDULE

than the federal government rate, or may not be available at all, especially in connection with international travel.

#### C. Attendance at Educational Conferences and Administrative Meetings.

LACERA acknowledges that the cost of a standard room at an event hotel may exceed the standard lodging reimbursement rate. Nevertheless, Trustees attending events may stay at the designated hotel to promote convenient access, networking, and safety. Reimbursement for lodging at an event is limited to the standard room rate charged by the event hotel unless for good cause such as the unavailability of standard rooms and/or international destinations. When lodging at the event hotel is unavailable, reimbursement is limited to the best available rate for a standard room at a nearby hotel of comparable quality. The Trustee will be responsible for any excess cost.

#### D. Travel Not Connected With An Established Hotel Venue

The maximum lodging amounts are intended to cover the cost of lodging at adequate, suitable and moderately-priced facilities located near the destination city's airport or the specific area in the destination city where LACERA's business will be conducted without association with an established hotel venue. Reimbursement for lodging connected with such travel is limited to:

1. Domestic: Not more than three times the regular per diem rate for the location as established from time to time by the ~~Internal Revenue Service~~U.S. General Services Administration, found at [www.gsa.gov](http://www.gsa.gov) (click on "per diem rates").
2. International: Not more than three times the rate for the location as established from time to time by the United States Department of State, found at: [www.state.gov/m/a/als/prdm](http://www.state.gov/m/a/als/prdm).

### III. MEALS:

It is the policy's intent for the Trustee to be reimbursed for meals not pre-paid for by LACERA. As such, LACERA will not reimburse the Trustee for a meal which has been pre-paid for by LACERA (e.g., when conference registration includes meals), whether or not the Trustee consumed the meal, except where the Trustee could not consume the pre-paid meal because:

1. The Trustee has special dietary or medical concerns, or
2. It was reasonably necessary for the Trustee to conduct LACERA business while the pre-paid meal was being served.

## TRUSTEE TRAVEL POLICY

### APPENDIX A REIMBURSEMENT SCHEDULE

Written justification as to which of the above two exceptions applies will be provided with the reimbursement request. However, written justification for any dietary restrictions or medical concerns need only be provided once annually by the Trustee.

Likewise, LACERA will not reimburse the Trustee for a meal paid for by a third party unless approved by the Chief Executive Officer.

#### Meal Reimbursement

Reimbursement for meals shall be based on the "Per Diem Method" only as defined below.

##### A. The Per Diem Method

1. Under the Per Diem Method, the Trustee agrees to accept a flat rate for meals. Trustees are not required to submit receipts.
2. The per diem allowance will be computed using the Meals & Incidental Expenses rate (the "M&IE Rate") published by the Internal Revenue Service and in effect on the date of travel for the locality of travel.
3. The per diem allowance shall be claimed in accordance with the Meals & Incidental Expenses Breakdown ("M&IE Breakdown") per the IRS, based on the M&IE Rate. The portion of the per diem the Trustee receives depends upon when the travel occurs. For example, if the travel occurs during normal breakfast and lunch times, the Trustee receives the per diem for breakfast and lunch; if the travel occurs during normal lunch and dinner times, the Trustee receives the per diem for lunch and dinner, etc.

#### **IV. PORTERAGE:**

Porterage may not be claimed using the Per Diem Method, as the Per Diem Method's IRS tables already factor in porterage reimbursement.

#### **V. PARKING:**

Parking, including airport parking, will be reimbursed at actual rate (receipt required).

#### **VI. MILEAGE:**

Use of a personal vehicle will be reimbursed on a per mile basis at the rate approved by the Internal Revenue Service as of the date of travel.

## TRUSTEE TRAVEL POLICY

### APPENDIX A REIMBURSEMENT SCHEDULE

#### VII. OTHER BUSINESS EXPENSES:

Other covered business expenses reasonably incurred in connection with LACERA business, such as registration fees, business and personal telephone, fax, internet access, gym access (including the standard gym fee charged by the Trustee's hotel or, if hotel gym access is not available, the reasonable cost of daily access to a local gym or health facility), dry cleaning, and similar business expenses, shall be reimbursed upon submittal of receipts. The cost of membership in TSA Pre, Global Entry, NEXUS, SENTRI, or other expedited security and border processing programs shall be reimbursed upon submittal of receipts. Technology needs, for both international and domestic travel, may be discussed with the LACERA Systems Division in advance to develop appropriate solutions for the Trustee's needs and to manage cost.

Reimbursement for lost or damaged property is subject to a separate policy to be developed.

## TRUSTEE TRAVEL POLICY

### APPENDIX B TRAVEL ATTESTATION

As a LACERA Board Trustee, I acknowledge:

- a. I have received, read, and understand all of the provisions within the Trustee Travel Policy; and
- b. I attest my commitment to act responsibly and prudently in the best interest of LACERA members, in all travel-related matters.

\_\_\_\_\_  
LACERA Board

\_\_\_\_\_  
Name

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date





April 25, 2022

TO: Each Trustee  
Board of Retirement

FROM: Ricki Contreras, Division Manager  
Disability Retirement Services

SUBJECT: **APPEALS FOR THE BOARD OF RETIREMENT'S MEETING  
OF MAY 5, 2022**

**IT IS RECOMMENDED** that the Board of Retirement grant the appeals and requests for administrative hearing received from the following applicants, and direct the Disability Retirement Services Manager to refer each case to a referee:

5200B	Pratin Kraisoronkovit	In Pro Per	Deny SCD – Grant NSCD Without Prejudice
5202B	Andrea Marie Serda	In Pro Per	Deny SCD Without Prejudice
5232B	Angela M. Sorrels	In Pro Per	Deny SCD – Ineligible for Disability Retirement
5233B	Dawn M. Cross	In Pro Per	Deny SCD – Grant NSCD Employer Cannot Accommodate
5242B	Alvin D. Poff, Jr.	Thomas Wicke	Deny SCD
5244B	Bethly L. Mills	In Pro Per	Deny SCD – Grant NSCD Employer Cannot Accommodate

RC:kw

Memo.New Appeals.docx

April 14, 2022

TO: Each Trustee,  
Board of Retirement

FROM: Carlos Barrios *CB*  
Interim Manager, Benefits Division

FOR: May 5, 2022, Board of Retirement Meeting

SUBJECT: Waive Interest for Overpaid Retirement Benefits—Alameda Decision Project

### **RECOMMENDATION**

The Board of Retirement authorize staff to forgo the collection of interest on the overpaid retirement benefits resulting from adjustments required by the Alameda Decision.

### **EXECUTIVE SUMMARY**

At the Board of Retirement meeting on March 3, 2021, staff informed the Board that the Alameda Decision narrowed the interpretation adopted by the Ventura County case to exclude certain pay from compensation earnable (Attachment A). Staff applied the more restrictive interpretation to its membership population and found one item of compensation, Standby Pay, should have been excluded from compensation earnable for members in legacy plans effective with the passage of PEPRA on January 1, 2013. Standby Pay was not included in the definition of pensionable compensation for PEPRA members and, therefore, PEPRA members were not affected.

Retired members whose retirement benefit was computed with Standby Pay in their Final Average Compensation (FAC) are receiving overstated retirement benefits and will require adjustments. The Alameda Decision occurred on July 30, 2020; therefore, retirement benefits will be adjusted following the court's ruling on a prospective basis, effective with the August 1, 2020, retirement benefit. Retirement benefits paid prior to the court's ruling are not subject to reduction or collection.

When a retired member's benefit is adjusted and an overpayment has occurred as a result of that adjustment, the member is required to repay the overpaid benefits. The Board of Retirement Policy for the Recovery of Member Overpayments and Underpayments (Attachment B) requires that LACERA charge interest on overpaid benefits. The Legal

April 14, 2022

Office has determined that this interest be calculated as “simple interest” which compounds monthly.

Ideally, LACERA would initiate a project to address the adjustments required by the Board’s determination relatively soon after the decision has been made. However, due to resource constraints and other benefit correction projects such as the Mallano Decision and the COLA Bank Correction project, LACERA was unable to take immediate action.

As a result of our delayed ability to process these adjustments staff is requesting Board approval to seek repayment of the principal overpayment amount and forgo collection of the interest on that principal.

### **URGENCY CONSIDERATION**

This item is being brought to the Board of Retirement instead of first being brought before the Operations Oversight Committee due to the urgency to adjust retiree benefits to prevent further over payments. Letters would be sent toward the end of May to inform members of the reduction to their benefit two months later, effective with their July 31, 2022 payment. Deductions for overpayments would begin with the August 31, 2022 payment, unless the member chose to pay the overpayment in full by August 12, 2022. Staff had planned to present the item for consideration at last month’s Operations Oversight Committee meeting, but the data was not available in time. Due to the time sensitivity and impact on LACERA members, this item has been placed on the BOR agenda for discussion and consideration.

### **LEGAL AUTHORITY**

The Board has plenary authority and fiduciary responsibility over administration of the system under Article XVI, Section 17 of the California Constitution. In the exercise of this authority, the Board has adopted policies consistent with and necessary to fulfill its obligations set forth in the County Employees Retirement Law of 1937 and the Public Employees’ Pension Protection Act. The Board’s Policy for the Recovery of Member Overpayments and Underpayments provides the basis for staff’s recommendation.

### **DISCUSSION**

#### ***Alameda Decision Project***

Effective April 1, 2021, staff modified our system to no longer deduct contributions from Standby Pay. Additionally, contributions based on compensation earnable that included Standby Pay between January 1, 2013 and March 31, 2021 would need to be returned to

members. For retirees, the excess contributions owed to retirees will be used offset against the overpaid retirement benefits.

Systems staff found 887 retirees affected by the Alameda decision. Of those, 380 are overpaid more in retirement benefits than they paid in contributions and would be required to repay the difference. There are 299 who will receive a refund of contributions as their overpaid retirement benefits did not exceed their overpaid contributions. There are 52 members who have no change because they are in Plan E noncontributory plan or they received a 30-year cancellation and did not make contributions on Standby Pay, and their Final Average Compensation was from a different period than when they received Standby Pay. The remaining 156 retiree accounts will require manual processing to determine if they would receive a refund, would need to repay overpaid retirement benefits, or there would be no change.

<b>Retirees Affected by Alameda Decision</b>	
<b>Category</b>	<b>No. Retirees</b>
Overpaid	380
Refund	299
No Change	52
Manual Review Required	156
<b>Total Retirees</b>	<b>887</b>

The aggregate overpaid retirement benefits after offsetting the overpaid retirement contributions are estimated to be \$1,411,102. It includes \$1,207,710 that was computed through the system and includes an extrapolation of the remaining 156 that will require manual processing for \$203,392.

<b>Aggregate Overpayment to Retirees</b>		
<b>Category</b>	<b>Computation</b>	<b>Results</b>
No. of Overpaid Members Identified	380 Members	\$1,207,710
Average Amount Overpaid	\$1,207,710 / 380 Members	\$3,178
Manual Refund / (Overpaid + No Change) Ratio	299 / (380 + 299 + 52)	41%
Manual Estimated Overpaid Members	156 x 41%	64
Manual Estimated Amount Overpaid	\$3,178 x 64	\$203,392
<b>Estimated Total Overpaid</b>	<b>\$1,207,710 + \$203,392</b>	<b>\$1,411,102</b>

### **Overpayments**

At its meeting on June 11, 2015, modifications to the Board of Retirement Policy for the Recovery of Member Overpayments and Underpayments were approved, herein called the Recovery Policy. The Recovery Policy requires interest be included during the recovery of overpayments. The Recovery Policy authorizes the CEO to make no further demand on principal or interest but only after informal collection efforts have been

completed for collections under \$10,000. Staff are preparing to make demand for the overpaid retirement benefits (principle) but are requesting that the Board authorize the CEO to forgo the demand of the interest for the estimated aggregate amount of \$66,906.

<b>Aggregate Interest on Overpayments</b>		
<b>Category</b>	<b>Computation</b>	<b>Results</b>
No. of Overpaid Members Identified	380 Members	\$57,242
Average Interest	\$57,242 / 380 Members	\$151
Manual Refund / (Overpaid + No Change) Ratio	299 / (380 + 299 + 52)	41%
Manual Estimated Member Interest	156 x 41%	64
Manual Estimated Overpaid Interest	\$151 x 64	\$9,664
<b>Estimated Total Interest</b>	<b>\$57,242 + \$9,664</b>	<b>\$66,906</b>

CERL Section 31591 permits interest to be credited on contributions for 1) a deferred member, 2) a surviving spouse or a minor survivor who leaves a death benefit on deposit as provided for in section 31781.2, or 3) a member who has left his or her accumulated contributions in the retirement fund and has not terminated employment. However, it does not specify that interest be credited on contributions after retirement. The Recovery Policy, on the other hand, requires interest to be charged on overpaid retirement benefits. The issue at hand is that by charging interest on overpaid retirement benefits but not paying interest on overpaid contributions for the same period could appear unfair to members and could result in a significant number of administrative appeals. Although the Recovery Policy acknowledges a duty to safeguard fund assets and defray reasonable expenses of administering the system, it also acknowledges it would be imprudent for a fiduciary to spend more on the collection process than what it seeks to recover. The administrative appeals involve Division Managers, Section Heads, and subject matter experts who thoroughly review each case before making the decision. A recent review of costs for a First Level Administrative Appeal determined the cost can range between \$1,000 and \$5,000 dollars depending on the complexity of the case (costs are calculated based on time worked x average hourly rates for staff working on the appeal). The Increase in administrative appeals and administrative costs to process appeals is unknown at this time and could be significant due to the appearance of unfairness of charging interest on overpaid retirement benefits but at the same time not crediting interest on contributions during retirement.

### ***Proceeding Projects***

Two preceding projects, the Mallano Decision, and the COLA Accumulation project, delayed the start of the Alameda Decision project and this delay caused retired members to continue to be overpaid and interest to accumulate on the overpayments. The Milano decision project is complete but to prevent further overpayments, the last phases of the COLA Accumulation project will be placed on hold to shift resources to the Alameda Decision project. The last phase of the COLA Accumulation project addresses deceased

April 14, 2022

members and survivors accounts where beneficiaries and estates are due the COLA benefits that members did not receive before they passed away. The delay in starting the Alameda decision project due to the Mallano Decision project and COLA Accumulation project exacerbated the overpayments made to members that now need to be collected, including the interest required by the Recovery Policy. The authority to forgo making demand for interest on overpaid retirement benefits remains under the authority of the Board of Retirement.

**RECOMMENDATION**

The Board of Retirement authorize staff to forgo the collection of interest on the overpaid retirement benefits resulting from adjustments required by the Alameda Decision.

Reviewed and Approved:

A handwritten signature in black ink, appearing to read "JJ Popowich", is written over a horizontal line.

Assistant Executive Officer

Attachment A: Alameda Implementation

Attachment B: Board of Retirement Policy for the Recovery of Member Overpayments and Underpayments



February 18, 2021

TO: Trustees – Board of Retirement

FROM: Fern M. Billingsy   
Senior Staff Counsel

DATE: Meeting of March 3, 2021

SUBJECT: **ALAMEDA IMPLEMENTATION**

In September 2020, we informed the Board that staff would conduct a review of pay items based on the Supreme Court decision in *Alameda County Deputy Sheriff's Association v. Alameda County Employees' Retirement Association*. ("Alameda").

## **BACKGROUND**

As you know, a member's retirement allowance is calculated based on three factors: years of service, age, and final compensation. Many members have items of compensation in addition to base salary. The compensation for these additional items may be included in the definition of compensation earnable for legacy members or pensionable compensation for PEPRAs members. If included, these items increase the member's final compensation.

Government Code section 31461 defines compensation earnable and section 7522.34 (a part of PEPRAs) defines pensionable compensation. In 2013, Assembly Bill 197 amended the definition of compensation earnable specifically excluding pay items previously included such as unused vacation, termination pay, in-kind payments, and payments for services rendered outside of normal working hours. The *Alameda* court reviewed this amendment and determined it was constitutional. Thus, these items may no longer be included in the definition of compensation earnable.

Historically, the Board has never included unused vacation, termination pay, and in-kind payments in the definition of compensation earnable. However, based on the nature of the work, the Board has included one item of additional services rendered outside of normal working hours. This item is included within eight pay codes.

The *Alameda* decision specifically narrowed the expansive interpretation adopted by the *Ventura County* case (“*Ventura*”).<sup>\*</sup> Based on this narrowing, the Board is obligated to apply this more restrictive interpretation when calculating a member’s final compensation and adjust the pensionability of pay codes, if necessary.

Staff conducted a pay code description search for every pay item possibly affected by *Alameda* to determine if any change is necessary. Fortunately, the Board has always taken a more conservative approach in making such determinations. As a result, there are no PEPPA pay codes that require a change in determination, and only one type of legacy pay code, covering eight specific codes, that requires a change.

## ITEM OF COMPENSATION

Based on staff’s review, we have identified one item of compensation, Standby Pay, currently included in the calculation of final compensation that must now be excluded from those calculations for legacy members.

We reviewed applicable Memorandums of Understanding related to this pay item and determined that the description of the pay as “work that is being performed at off duty times” falls within the exclusions mandated by *Alameda*. Additionally, we reviewed the Los Angeles County Code description of this item. Los Angeles County Code section 6.10.120 describes standby pay as “a \$.25-per-hour bonus not to exceed a maximum of \$50.00 per month total may be paid to any person assigned regularly scheduled periods of standby service at off duty times, which assignments cause inconvenience and restrict normal activity during such off duty periods.” Please note that this item is not included in the definition of pensionable compensation paid to PEPPA members.

Attachment A is a list of eight standby pay codes identified as payment for additional service rendered outside of normal working hours which are currently included in the definition of compensation earnable. Going forward, the compensation for these pay codes will be excluded from the final compensation of legacy members. As noted, these items are already nonpensionable for PEPPA members.

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<sup>\*</sup> *Ventura County Deputy Sheriff’s Association v. County of Ventura* (1997) 16 Cal. 4th 483, became final on October 1, 1997, and requires LACERA to include in the calculation of retirement allowances various forms of remuneration not formerly included.



## **NEXT STEPS**

The *Alameda* decision was filed on July 30, 2020, confirming the January 1, 2013 amendments to section 31461 as constitutional. Since January 1, 2013, members who received standby pay have made contributions on that compensation, and members have retired with final compensation calculations that included standby pay. LACERA must now make the required adjustments to the accounts of these members to correct these members' accounts, including return of the excess contributions and collection of any excess distributions as of January 1, 2013.

### Active members

Refunds and/or reversals must be made for any member who made contributions based on standby pay since January 1, 2013.

Staff has identified approximately 1,300 total active legacy and PEPRA members who currently receive or since January 1, 2013 have at any time received standby pay. No refund is necessary for the 500 PEPRA members as standby pay has never been included in the definition of pensionable compensation. No refund is necessary for the 140 Plan E members as no contributions are collected for Plan E members. Correction is necessary for all remaining members (approximately 660) who made standby pay contributions at any time since January 1, 2013.

### Retired members

Members who made standby pay contributions on or after January 1, 2013, and have since retired will be entitled to a refund of contributions. Members who retired on or after January 1, 2013, and whose standby pay was included in the calculation of final compensation will have benefits recalculated. There is a likelihood that any overpaid retirement allowance owed by members will be offset by the refund of contributions owed to members. These retirement allowance calculations and adjustments will be effective with the payroll of August 30, 2020. Communication will be sent to members explaining the adjustments.

Staff will begin the process of calculating refunds and corrections, notifying affected members, and providing an opportunity to appeal staff's determination pursuant to the Administrative Appeal Procedures.

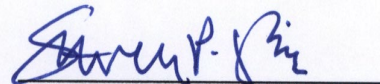
## CONCLUSION

Consistent with the foregoing, the attached Resolution of the Board of Retirement Implementing *Alameda* is submitted for approval by the Board.

## IT IS THEREFORE RECOMMENDED THAT THE BOARD:

1. Adopt attached Resolution No. 2021-BR001 regarding *Alameda* implementation.
2. Instruct staff to coordinate with the County of Los Angeles to establish necessary reporting mechanism and procedures to permit LACERA to exclude the pay item in the calculation of final compensation.

Reviewed and Approved

A handwritten signature in blue ink, appearing to read "Steven P. Rice", is written over a horizontal line.

Steven P. Rice  
Chief Counsel

Attachments

Billingy/BOR/Alameda Implementation 2.18.21

**BEFORE THE BOARD OF RETIREMENT**  
**LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION**

RESOLUTION OF THE BOARD OF  
RETIREMENT REGARDING ALAMEDA  
IMPLEMENTATION

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RESOLUTION NO. 2021-BR001

WHEREAS, LACERA calculates retirement allowances based on a member's "final compensation."

WHEREAS, LACERA is required to include in the calculation of "final compensation" a member's base pay, and certain other items of remuneration, if such remuneration qualifies as "compensation" under Government Code section 31460 and "compensation earnable" under Government Code section 31461 of the County Employees Retirement Law of 1937 ("CERL").

WHEREAS, on March 4, 1998, the Board of Retirement adopted Resolution No. 98-004 specifying certain items of remuneration payable to employees of the County of Los Angeles which the Board determined qualify as "compensation" under Government Code section 31460 and "compensation earnable" under section 31461.

WHEREAS, on August 4, 1999, the Board of Retirement adopted Resolution No. 99-001 specifying an additional item of remuneration that qualifies as "compensation" and "compensation earnable" under Government Code sections 31460 and 31461, respectively.

WHEREAS, the Court's ruling in *Ventura County Deputy Sheriff's Association v. County of Ventura* (1997) 16 Cal. 4<sup>th</sup> 483, became final on October 1, 1997, and requires LACERA to include in the calculation of retirement allowances various forms of remuneration not formerly included.

WHEREAS, Government Code section 7522.34 governs the determination of pensionable compensation for those members who became active members for the first time on or after January 1, 2013, who are subject to the California Public Employees' Pension Reform Act of 2013. ("PEPRA")

WHEREAS, LACERA is required to include in the calculation of "final compensation," a member's base pay and certain other items of compensation, if such compensation qualifies as "pensionable compensation" under Government Code section 7522.34.

WHEREAS, Government Code section 7522.34 defines "pensionable compensation" as:

". . .the normal monthly rate of pay or base pay of the member paid in cash to similarly situated members of the same group or class of employment for services rendered on a full-time basis during normal working hours, pursuant to publicly available pay schedules".

WHEREAS, on July 30, 2020, the California Supreme Court filed its decision entitled *Alameda County Deputy Sheriff's Association v. Alameda County Employees' Retirement Association* (2020) 9 Cal.5th 1032 ("*Alameda*"). The *Alameda* decision concludes that the amendments to the definition of compensation earnable in Government Code section 31461, enacted as a result of the PEPRA and related statutory changes to CERL, effective January 1, 2013, are constitutional. The *Alameda* court also determined that CERL retirement boards may not include items in compensation earnable that section 31461 requires them to exclude.

WHEREAS, the *Alameda* court also held that even without the enactment of PEPRA, CERL retirement boards have no discretion to include pay items in retirement allowance calculations that are excluded under CERL, PEPRA, or other applicable statutes .

WHEREAS, the board hereby determines that the *Alameda* decision requires it to change its determination of certain pay codes included in the definition of compensation earnable.

WHEREAS, this Resolution is intended to comply with the requirements of the Internal Revenue Code of 1986, as amended or replaced from time to time and the regulations issued thereunder as applicable.

NOW THEREFORE, BE IT RESOLVED BY THE LACERA BOARD OF RETIREMENT AS FOLLOWS:

1. LACERA shall present revised pay codes to the Board to ratify changes in compensation earnable determinations in compliance with the *Alameda* decision.
2. LACERA shall comply with the *Alameda* decision regarding mandatorily excluded pay items, and apply that decision to all retiree payroll for individuals who are retired on or after January 1, 2013, effective with the payroll of August 30, 2020.
3. LACERA shall comply with the *Alameda* decision regarding mandatorily excluded pay items and apply that decision to correct the collection of member contributions taken on or after January 1, 2013.
4. LACERA shall implement the Board's Policy for the Recovery of Overpayments and Underpayments when correcting distributions.
5. LACERA shall appropriately provide members with adequate information and counseling regarding *Alameda* changes.
6. LACERA shall provide members with an opportunity to appeal such determination pursuant to the Board's Administrative Appeal Procedures.

This resolution supersedes any previous resolutions for employer pay codes of employee compensation relating to compensation earnable to the extent inconsistent with the foregoing.

BOARD OF RETIREMENT  
LOS ANGELES COUNTY EMPLOYEES  
RETIREMENT ASSOCIATION

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Alan J. Bernstein  
Chair, Board of Retirement

Approved as to Form:

ATTEST:

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Steven P. Rice  
Chief Counsel

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Vivian H. Gray  
Vice Chair, Board of Retirement

**BOR\_APPROVED\_CODES**  
as of December 31, 2020

<b>Code</b>	<b>Description</b>	<b>Compensation Earnable (Legacy / CERL Plans)</b>	<b>Pensionable Compensation (PEPRA Plans)</b>
531	STANDBY	Y	N
531SP	STANDBY AUTHORIZED FOR SHERIFF & PUBLIC WORKS DEPTS ONLY	Y	N
552	STANDBY - EMERGENCY ROLL OUT PROGRAM	Y	N
570	HOME CARE PROGRAM STANDBY	Y	N
574	STANDBY - INS WITNESS PROGRAM	Y	N
OP005	PENSIONABLE STANDBY PAY	Y	N
PP005	STANDBY PAY-PENSIONABLE	Y	N
RP005	PENSIONABLE STANDBY PAY - OFFSET	Y	N



**BOARD OF RETIREMENT  
POLICY FOR THE RECOVERY OF  
MEMBER OVERPAYMENTS AND UNDERPAYMENTS**

The Board of Retirement adopts this policy to ensure the continued proper and timely collection of monies owed to LACERA by or on behalf of a member, including, but not limited to a beneficiary or survivor, as a result of incorrect payments into or out of the retirement system. It is the intent of the Board of Retirement in adopting this policy to fulfill its fiduciary duty to safeguard fund assets by making every reasonable effort to recover all member overpayments, underpayments and appropriate interest thereon.

A. The following threshold limits shall apply to the collection of erroneous payments of erroneous payments into (underpayments) and out of (overpayments) the retirement system.

1. For principal amounts less than \$100, the Chief Executive Officer ("CEO") may make no demand for principal or interest and write off the account, or take such other action the CEO deems reasonable and appropriate.
2. For principal amounts between \$100 and \$1,000, the CEO is authorized, after informal collection efforts (such as verbal and written staff requests for payment) have been completed, to refer the matter to the County of Los Angeles Treasurer Tax Collector, write off the amount of the principal and interest, in whole or in part, or take such other action as the CEO deems reasonable and appropriate. Formal litigation will normally not be commenced as the costs of filing and prosecuting the case would likely exceed the amount that could be recovered.
3. For principal amounts between \$1,001 and \$10,000 the CEO has discretion, after informal collection efforts (such as verbal and written staff requests for payment) have been completed and consultation as necessary with the Legal Office and other staff to determine likelihood of recovery, to pursue recovery of principal and interest in small claims court, refer the matter to the County of Los Angeles Treasurer Tax Collector, commence formal litigation, write off the amount, in whole or in part, or take such other action as the CEO deems reasonable and appropriate.
4. For principal amounts over \$10,000, the CEO is required, after informal collection efforts (such as verbal and written staff requests for payment) have been completed and consultation as necessary with the Legal Office and other staff to determine likelihood of recovery, to seek Board approval to commence formal litigation to recover the principal and interest, write off the account, in whole or in part, or to take such other action as the board deems reasonable and appropriate.

B. Applicable Periods of Limitation

1. Pursuant to California Government Code Section 31540, the applicable periods of limitation for actions to recover erroneous payments into or out of LACERA shall be three years, and shall be applied as follows:
  - a. In cases where LACERA makes an erroneous payment to a member or beneficiary, the system's right to bring an action to collect shall not expire until three (3) years from the date of payment.



- b. Notwithstanding any other provision in this policy, in cases where LACERA makes an erroneous payment because of the death of a retired member or beneficiary, or because of the remarriage of the beneficiary, the system's right to bring an action to collect shall not expire until ten (10) years from the date of discovery of the erroneous payment.
- c. Notwithstanding any other provision in this policy, in cases where LACERA makes an erroneous payment as a result of fraudulent reports for compensation made, or caused to be made, by a member for his or her own benefit, the system's right to bring an action to collect shall not expire until ten (10) years from the date of payment or the date of discovery of the fraudulent reporting, whichever is later.
- d. Notwithstanding any other provision in this policy, in cases involving under paid member contributions, if LACERA brings an action within three (3) years from the date of discovery of the underpayment, it may recover the entire underpayment, regardless of when in the past the underpayment was made. If no action is brought by LACERA within three (3) years from the date of discovery, LACERA's right to bring an action shall expire three (3) years from the date of the underpayment.
- e. LACERA's determination with regard to the period of limitation in any case, and its determination with respect to the running of any period of limitation shall be conclusive and binding for purposes of correcting any error or omission.
- f. For purposes of this policy, date of discovery means the date LACERA discovered or reasonably could have discovered the error giving rise to the erroneous payment.
- g. Nothing in this policy shall be construed as limiting or prohibiting in any way LACERA's authority to correct errors as necessary in a member's or beneficiary's account, prospectively and/or retroactively,
- h. Notwithstanding any other provision in this policy, in cases where LACERA owes money to a member or beneficiary, the periods of limitation set forth above shall not apply.

#### C. Offsets

As part of LACERA's collection process, the CEO will wherever possible to recover all overpayments and underpayments owing from a member or beneficiary, plus interest thereon, offset amounts due to LACERA against current or future benefits owing to the member or beneficiary. The threshold limits set forth above shall apply to cases involving offsets, and will only be applied after the member or beneficiary is afforded an opportunity to challenge the debt and offset through the Board's Administrative Appeal Procedure.

D. Interest

The CEO will include appropriate interest on any overpayment or underpayment it seeks to recover in accordance with Section A. However, in accordance with Section A, the CEO may make no further demand for collection of interest, in whole or in part, where the CEO determines, after a careful review of case, it is appropriate under the circumstances of the case.

For purposes of this policy, appropriate interest means LACERA's actuarially assumed rate of return applicable during the period of the overpayment or underpayment, as it may have changed from time to time and as applied to the principal amount due at each point during the relevant period.

E. Delegation of Responsibilities

In all instances the CEO may delegate to staff the activities and work called for and necessarily required to carry out this policy.

F. Conflicts with applicable law.

To the extent anything in this policy conflicts with IRS rules or applicable law, such IRS rules or applicable law will prevail.

Revised: 6/11/2015  
Revised: 6/14/2007  
Adopted: 8/2/1995

April 20, 2022

TO: Each Trustee,  
Board of Retirement

FROM: Kathy Delino *KD*  
Interim Chief Information Technology Officer

FOR: May 5, 2022, Board of Retirement Meeting

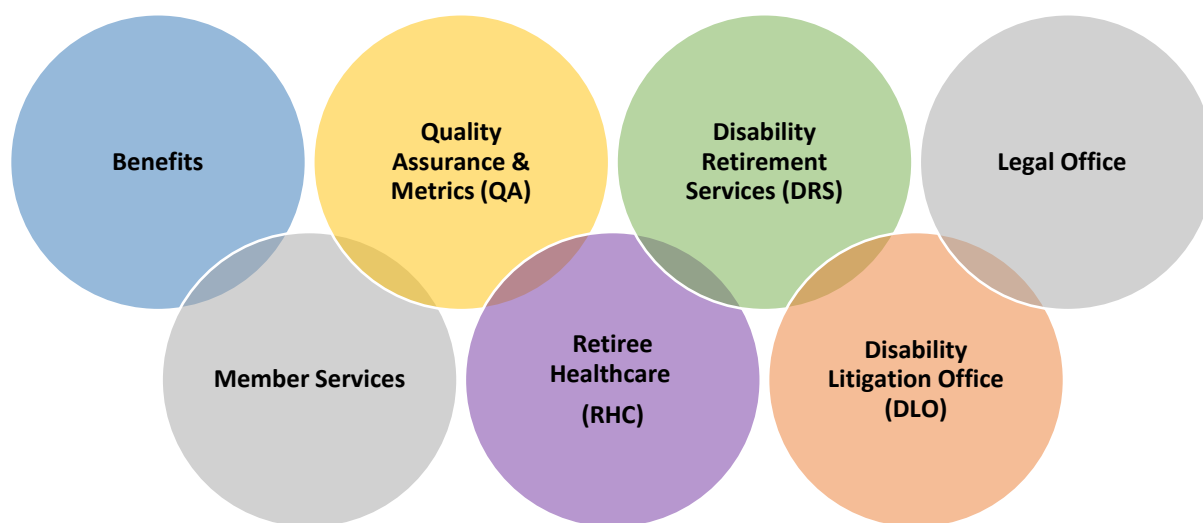
SUBJECT: Vendor Recommendation: Case Management Software Solution

## RECOMMENDATION

It is recommended the Board of Retirement authorize staff to engage and contract with Eccentex Corporation for consulting services to procure, configure, customize, and implement an enterprise Case Management Software Solution for Disability Retirement, Disability Litigation, and First Payment business processes at an implementation cost of \$285,000 plus annual licensing fees of \$259,200.

## EXECUTIVE SUMMARY

Seven of LACERA's business divisions have expressed interest in a case management system shown in **Diagram 1**.



*Diagram 1*

While each division has unique case management needs and processes, they all work together to provide service to our members. Cases often start in one division and circle through several

others before completion. A case management system will help ensure divisions work together to improve compliance and quality and enhance the overall member experience. It will allow Specialists throughout the organization to track, document, and resolve members' multiple, concurrent, life-changing requests, ensuring we provide comprehensive counseling from an interdisciplinary team of benefits professionals while remaining in compliance with regulations and expectations. A case management system, when integrated with our pension administration system (Workspace) is a tool that will enhance LACERA's delivery of benefits and services and will increase operational efficiency.

## REQUEST FOR PROPOSAL (RFP) PROCESS

On October 6, 2021, the Operations Oversight Committee (OOC) authorized staff to issue a Request for Proposal (RFP) to find a vendor that will provide a Case Management Software Solution (CMSS) for LACERA's case management business needs, systems gaps, and wish-list features. The stakeholders from the seven (7) business divisions shown in Diagram 1 collaborated with the Systems Project Management Office and the Executive Sponsors throughout the RFP process.

The timeline for the CMSS RFP is outlined in Diagram 2:

Event Description	Date
RFP Release Date	10/06/2021
Intent to Respond Closing Date	10/15/2021
Vendor Conference	10/29/2021
Written questions from Respondents due date	11/05/2021
LACERA's response to Respondents' questions	11/12/2021
Proposal Due Date	11/19/2021
Finalist Interviews	02/28/2022
Recommendation Notification	03/31/2022
Commencement of Work	Upon contract execution

*Diagram 2*

The steps in the CMSS RFP process are outlined in Diagram 3. Each of these steps will be discussed in the following paragraphs:

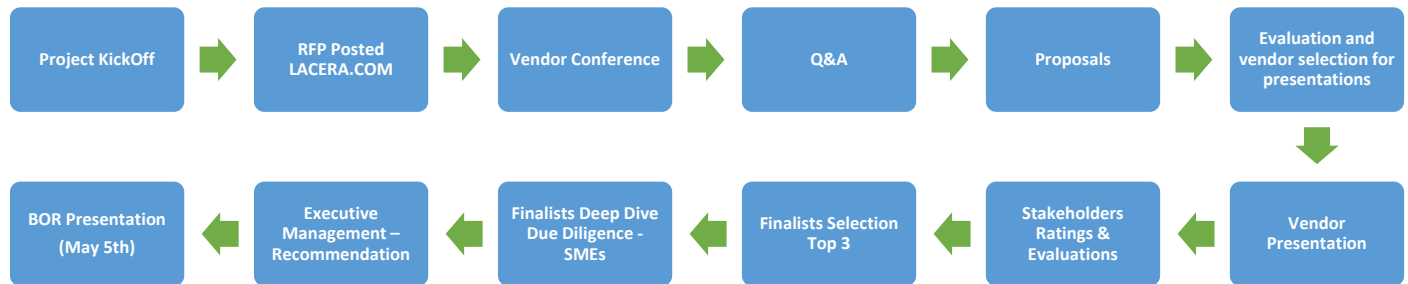


Diagram 3

### Project Kick-off and Posting the RFP to LACERA.COM

A project kick-off meeting was held with the LACERA Case Management project team and the project stakeholders when the Systems Project Management Office took over the management of the Case Management Project. The purpose of the meeting was to orient the stakeholders to the overall project objective for Phase I (and future phases) decide how everyone will work together, and establish common project goals and check-ins. The outcome of the kick-off meeting was a formal project charter that documents the project goals, scope, and stakeholders. The project kick-off was a crucial ingredient in planning this project because the Case Management team will use the project charter as the guide or roadmap throughout the CMSS project lifecycle.

From this project kick-off, **Diagram 4** shows the breakdown of the stakeholders participating in Phase I vendor evaluation and selection, including their roles and responsibilities:

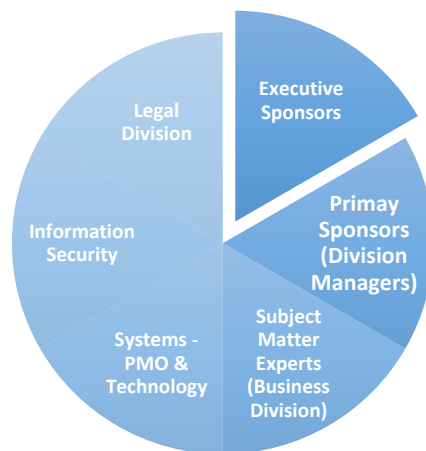


Diagram 4

The Case Management Project Team for the Phase I consists of representatives from the Benefits, Disability Retirement Service, Disability Litigation Office, Member Services, Systems divisions, and the Executive Office, working together to review the submissions. The breakdown of the Case Management Project Team for Phase I is provided below:

**Executive Sponsors**

- Luis Lugo (Deputy Chief Executive Officer)
- JJ Popowich (Assistant Executive Officer)
- Laura Guglielmo (Assistant Executive Officer)

**Primary Sponsors (Division Managers)**

- Allan Cochran (Member Services)
- Bernie Buenaflor (Quality Assurance)
- Carlos Barrios (Benefits)
- Cassandra Smith (Retiree Healthcare)
- Ricki Contreras (Disability Retirement Services)
- Vince Lim (Disability Litigation Office)
- Kathy Delino (Systems)

**Subject Matter Experts (SMEs)**

- Kelly Puga (Member Services)
- David Bayha (Member Services)
- Vanesa Gonzales (Member Services)
- Leilani Ignacio (Retiree Healthcare)
- Tatiana Bayer (Retiree Healthcare)
- Letha Williams-Martin (Retiree Healthcare)
- Keisha Munn (Retiree Healthcare)
- Tamara Caldwell (Disability Retirement Services)
- Hernan Barrientos (Disability Retirement Services)
- Eugenia Der (Disability Litigation Office)
- Allison Barrett (Disability Litigation Office)
- Louis Gittens (Benefits)
- Theodore King (Benefits)
- Dmitriy Khaytovich (Benefits)
- Maggie Luong (Benefits)
- Alfred Tirado (Benefits)
- Sevan Simonian (Benefits)
- Joshua Wong (Benefits)

**Systems PMO**

- Celso Templo (Systems)
- Iveta Brecko (Systems)

### Information Security

- Bob Schlotfelt (Information Security)
- Matt Behrens (Information Security)

### Legal Division

- Steve Rice (Chief Legal Counsel)

After the OOC authorized staff to issue the CMSS RFP on October 6, 2021, it was posted to LACERA.com to publicize the project, describe LACERA's CMMS requirement, and solicit bids from qualified vendors.

### Vendor Conference, Presentations, and Evaluations

On October 29, 2021, LACERA held a virtual vendor conference to provide detailed information to all vendors that had submitted their official intent to respond to the RFP and had signed a Non-Disclosure Agreement. The goal of the Vendor Conference was to ensure that prospective vendors have a clear understanding of scope, business processes, systems used, and requirements for case management software. Fifty-five representatives from seventeen companies attended the conference where LACERA's technical staff and subject matter experts reviewed the three processes that are included in Phase I of the project, as well as LACERA's Enterprise Pension Administration Architecture. The processes included in Phase I are as follows:



*Diagram 5*

After the Vendor Conference, the vendors were allowed to pose questions and request clarifications. The Case Management team responded to a total of 170 questions from the vendors. On November 19, 2021, LACERA received proposals from thirteen (13) vendors. **Diagram 6** identifies the vendors that responded to the RFP:

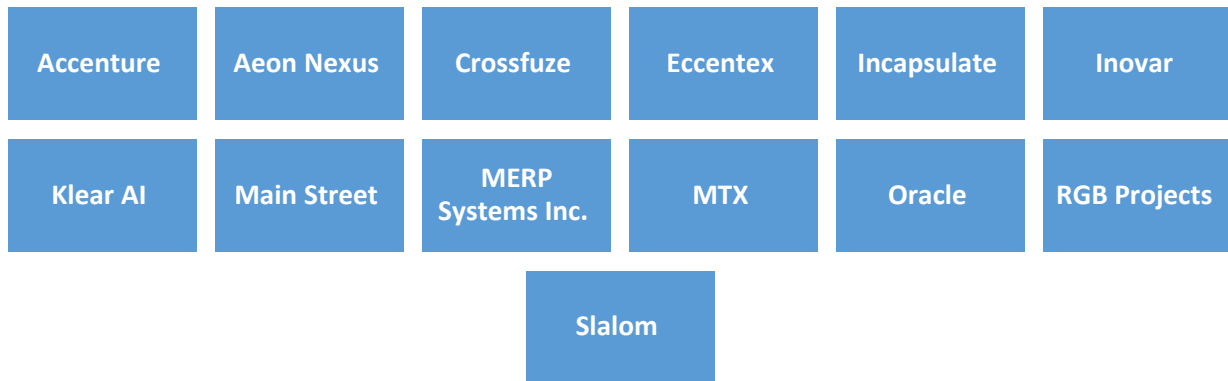


Diagram 6

These vendors in Diagram 6 proposed the following software platforms:

- IBM
- Oracle
- Salesforce
- ServiceNow
- Microsoft Dynamics
- Proprietary Software

The price range for the Phase I Case Management Software Solution proposals ranged from \$145,500 up to \$4,383,000.

A “Quiet Period” was established and practiced throughout the life of the RFP’s Vendor Evaluation and Recommendations process. In collaboration with the Legal Division, the Case Management Project Team, the selected LACERA staff, and participating vendors were made aware of the quiet period stipulation. This process ensured that no participating vendors would communicate with LACERA Case Management stakeholders and staff involving the RFP and Case Management initiative. The goal was to avoid unfairly disclosing material to preserve objectivity and prevent the appearance of the LACERA organization from providing insider information to the participating vendors.

All proposals were reviewed and scored based on organizational background, project team makeup, professional services, implementation plan, implementation and licensing costs, and integration with LACERA’s existing technology. From these scores, ten (10) companies were selected to present a demonstration of their Case Management Software Solution and illustrate how their solution would meet the following requirements:

Provide a low code / no code Case Management Software Solution with the following capabilities:

- Seamless integration with LACERA’s Pension Administration System, Workspace
- Ability to create a case through Workspace and Member Portal
- Virtual case file containing multiple documents, process steps, and milestones



- Pre-defined, user-customizable process steps, milestones, and cases
- Pre-defined, user-customizable user roles (staff, supervisor, manager, auditor, etc.)
- Granular identification of cases and tasks with a unique case id and unique tracking number for each process step within a case
- Granular security to allow case status transparency without revealing medical or disability-related data
- Checklists, Action Items
- Reminders, Alerts tied to roles and positions
- Version control on selected, internally generated documents
- Ability to track the life cycle of a case
- Ability to track progress and define unique service level expectations for each process step, milestone, and case
- Ability to view and reopen completed cases
- Ability to upload documents to a case
- Ability to prioritize cases
- Ability to re-assign cases to staff based on resource capacity planning
- Ability to define parallel and serial tasks/milestones within a case
- Ability to generate, edit, and save letters, forms, and board agendas, including the ability to assemble these letters, forms, and agendas from multiple documents stored in a member document library
- Ability to complete all work tasks through the virtual file
- Ability to schedule, view, and analyze appointments using a calendaring function
- Ability to customize operation staff's dashboard and work queue based on staffs' roles and responsibilities

Provide a Case Management Software Solution that includes the following tools:

- Query tool with user-defined data points
- Metadata search function
- Email integration for notification and correspondence purposes
- Dashboard for monitoring performance at both the individual and management level
- Dashboard for monitoring both elapsed and active processing time for cases and phases within a case
- Dashboard to delegate tasks and monitor progress across membership operations
- Electronic exchange of documents with third parties
- Remote access to Case Management System and individual documents
- Ability to track and audit cases and case components

Each vendor was given an hour to present their Proof of Concept (POC) of their solution for the requirements, pain points, and systems gaps that the LACERA included in the RFP. Also, the vendor showed their application interfaces containing Case Management-related features that the Disability Litigation, Disability Retirement, and Benefits divisions had indicated as their business requirement needs. The sessions included a question-and-answer session with the case management project team. Following each vendor demonstration, the project stakeholders rated the vendor and submitted their ratings and comments to the PMO's Project Manager.

## **Vendor Finalists & Deep Dive Due Diligence**

Following the vendor demonstrations, the Case Management Project Team narrowed the vendor pool from ten (10) to the top three (3) Finalists. These three vendors finalists were Eccentex, MERP Systems Inc., and RGB Projects.

The Case Management Project Team evaluated these three finalist vendors by performing a Deep Dive Due Diligence that involved the following steps:

- **Finalist Presentations:** The finalists were invited to present a two-hour demonstration of their solution. In advance of the presentations, the finalists were given a list of questions that should be answered and a list of features that should be demonstrated during their final presentation.
- **Information Technology Review –** Technical specialists from LACERA's application development, system administration, and network engineering teams evaluated the finalists on their ability to provide a viable solution and integrate with LACERA's existing technology.
- **Information Security Review:** LACERA's Information Security Office performed a vendor risk and security assessment of the finalists.
- **Reference Review:** The Systems Project Management Office (PMO) verified the finalists' references and previous accomplishments while facilitating any follow-ups as needed.

**Diagram 7** demonstrates how the Vendor Evaluation Ratings and Interviews process narrowed down thirteen vendors into three, while the Deep Dive Due Diligence was performed by the LACERA's Case Management Subject Matter Experts to develop the recommended vendor for Executive Management Presentation and Review.

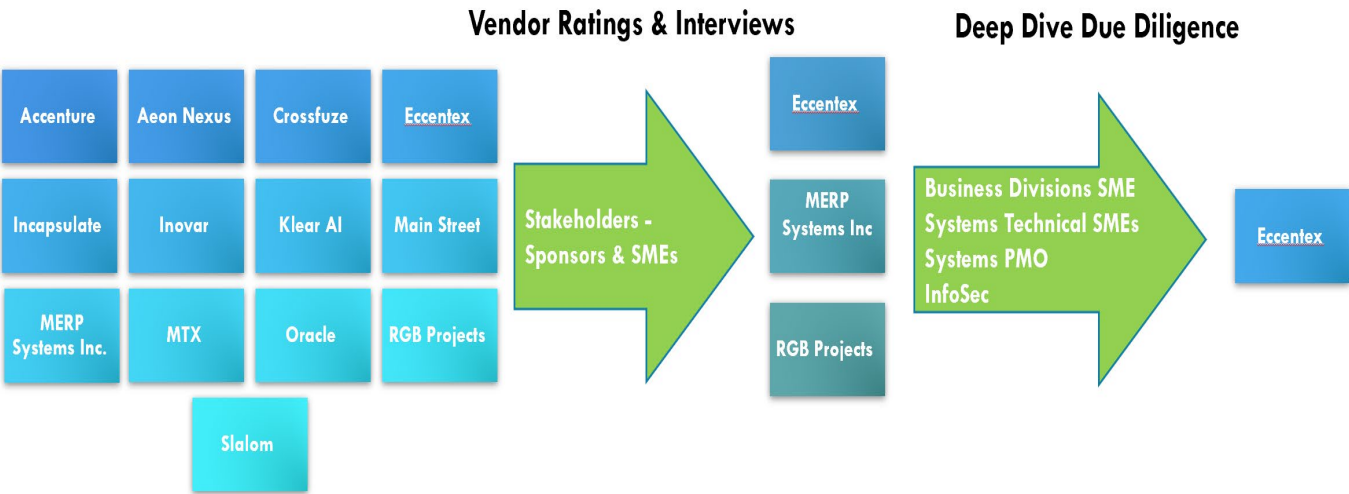


Diagram 7

**Executive Management Presentation and Review**

Systems PMO compiled all the SMEs’ findings and developed them into a summarized report for an executive management presentation. The PMO presented the reports and the final recommendation to the executive leadership to obtain their buy-in and signoffs. **Table 1** below includes the diagrams of the rating summary that Systems PMO presented to Executive Management.

Summary

	1	2	3
Business Divisions Ratings	Eccentex	MERP	RGB
Technology Ratings	RGB	Eccentex	MERP
Implementation and Licensing Costs	MERP	Eccentex	RGB

Table 1

The data indicates that all three vendors are competitive in their implementation and licensing costs, with Eccentex and MERP having a slight edge over RGB. The tabulated rankings indicated that Eccentex Corporation came in as the top-rated vendor overall. Based on these rankings, Systems PMO recommended Eccentex Corporation to the Executive Management as the top-rated solution integrator and the vendor most capable of delivering the Case Management Software Solution for Phase I processes.

## ECCENTEX CORPORATION

Eccentex is a private California corporation, incorporated in 2005, headquartered in Culver City, and has offices in Australia and Europe. Its flagship product, Eccentex AppBase, is an enterprise case management platform used by financial services and the public sector worldwide for mission-critical applications. **Table 2** indicates the organizations that have implemented Eccentex AppBase and the number of their active users.

Public Organizations	Users
New York State Department of Services	2,000
New York State Department of Health	100
Houston Police Department	2,000
Harris County Appraisal District	200
Southern California Gas Company	1,500
New Zealand Ministry of Justice	1,500
Dallas Fort Worth International Airport	75

Table 2

The product demonstrations delivered by Eccentex – the one-hour presentation and two-hour deep-dive presentations - impressed LACERA business divisions by demonstrating a thorough understanding of the concept of case management, including knowledge of legal cases. LACERA contacted three Eccentex customers for references, and they were all delighted with Eccentex's performance. One of the references was a glowing two-page detailed description of a successful case management software solution implementation. Business divisions expressed confidence that Eccentex can configure and implement a case management system that supports LACERA's business processes.

Eccentex's proposed solution includes an end-to-end enterprise case management system that integrates into the existing software applications implemented in LACERA. Eccentex AppBase

is a No-code/Low-code solution that allows business users and technical developers to build applications, user interfaces, and data models without code. More advanced development functions, such as configuring integrations with core systems or building complex logic, are done in a developer-friendly layer that allows low-level access to data, User Interface (UI), Application Programming Interfaces (APIs), and internal processes. The UI provides the ability to display the application data on the computer screen and serves as the primary point of user interaction. At the same time, APIs are functions that allow applications to access data or software intermediaries that enable two applications to communicate with each other.

Eccentex will perform the Phase I implementation for LACERA which includes the following aspects of the Case Management project under a single contract:

- System Configuration and Implementation
- Software Subscription
- Hosting (on Eccentex Cloud powered by Microsoft Azure)
- Training
- Support and Maintenance

In addition, as part of the subscription, enhancements to the AppBase software are provided at no additional charge.

Eccentex proposed a core implementation team for the LACERA project with the following qualifications:

- System Architect – Graduated as an Electronics Engineer from United States Marine Corps, followed by 34 years of experience leading the implementation of highly sophisticated software systems for national financial institutions, global financial companies as well as United States government.
- Integration Manager – Master of Science degree in Mathematics, has over ten years of experience implementing and integrating case management systems for US public companies.
- Project Manager – Master of Computer Science and PMP certified Project Management Professional, has 25 years of experience in information systems analysis, design and development, and 12 years of IT Project Management.

## CONCLUSION AND RECOMMENDATION

LACERA seeks to improve service to our members and increase operational efficiency by implementing a Case Management Software Solution that integrates with our existing Pension Administration System, Workspace. Seven divisions have expressed an interest in such a solution and have identified the requirements of the system.

**IT IS THEREFORE RECOMMENDED THAT THE BOARD** authorize staff to engage with Eccentex Corporation for consulting services to procure, configure, customize, and implement an enterprise Case Management Software Solution for Disability Retirement, Disability Litigation,

RE: Vendor Recommendation: Case Management Software Solution

April 20, 2022

Page 12

and First Payment business processes at an implementation cost of \$285,000 plus annual licensing fees of \$259,200\*.

\*\$100 / user / month subscription price and \$1,000 / month per environment (production, development, quality assurance).

Reviewed and Approved:



---

Laura Guglielmo  
Assistant Executive Officer

#### Attachment

CC: Allan Cochran  
Bernie Buenaflor  
Carlos Barrios  
Cassandra Smith  
Celso Templo  
Iveta Brecko  
Ricki Contreras  
Vincent Lim



# Case Management Software Solution (CMSS)

Request for Proposal

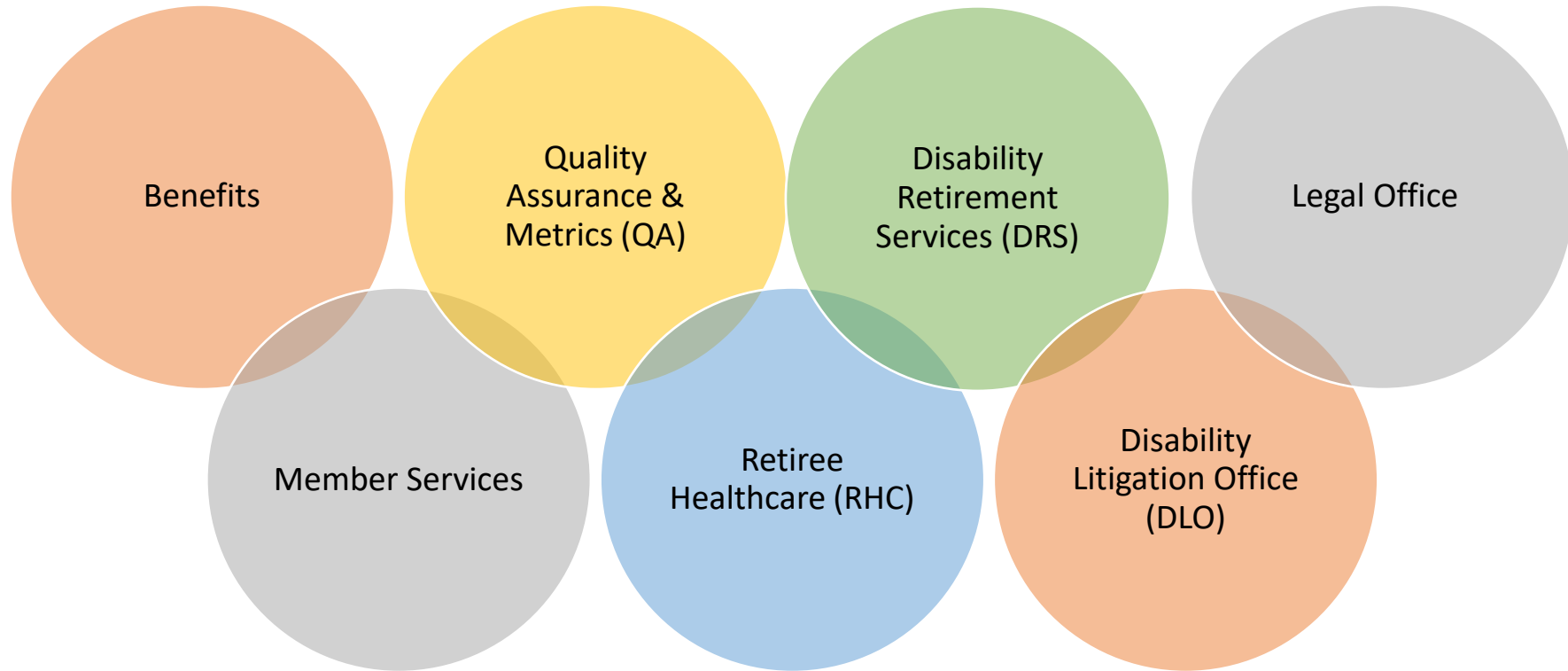
&

Vendor Recommendation

Phase I



# Participating Business Divisions







## CMSS Phase I Processes



First Payment & Retirement Agenda



Disability Retirement



Disability Litigation

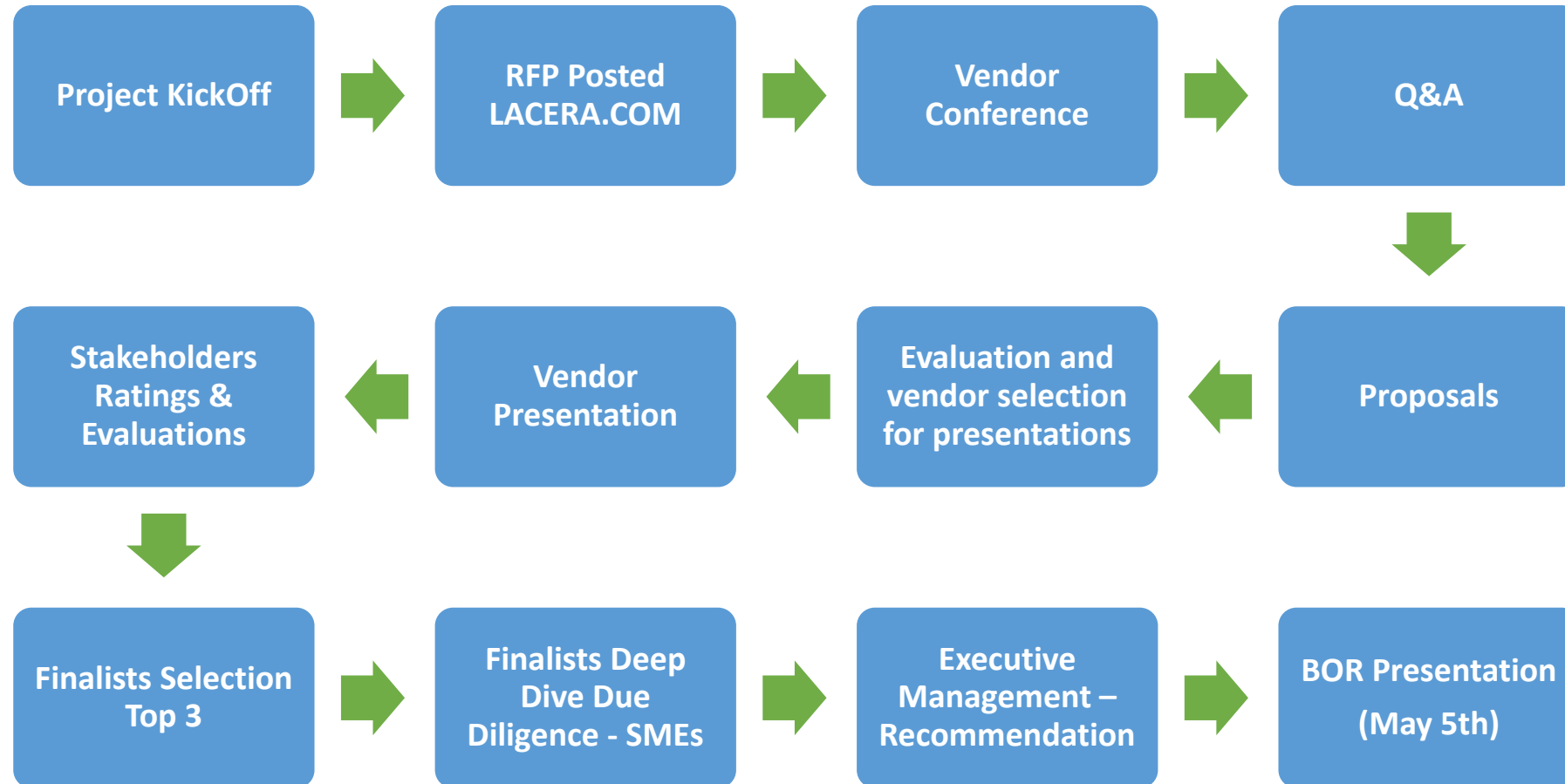


## RFP Project Timeline

Event Description	Date
RFP Release Date	10/06/2021
Intent to Respond Closing Date	10/15/2021
Vendor Conference	10/29/2021
Written questions from Respondents due date	11/05/2021
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Recommendation Notification	03/31/2022
Commencement of Work	Upon contract execution

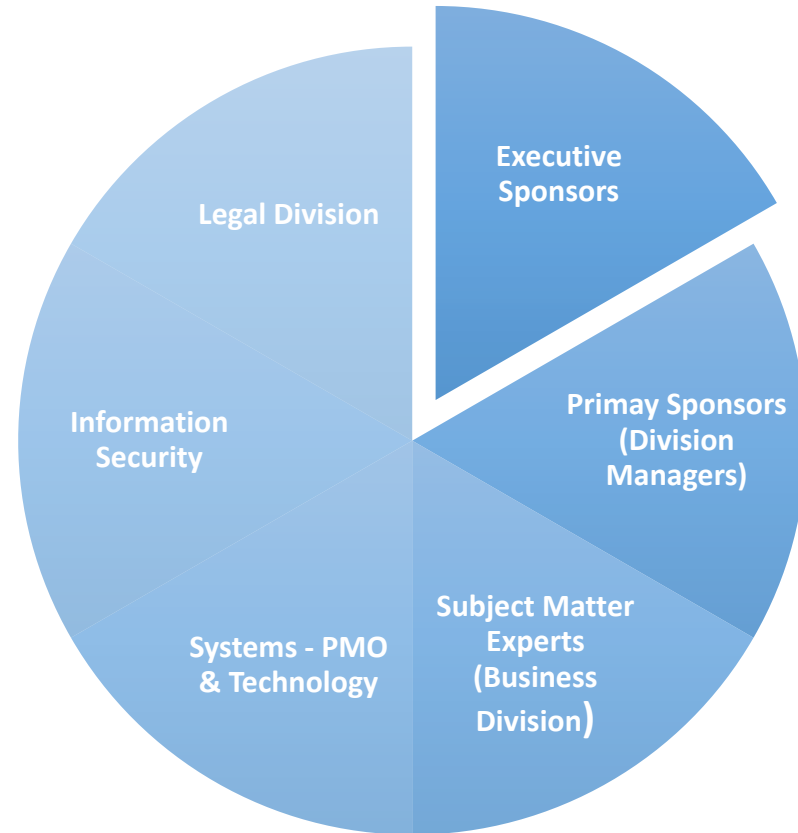


# Case Management RFP Process Flow





# LACERA's Case Management Solution Stakeholders





## CMSS Vendors

**Accenture**

**Aeon Nexus**

**Crossfuze**

**Eccentex**

**Incapsulate**

**Inovar**

**Klear AI**

**Main Street**

**MERP  
Systems Inc.**

**MTX**

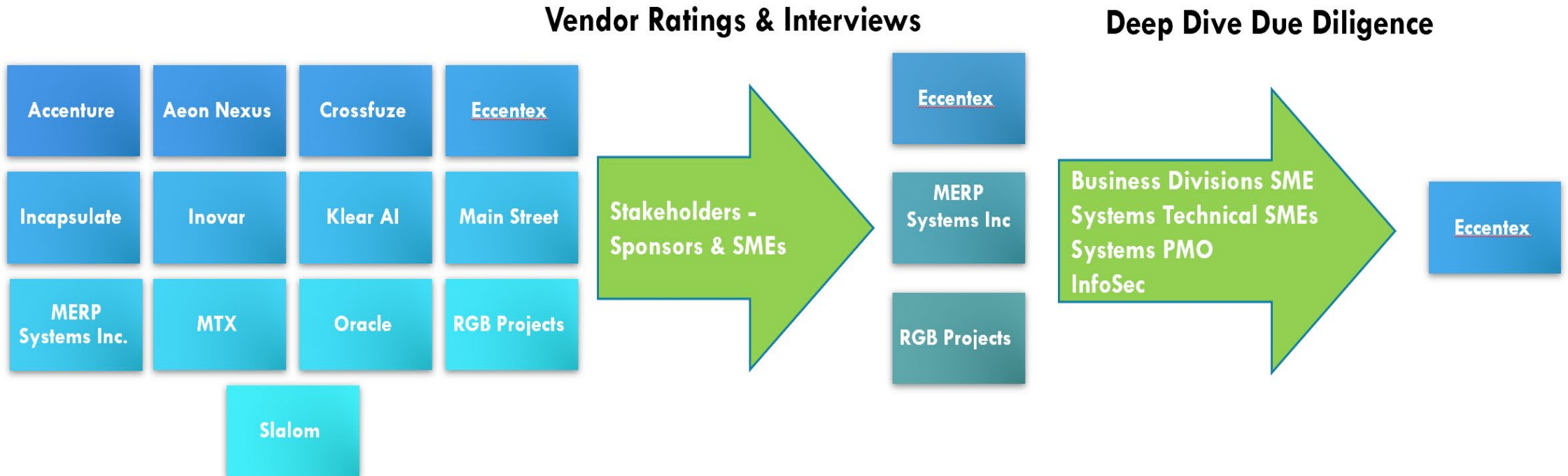
**Oracle**

**RGB  
Projects**

**Slalom**



# Vendor Evaluation & Recommendation





# Deep-Dive Due Diligence Results

## Summary

	1	2	3
Business Divisions Ratings	Eccentex	MERP	RGB
Technology Ratings	RGB	Eccentex	MERP
Implementation and Licensing Costs	MERP	Eccentex	RGB

- ☐ LACERA business divisions have confidence that Eccentex can build and implement case management process for LACERA
- ☐ Technology assessment confirms that Eccentex's Case Management software product can be integrated into LACERA's technical architecture and into Pension Administration System Workspace



# Eccentex Corporation

Eccentex is a private California corporation, incorporated in 2005, headquartered in Culver City with offices in Australia and Europe.

## Eccentex Public Sector Partners

Public Organizations	Users
New York State Department of Services	2,000
New York State Department of Health	100
Houston Police Department	2,000
Harris County Appraisal District	200
Southern California Gas Company	1,500
New Zealand Ministry of Justice	1,500
Dallas Fort Worth International Airport	75





# Eccentex Core Project Implementation Team

Consists of Highly Qualified Professionals Designated for LACERA's CMSS Initiative

- **System Architect** – Graduated as an Electronics Engineer from United States Marine Corps, having 34 years of experience leading the implementation of highly sophisticated software systems for national financial institutions, global financial companies as well as the United States government.
- **Integration Manager** – Master of Science degree in Mathematics, has over 10 years' experience implementing and integrating case management system for US public companies.
- **Project Manager** – Master of Computer Science and PMP certified Project Management Professional, has 25 years' experience in information systems analysis, design and development, as well as 12 years of IT Project Management.



# Eccentex's Case Management Software Product - AppBase

## **Eccentex AppBase - No code/Low-code Solution**

- Simple to Advanced Workflows and Data Models can be configured by Business Analysts
- Visual and drag-and-drop tools are used to design case types and business logic
- Integrations into core systems are done using developer-friendly layer

## **Eccentex Professional Services & Support Cover**

- System Configuration and Implementation
- Software Subscription
- Private Hosting on Eccentex Cloud via Microsoft Azure
- Workshops, Brown Bags, & Training
- Support and Maintenance
- Enhancements to AppBase Provided at No Additional Charge



# Eccentex AppBase UI – View Case

Case Management

Home

Case Inbox

Task Inbox

Notification Inbox

Email Indexing

Search Cases

Appeal

Disability Reimbursement

**First Payment**

Board

CRM Search

Calendar

My Profile

My Dashboards

Report Viewer

External System Clients

Management Items

Email Channel Config

Not Needed

Home

Case Inbox

FP-2021-00099

FP-2021-00088

Appeal

APL-2021-00140

Search Cases

First Payment

FP-2021-00070

First Payment FP-2021-00070

Close Window

Assign Case

Notification

Email

Generate Document

Case ID: FP-2021-00070

Submitted On: 04/11/2022

State Deadline: - 80d 12h 51m

Applicant: Dena Raynor

Status: Triage

Assignment Time: 111 Days 12:51:00

Case Owner: Lacera Admin

Priority: Major (75)

Processing Time: 00:00:00

Created: December 21, 2021 5:22 AM - Unknown

Total Time: 111 Days 12:51:00

Case Details

**Payroll**

Action Items

Documents

Emails

Case Link

Tasks (7)

History

Workspace Info

Phase 1 - Trial Reimbursement

Expected First Payment: 1200.65

Actual First Payment: 1200.65

Difference: 0

Amounts within +/- \$2: Yes

Due Dates: 04/14/22

Test Run Dates: 04/12/22

Discrepancy Reason (for \$2): None

Phase 2 - Final Calc Run

Expected Monthly Scheduled Base Allowance: 1476.47

Actual Monthly Scheduled Base Allowance: 1476.47

Difference: 0

Amounts within +/- \$2: Yes

Due Dates: 05/18/22

Final Calc Run Dates: 06/10/22

Scheduled Payment Status: None

Phase 3 - Month End Disbursement (Payments Tab)

Overall Status: LDR

Actual First Payment: 1200.65

Difference:

Scheduled Payment Status:

Due Dates: 04/12/22

Month End Run Dates:

Approval Needed: [X]

Account On Hold: [X]

Notes

Parties

Work Activity (1 hour)

Export

Search

Note

Assessment

By Lacera Admin - 04/08/2022 9:38 AM

I need to meet with the doctor to understand this case

Board

By Lacera Admin - 04/08/2022 9:37 AM

Need to create a summary for the Board

Assessment

By Lacera Admin - 04/08/2022 9:31 AM

Please make sure Tom knows the time line.

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Displaying 1 - 3 of 3

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Version: 7.0 R1 Update 1(7.0.0.24020) | OCM 6.0.3.0 | Privacy | Security | Terms of Use

# Eccentex AppBase UI – Tasks and Sub-Cases



Claim Request Case #1028064427 - Mollie Mocker

Assign Case

Close Case

Export Case

Case ID: CASE-2020-467

Milestone: In Review

Priority: Critical (95)

Type: Initial Claim

Case Owner: Maksim Gill

Case SLA: +02d 23h 54m

Resolution:

Refund Articles

Refund Details

Tasks (11)

Documents (0)

Case Emails (0)

Related (0)

Discussions (0)

History

Interactions

+ Add Task(s)

☒ Closed ☒ In Process ☒ Auto Assigning ☒ Not Started ☒ Started

Name	Task Type	Deadline SLA	State	Resolution	Task Owner
<div>All Tasks</div>					
<div>AutoValidate Refund Request</div>	Validate Request		<span>Closed</span>	<span>Refund Approved</span>	<span>Nobody</span>
<div>2nd Eyes Review Calculator</div>	Review Person Calculator		<span>Closed</span>	<span>Need Review by Man...</span>	<span>Nobody</span>
<div>Any Team Leader Review</div>	Review		<span>Not Started</span>		<span>Nobody</span>
<div>Different Team Leader Review</div>	Review		<span>Not Started</span>		<span>Nobody</span>
<div>Manager Review</div>	Review		<span>Closed</span>	<span>Approved</span>	<span>Managers</span>
<div>3rd Eyes Review Calculator</div>	Review Person Calculator		<span>Closed</span>	<span>Need Review by Man...</span>	<span>Nobody</span>
<div>Manager Review</div>	Review	+00d 03h 53m	<span>In Process</span>		<span>Managers</span>
<div>Denied Review</div>	GENERIC AUTOMATIC T...		<span>Not Started</span>		<span>Nobody</span>
<div>Refund Money Out Exception</div>	Money Out		<span>Not Started</span>		<span>Nobody</span>
<div>Submit Refund Payment</div>	Submit Payment		<span>Not Started</span>		<span>Nobody</span>
<div>Refund Money Out Complete</div>	Money Out		<span>Not Started</span>		<span>Nobody</span>

People (4)

Notes (0)

KB

+ Add to Case

MG

Maksim Gill

Case Creator

Case Worker

M

Managers

Managers Work Basket

Team

MM

Mollie Mocker

Claimant

External Party


TL

Team Leaders


Team Leaders Work Basket

Team

Disconnect
Search CRM
Account Type: Group Sponsored - Individual



**Bella Huffine**  
Member ID: 0017399545  
Email: Bella.Huffine@rhyta.com  
Phone: (859) 367-2013



**Partner Insurance**  
Health Plan: Humana Medicare Advantage H  
Region: Florida  
Medical ID: 65843214

Document - Claim Document - Fax - March 26, 2022.pdf

Page 1

Page 2

Page 3

Member Details
Benefits (4)
OTC Transactions
Claims (4)
Cases (2)
Emails (12)
Documents (2/12)

+

Faxes (2)

Email Attachments (4)


Identifications (2)

Signed Policies (2)

Initial Documents (1)

Upload
Scan

	Name
<input checked="" type="checkbox"/>	Claim Document - Fax - March 26, 2022.pdf
<input checked="" type="checkbox"/>	DOC_PA.pdf



**nations hearing**

Legal Business Name: Manhattan Avenue Hearing Aids  
Dispenser/Act No.: [REDACTED]  
NHS: [REDACTED]

**HEARING AID PURCHASE AGREEMENT (New York)**

Date: [REDACTED] Buyer Name: [REDACTED] PHONE: [REDACTED]  
Street Address: [REDACTED] City: MANHATTAN State: NY Zip: 10002

I ("Buyer") hereby purchase from NationsHearing ("Seller"), the hearing aid(s) described below, and honor the terms and conditions of the sale herein specified. The hearing aid(s) are new, and warranted against defects in material and workmanship for a period of three (3) years from the fitting date. This warranty does not affect the protections and remedies you have under other laws.

The purchaser has been verbally advised at the outset of his or her relationship with the hearing instrument apprentice, fitting or dispenser that any examination(s) or representation(s) made by a licensed hearing instrument apprentice, fitter or dispenser in connection with the fitting and selling of this hearing instrument(s) is not an examination, diagnosis or prescription by a person licensed to practice medicine in this State and, therefore, must not be regarding as medical opinion or advice.

\*\*\* Hearing aids will not restore normal hearing nor will it prevent further hearing loss.

	Manufacturer	Model	Circuit	Color	Serial No	Battery	Receiver	Price
Left	UNITROP	T Maxi Now5c		Amber-Pi		10	ALA	\$500
Right	UNITROP	T Maxi Now5c		Amber-Pi		10		\$500
Purchase Price \$								\$ 1000
Professional Services - Testing, Fitting, and Follow-Up								\$ Included
First set of earmold(s) (at the time of the hearing aid purchase only)								\$ Included

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Print



# Eccentex AppBase UI – Service Level Management



**eccentex** LACERA

Home Case Inbox FP-2021-00099 FP-2021-00088 Appeal APL-2021-00140 Search Cases First Payment FP-2021-00070 Disability Retirement CRM Search

**Disability Retirements** (33 items) Close Window Export Refresh

	Case #	Applicant	Date Received	Case Owner	SLA Deadline	Status	Case Priority	Last Note
⚙	DR-2022-00167	Rea Vinick	01/05/2022	👤 Nobody		✅ Closed	⬆ Major (75)	
⚙	DR-2022-00161	Rea Vinick	01/04/2022	👤 Nobody	— 06d 03h 31m	🔄 Data Entry	⬆ Major (75)	
⚙	DR-2022-00159	Rea Vinick	01/04/2022	👤 Alina Bogdanova		✅ Closed	⬆ Major (75)	
⚙	DR-2022-00158	Astrix Larciere	01/04/2022	👤 Nobody	— 06d 03h 42m	🔄 Data Entry	⬆ Major (75)	
⚙	DR-2021-00150	Kelsey Winridge	12/27/2021	👤 Christen Fieldhouse		✅ Closed	⬆ Major (75)	
⚙	DR-2021-00138	Leontine Luckham	12/26/2021	👤 Nobody		✅ Closed	⬆ Major (75)	
⚙	DR-2021-00136	Magdalene Totaro	12/26/2021	👤 Kaitlynn Kenion	— 15d 17h 52m	🔄 Data Entry	⬆ Major (75)	
⚙	DR-2021-00126	Nealson Knox	03/04/2022	👤 Christen Fieldhouse		🔄 QA	⬆ Major (75)	
⚙	DR-2021-00125	Martynne Fabbri	03/29/2022	👤 Kaitlynn Kenion		🔄 Board Review	⬆ Major (75)	
⚙	DR-2021-00124	Ernst Ourtic	03/24/2022	👤 Christen Fieldhouse		✅ Closed	⬆ Major (75)	
⚙	DR-2021-00123	Berne Doncaster	03/30/2022	👤 Kaitlynn Kenion		🔄 Information Gathering	⬆ Major (75)	
⚙	DR-2021-00122	Julianne Errington	03/20/2022	👤 Kaitlynn Kenion		🔄 Triage	⬆ Major (75)	
⚙	DR-2021-00121	Lexy Tarff	03/09/2022	👤 Lacera Admin	— 20d 23h 33m	🔄 Data Entry	⬆ Major (75)	
⚙	DR-2021-00120	Benita Hafford	02/10/2022	👤 Emlynn Townsend		🔄 QA	⬆ Major (75)	
⚙	DR-2021-00119	Molly Crang	02/27/2022	👤 Kaitlynn Kenion		✅ Closed	⬆ Major (75)	
⚙	DR-2021-00118	Janet Millan	12/10/2021	👤 Alina Bogdanova		🔄 Board Preparation	⬆ Major (75)	
⚙	DR-2021-00117	Igor Bouzan	02/23/2022	👤 Alina Bogdanova		🔄 Information Gathering	⬆ Major (75)	

Page 1 of 1 Displaying 1 - 33 of 33

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# Eccentex AppBase UI – Audit Trail

Case ID: **CASE-2020-521**

Milestone: ✓ Closed

Priority: ▲ Normal (51)

Total: **\$250.00**

Case Owner: Morgan Smithson (Agent)

Case SLA:

Resolution: **Completed**

Refund Articles

Refund Details

Tasks (8)

Documents (5)

Case Emails (6)

Related (2)

Discussions (5)

History

Interactions

Grid View

Timeline View

<b>CASE-2020-465</b> Created on 11 Apr 2020 7:25 AM	<div><div>Started</div><div>In Process</div><div>In Review</div><div>Closed</div><div>Completed</div></div>
<b>Sub-tasks (8)</b>	
AutoValidate Refund Request Created on 11 Apr 2020 7:26 AM	<div><div>Started</div><div>In Process</div><div>Closed</div><div>Refund Approved</div></div>
2nd Eyes Review Created on 11 Apr 2020 7:26 AM	<div><div>Not Started</div><div>In Process</div><div>Closed</div><div>Refund Approved</div></div>
3rd Eyes Review Created on 11 Apr 2020 7:26 AM	<div><div>Not Started</div><div>Started</div><div>In Process</div><div>Closed</div><div>Refund Approved</div></div>
Denied Review Created on 13 Apr 2020 16:25	<div><div>Not Started</div><div>Closed</div></div>
Validate Review Results Created on 11 Apr 2020 7:26 AM	<div><div>Not Started</div><div>In Process</div><div>Closed</div><div>Approved</div></div>
Refund Money Out Exception Created on 11 Apr 2020 7:26 AM	<div><div>Not Started</div><div>Closed</div></div>
Submit Refund Payment Created on 11 Apr 2020 7:26 AM	<div><div>Not Started</div><div>Closed</div><div>Refund Payment Confirmed</div></div>
Refund Money Out Complete Created on 11 Apr 2020 7:26 AM	<div><div>Not Started</div><div>Closed</div><div>Completed</div></div>
	<div>Tue 14Wed 15Thu 16Fri 17Sat 18Sun 19Mon 20</div> <div>April 2020</div>

People (4)

Notes (4)

KIB

Emma Lindblad (CW) - 3 days ago  
[edit](#) [delete](#)  
=CALL LOG AG4134998-13-APR-2020 @12:34PM=  
- Customer was called back and notified of pick-up policy in his region. Email request ignored due to policy.  
- Customer will call back to arrange pickup

Morgan Smithson (Agent) - 4 days ago  
[edit](#) [delete](#)  
=CALL LOG AG134124-12-APR-2020 @7:32 AM=  
- Customer identified with IVR  
- Customer wants refund for order #1028064427  
- Customer requested pick-up of purchases. Will follow-up on this matter with him.  
- Created task to follow-up with customer in 1 day with email

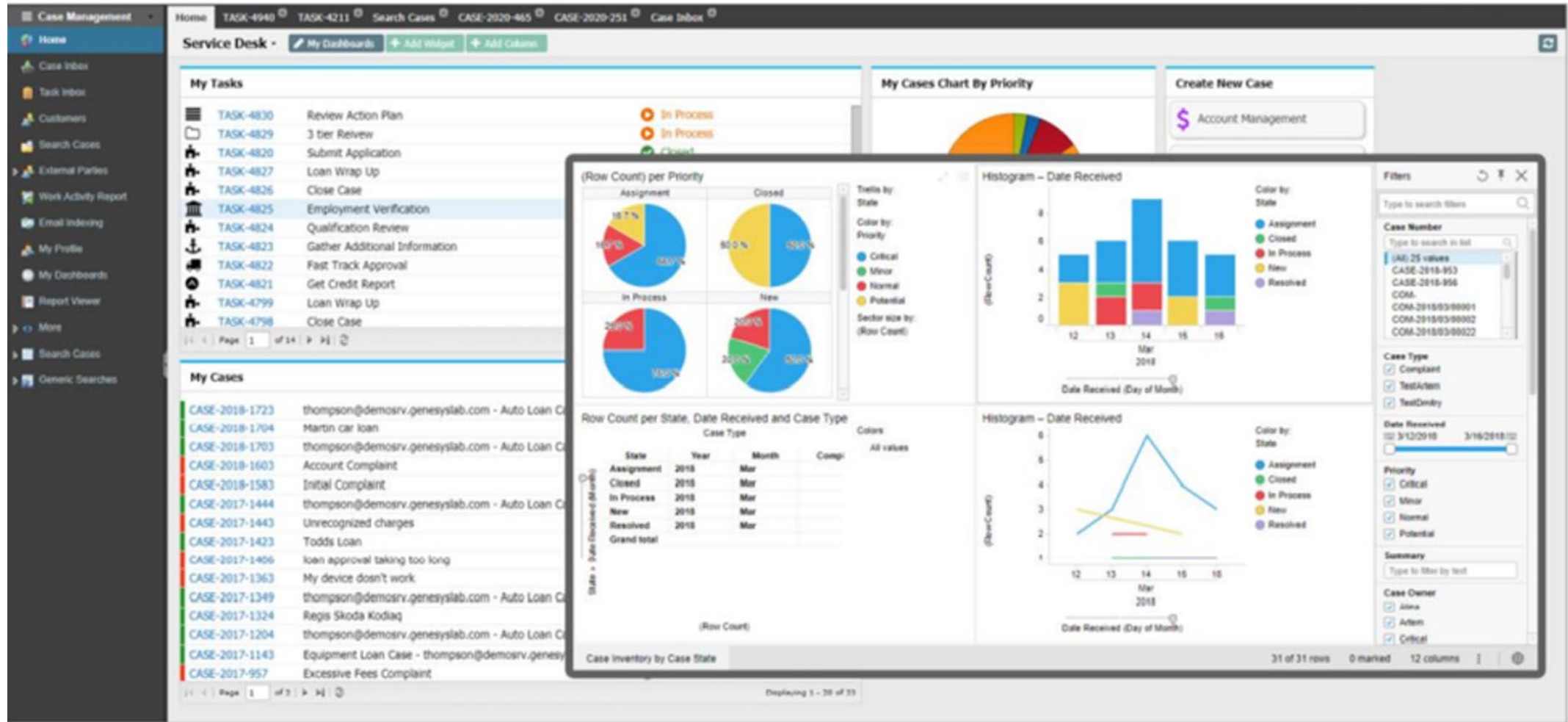
Morgan Smithson (Agent) - 4 days ago  
[edit](#) [delete](#)  
Customer indicated this has happened before and that he is rethinking purchasing from AOME again. I provided him a 20% discount code as well for the next purchase. Coupon Code 2323HD to expire on April 20, 2021.

Morgan Smithson (Agent) - 4 days ago  
[edit](#) [delete](#)  
Customer is complaining about the chair is missing a leg. I suspect he broke the leg because he changed his story when he was called.

Comment here...

+ Post

# Eccentex AppBase UI – Business Intelligence





# THANK YOU!

## Systems Division



[ctemplo@lacera.com](mailto:ctemplo@lacera.com)  
[ibrecko@lacera.com](mailto:ibrecko@lacera.com)



626-564-6000



## QUESTIONS?

April 21, 2022

TO: Each Trustee  
Board of Retirement

FROM: Santos H. Kreimann *SHK*  
Chief Executive Officer

FOR: May 5, 2022 Board of Retirement Meeting

SUBJECT: **SACRS OFFICER ELECTIONS**

The State Association of County Retirement Systems (SACRS) will be holding a business meeting during their semi-annual conference on May 13, 2022. SACRS will be asking LACERA's voting delegate to provide direction on the SACRS officer elections.

#### **SACRS Officer Elections**

The SACRS Nominating Committee recommends the following SACRS final officer slate for the 2022-2023 year:

<b>Position</b>	<b>Nominee</b>	<b>County</b>
President	Vivian Gray	Los Angeles CERA
Vice President	David MacDonald	Contra Costa CERA
Treasurer	Jordan Kaufman	Kern CERA
Secretary	Adele Tagaloa	Orange CERS
Regular Member	David Gilmore	San Diego CERA
Regular Member	Vere Williams	San Bernardino CERA

**IT IS THEREFORE RECOMMENDED** the Board of Retirement provide the SACRS voting delegate direction on voting for the SACRS slate of officers.

SHK:bn  
SACRS Officer Slate 2022.doc

Attachment



March 15, 2022

To: SACRS Trustees & SACRS Administrators/CEO's  
From: Dan McAllister, SACRS Immediate Past President, Nominating Committee Chair  
SACRS Nominating Committee  
Re: SACRS Board of Director Elections 2022-2023 Elections – Final Ballot

---

SACRS BOD 2022-2023 election process began January 2022. Please provide the final ballot and voting instructions to your Board of Trustees and Voting Delegates.

DEADLINE	DESCRIPTION
March 1, 2022	Any regular member may submit nominations for the election of a Director to the Nominating Committee, provided the Nominating Committee receives those nominations no later than noon on March 1 of each calendar year regardless of whether March 1 is a Business Day. Each candidate may run for only one office. Write-in candidates for the final ballot, and nominations from the floor on the day of the election, shall not be accepted.
March 25, 2022	The Nominating Committee will report a final ballot to each regular member County Retirement System prior to March 25
May 13, 2022	Nomination Committee to conduct elections during the SACRS Business Meeting at the Spring Conference, May 10-13, 2022
May 13, 2022	Board of Directors take office for 1 year (until Spring 2023 Elections)

**Per SACRS Bylaws, Article VIII, Section 1. Board of Director and Section 2. Elections of Directors:**

**Section 1. Board of Directors.** *The Board shall consist of the officers of SACRS as described in Article VI, Section 1, the immediate Past President, and two (2) regular members*

**A. Immediate Past President.** *The immediate Past President, while he or she is a regular member of SACRS, shall also be a member of the Board. In the event the immediate Past President is unable to serve on the Board, the most recent Past President who qualifies shall serve as a member of the Board.*

**B. Two (2) Regular Members.** *Two (2) regular members shall also be members of the Board with full voting rights.*

**Section 2. Elections of Directors.** *Any regular member may submit nominations for the election of a Director to the Nominating Committee, provided the Nominating Committee receives those nominations no later than noon on March 1 of each calendar year regardless of whether March 1 is a Business Day. Each candidate may run for only one office. Write-in candidates for the final ballot, and nominations from the floor on the day of the election, shall not be accepted.*

*The Nominating Committee will report its suggested slate, along with a list of the names of all members who had been nominated, to each regular member County Retirement System prior to March 25. The Administrator of each regular member County Retirement System shall be responsible for communicating the Nominating Committee's suggested slate to each trustee and placing the election of SACRS Directors on his or her board agenda. The Administrator shall acknowledge the completion of these responsibilities with the Nominating Committee.*



*Director elections shall take place during the first regular meeting of each calendar year. The election shall be conducted by an open roll call vote, and shall conform to Article V, Sections 6 and 7 of these Bylaws.*

*Newly elected Directors shall assume their duties at the conclusion of the meeting at which they are elected, with the exception of the office of Treasurer. The incumbent Treasurer shall co-serve with the newly elected Treasurer through the completion of the current fiscal year.*

The elections will be held at the SACRS Spring Conference on Friday, May 13, 2022 during the scheduled business meeting at the Omni Rancho Las Palmas Hotel and Resort in Rancho Mirage, CA.

**SACRS Nominating Committee Recommended Slate:**

- President – Vivian Gray, Los Angeles CERA
- Vice President – David MacDonald, Contra Costa CERA
- Treasurer – Jordan Kaufman, Kern CERA
- Secretary – Adele Tagaloa, Orange CERS
- Regular Member – Vere Williams, San Bernardino CERA
- Regular Member – David Gilmore, San Diego CERA

No other letters of intent or submissions were received.

Please prepare your voting delegate to have the ability to vote by the recommended ballot and by each position separately.

If you have any questions, please contact me at Dan McAllister, [Dan.McAllister@sdcounty.ca.gov](mailto:Dan.McAllister@sdcounty.ca.gov) or (619) 531-5231.

Thank you for your prompt attention to this timely matter.

Sincerely,

*Dan McAllister*

Dan McAllister, San Diego CERA Trustee  
SACRS Nominating Committee Chair

CC: SACRS Board of Directors  
SACRS Nominating Committee Members  
Sulema H. Peterson, SACRS Executive Director

Attached: Candidate submissions

## SACRS Submission for President

February 23, 2022

VIA EMAIL

SACRS Nominating Committee  
Mr. Dan McAllister, Chair

Dear Mr. McAllister and Members of the Nominating Committee:

I would like to express my desire to be considered for SACRS' President for 2022/2023.

I have been humbly honored to serve as SACRS President for two years. 2020 was a very difficult year for everyone. SACRS was no exception. 2021 was difficult also as we had to get back on our feet, stand tall and continue with our mission in spite of a "new normal" we faced.

My focus in 2021 for SACRS expanded two original concepts of "Relevance and Sustainability" to "Recovery, Relevance and Sustainability". Within these three concepts, SACRS was able to begin 'recovery' from the pandemic while adhering to health restrictions; remain 'relevant' to the pension community through innovative approaches to education and 'sustainable' by building on technological advances to return to live conference for our members yet also continue to provide quality education through alternative mediums.

Most notably SACRS accomplishments for 2020 and 2021 include, but are not limited to the following:

- Continued communications with 37 Act systems and administrators through SACRS' website and SACRS Magazine
- Presentation of SACRS 2020 conferences in a virtual format without sacrificing quality of speakers, presentations or interactions among trustees, affiliates and staff and "in-person" return in 2021 and Spring 2022.
- Presenting the SACRS Berkeley Education Program in a virtual format with on-demand replays of the classes presented
- Maintaining an active role in the legislative process as it affected county retirement systems

In spite of the pandemic and post pandemic challenges, I am very proud of the work SACRS' Board has accomplished under my leadership. We continue to be productive while recovering and remaining relevant and sustainable for the SACRS membership.

I would be honored to serve another term as President of SACRS. Thank you in advance for your consideration of my candidacy for re-election.

Sincerely,

*Vivian Gray*



**SACRS Nomination Submission Form**  
**SACRS Board of Directors Elections 2022-2023**

All interested candidates must complete this form and submit along with a letter of intent. **Both the form and the letter of intent must be submitted no later than March 1, 2022.** Please submit to the Nominating Committee Chair at [Dan.McAllister@sdcounty.ca.gov](mailto:Dan.McAllister@sdcounty.ca.gov) AND to SACRS at [sulema@sacrs.org](mailto:sulema@sacrs.org). If you have any questions, please feel free to contact Sulema Peterson at SACRS at (916) 701-5158.

<b>Name of Candidate</b>	Name: Vivian H. Gray
<b>Candidate Contact Information</b> (Please include – Phone Number, Email Address and Mailing Address)	Mailing Address: 300 N. Lake Ave., Ste. 820, Pasadena CA 91101 Email Address: viviangray@aol.com, vgray@lacera.com Phone: 213.440.0142
<b>Name of Retirement System Candidate Currently Serves On</b>	System Name: Los Angeles County Employees Retirement Assoc. (LACERA)
<b>List Your Current Position on Retirement Board (Chair, Alternate, Retiree, General Elected, Etc)</b>	<input type="radio"/> Chair <input type="radio"/> Alternate <input checked="" type="radio"/> General Elected <input type="radio"/> Retiree <input type="radio"/> Other _____
<b>Applying for SACRS Board of Directors Position (select only one)</b>	<input checked="" type="radio"/> President <input type="radio"/> Vice President <input type="radio"/> Treasurer <input type="radio"/> Secretary <input type="radio"/> Regular Member
<b>Brief Bio</b>	<p>2021 President, SACRS 2019 Vice Chair, SACRS President 2017 Chair, SACRS Bylaws Committee Elected general member trustee since 2012 38 years of service to Los Angeles County 10 years in Law Enforcement 28 years as an attorney for Los Angeles County 6 years in private law practice Education/Pension Trustee Certificates</p> <p>- Bachelors of Arts: UCLA - JD: UWLA - New York Law School -Public Pension Trustee Fiduciary Program - Stanford Law School (CALAPRS) -Principles of Pension Management - Harvard Law School Program - Trustee Work Life - UC Berkeley (SACRS) - Modern Investment Theory &amp; Practice for Retirement Systems - IFEBP -Trustee Master's Program - NCPERS Public Pension Funding Forum - National Assoc. of Corporate Directors (NACD) Board Leadership Fellow</p>

## SACRS Submission for Vice President



David J MacDonald, MD

255 Ramsgate Way  
Vallejo, CA 94591  
dmacdcccera@gmail.com  
510-409-4458 (mobile)

February 11, 2022

SACRS Nominating Committee  
Mr. Dan McAllister, Chair

Dear Mr. McAllister,

I would like to express my interest in running as Vice President for the SACRS' Board of Directors for the 2021/2022 year.

I was first elected to the SACRS board in 2020. I am also an elected trustee of the CCCERA Retirement Board (since 2016) and currently serve as CCCERA Board Vice Chair. I appreciate the level of responsibility entrusted to me in looking after our members' retirement plans. I understand the incredible value of a defined benefit plan for my coworkers and our retirees.

I have a long history of dedicated service to my coworkers and union members and I carry this spirit into my role as an elected trustee. My work with SACRS has meant for further education and inspiration from the SACRS organization via its conferences and programs. SACRS has enhanced my abilities to serve as an effective CCCERA trustee.

I desire to continue my service on the SACRS board. Doing so allows me to further promote, protect and build upon pension programs under CERL for county public employees statewide.

Thank you for your time and consideration.

Sincerely and Respectfully,

*David J MacDonald, MD*

David J MacDonald, MD



## SACRS Nomination Submission Form SACRS Board of Directors Elections 2021-2022

All interested candidates must complete this form and submit along with a letter of intent. **Both the form and the letter of intent must be submitted no later than March 1, 2022.** Please submit to the Nominating Committee Chair at [Dan.McAllister@sdcounty.ca.gov](mailto:Dan.McAllister@sdcounty.ca.gov) AND to SACRS at [sulema@sacrs.org](mailto:sulema@sacrs.org). If you have any questions, please feel free to contact Sulema Peterson at SACRS at (916) 701-5158.

<b>Name of Candidate</b>	Name: David J. MacDonald, MD
<b>Candidate Contact Information</b> (Please include – Phone Number, Email Address and Mailing Address)	Mailing Address: 255 Ramsgate Way, Vallejo, CA 94591  Email Address: <a href="mailto:dmacdcccera@gmail.com">dmacdcccera@gmail.com</a>  Phone: 510-409-4458
<b>Name of Retirement System Candidate Currently Serves On</b>	System Name: CCCERA
<b>List Your Current Position on Retirement Board (Chair, Alternate, Retiree, General Elected, Etc)</b>	<input type="radio"/> Chair <input type="radio"/> Alternate <input checked="" type="radio"/> <b>General Elected X</b> <input type="radio"/> Retiree <input type="radio"/> Other _____
<b>Applying for SACRS Board of Directors Position (select only one)</b>	<input type="radio"/> President <input checked="" type="radio"/> <b>Vice President X</b> <input type="radio"/> Treasurer <input type="radio"/> Secretary <input type="radio"/> Regular Member
<b>Brief Bio</b>	* SACRS Board of Directors, Member – 2020-2021 & 2021-2022 * Vice Chair, CCCERA Board of Retirement * Elected general member trustee of CCCERA since 2016 * President, Physicians' and Dentists' of Contra Costa (PDOCC), since 2010 (Union for health care providers working at Contra Costa County). * 28 years serving on the PDOCC Executive Board, including many years as Vice President and President. * 31 years of service to Contra Costa County as a physician working in the Department of Health Services. * Education/Pension Trustee Certificates: - Bachelors of Science, Biology – UC Irvine - Doctor of Medicine – UC Irvine - UC Berkeley (SACRS) – Modern Investment Theory & Practice for Retirement Systems - Wharton Business School – Portfolio Concepts & Management - IFEBP – CAPPP program - CALAPRS Trustee Education – Principles of Pension Governance

## SACRS Submission for Treasurer



**Jordan Kaufman**  
Treasurer and Tax Collector  
**Chase Nunneley**  
Assistant Treasurer and Tax Collector

February 9, 2022

Dan McAllister, Nominating Committee Chairman  
State Association of County Retirement Systems

Re: Letter of interest for SACRS position of Treasurer of the Board of Directors

Dear Mr. McAllister and members of the Nominating Committee,

Thank you for the opportunity to express my interest in the position of Treasurer of the SACRS Board of Directors. I believe that I have the knowledge, experience and motivation to add value to the Board. I am in my second term as the elected Kern County Treasurer-Tax Collector, and I am a 17 year member of the Kern County Employees Retirement Association (KCERA) as a general elected, alternate, and statutory trustee. I have a deep background in public fund investment and retirement plan administration and I am or have been the Treasurer of many organizations and associations.

As the elected Treasurer-Tax Collector, I manage the County's \$4.5 billion treasury pool, provide banking services to over 200 different county agencies and districts, and collect over \$1.3 billion in local property taxes. I am also the Plan Administrator for the County's 457(b) deferred compensation plan with over \$720 million in participant assets.

I am or have been the Treasurer of the following entities: County of Kern; California Association of County Treasurer's and Tax Collectors (CACTTC); United Way of Kern County; Boy Scouts of America Southern Sierra Council; California Statewide Communities Development Authority (CSCDA); and Kern County Management Council.

I have dedicated my career to public service and I am proud to serve the residents of Kern County and the employees of the County of Kern. I am interested in becoming more involved in pension and investment management on a larger scale and I feel that my knowledge and expertise outlined above would make me a good candidate for the Treasurer of the Board. I feel I could bring value to the board while at the same time expanding my knowledge base in pension management and administration.

Attached is my resume for your information. Thank you in advance for your consideration and feel free to call me if you have any questions at 661-204-1510.

Sincerely,

Jordan Kaufman  
Kern County Treasurer-Tax Collector  
Deferred Compensation Plan Administrator

Attachment

M:\Administration\SACRS\SACRS Board Letter of Interest.doc



**SACRS Nomination Submission Form  
SACRS Board of Directors Elections 2022-2023**

All interested candidates must complete this form and submit along with a letter of intent. **Both the form and the letter of intent must be submitted no later than March 1, 2022.** Please submit to the Nominating Committee Chair at [Dan.McAllister@sdcountry.ca.gov](mailto:Dan.McAllister@sdcountry.ca.gov) AND to SACRS at [sulema@sacrs.org](mailto:sulema@sacrs.org). If you have any questions, please feel free to contact Sulema Peterson at SACRS at (916) 701-5158.

<b>Name of Candidate</b>	Name: Jordan Kaufman
<b>Candidate Contact Information (Please include – Phone Number, Email Address and Mailing Address)</b>	Mailing Address: 1115 Truxtun Avenue, 2nd floor Bakersfield, CA 93301 Email Address: <a href="mailto:jkaufman@kerncounty.com">jkaufman@kerncounty.com</a> Phone: (661) 868-3454
<b>Name of Retirement System Candidate Currently Serves On</b>	System Name: Kern County Employees' Retirement Association
<b>List Your Current Position on Retirement Board (Chair, Alternate, Retiree, General Elected, Etc)</b>	<input type="radio"/> Chair <input type="radio"/> Alternate <input type="radio"/> General Elected <input type="radio"/> Retiree <input checked="" type="radio"/> Other <u>Statutory</u>
<b>Applying for SACRS Board of Directors Position (select only one)</b>	<input type="radio"/> President <input type="radio"/> Vice President <input checked="" type="radio"/> Treasurer <input type="radio"/> Secretary <input type="radio"/> Regular Member
<b>Brief Bio</b>	<p>I am in my second term as the elected Kern County Treasurer-Tax Collector with fiduciary responsibility over the \$4.5 billion Treasury Investment Pool and the responsibility of annually collecting over \$1.3 billion in local property taxes. I am also the Plan Administrator for the \$720 million deferred compensation plan for County employees. Prior to being elected, I became the assistant Treasurer-Tax Collector in 2006. Prior to 2006, I spent over a decade in the County Administrative Office where I performed budget and policy analysis and was involved in the issuance of various types of municipal bonds for the County. I am the Treasurer and past Chairman of the United Way of Kern County, Trustee and past Chairman of the Kern County Employees Retirement Association (KCERA), Commissioner on the California Statewide Communities Development Authority (CSCDA), Treasurer of the Boy Scouts of America Southern Sierra Council, and an Adjunct Professor at the California State University Bakersfield. I have a Bachelor of Science degree in Industrial Technology from Cal Poly San Luis Obispo. I live in Bakersfield with my beautiful wife Kristen and we have four children.</p>

## SACRS Submission for Secretary

**Serving the Active and Retired Members of:**

CITY OF SAN JUAN  
CAPISTRANO

COUNTY OF ORANGE

ORANGE COUNTY  
CEMETERY DISTRICT

ORANGE COUNTY CHILDREN &  
FAMILIES COMMISSION

ORANGE COUNTY  
DEPARTMENT OF EDUCATION  
(CLOSED TO NEW MEMBERS)

ORANGE COUNTY  
EMPLOYEES RETIREMENT  
SYSTEM

ORANGE COUNTY FIRE  
AUTHORITY

ORANGE COUNTY IN-HOME  
SUPPORTIVE SERVICES PUBLIC  
AUTHORITY

ORANGE COUNTY LOCAL  
AGENCY FORMATION  
COMMISSION

ORANGE COUNTY PUBLIC LAW  
LIBRARY

ORANGE COUNTY  
SANITATION DISTRICT

ORANGE COUNTY  
TRANSPORTATION  
AUTHORITY

SUPERIOR COURT OF  
CALIFORNIA, COUNTY  
OF ORANGE

TRANSPORTATION  
CORRIDOR AGENCIES

UCI MEDICAL CENTER AND  
CAMPUS (CLOSED TO NEW  
MEMBERS)

February 23, 2022

*By Mail and Electronic Mail [dan.mcallister@sdcounty.ca.gov]*

Mr. Dan McAllister  
SACRS Nominating Committee Chair  
SACRS  
840 Richards Blvd.  
Sacramento, CA 95811

Re: NOMINATION FOR SACRS BOARD OF DIRECTORS ELECTION 2022-2023

Dear Mr. McAllister:

**This letter supersedes the letter I sent to you earlier today.**

As a regular member of SACRS, the Orange County Employees Retirement System (OCERS) is entitled, under the SACRS Bylaws, Article VIII, Section 2, to submit nominations for the election of directors for the SACRS Board of Directors.

On February 22, 2022, the OCERS Board of Retirement met and took action to nominate OCERS trustee, Adele Tagalao, for the position of SECRETARY of the SACRS Board of Directors, and directed me to submit this nomination to the SACRS Nominating Committee.

Accordingly, please accept this letter as **OCERS' nomination of OCERS Trustee, Adele Tagalao, for election to the position of SECRETARY of the SACRS Board of Directors at the 2022-2023 SACRS Board of Directors Election to take place on May 13, 2022.**

Please do not hesitate to contact me at (714) 558-6222 if you have any questions or require additional information.

Thank you.

Best regards,



Steve Delaney - Chief Executive Officer  
cc: Sulema H. Peterson, SACRS Administrator

# Adele Tagaloa

---

2223 East Wellington Ave, Suite 100, Santa Ana, CA 92804 | 714-349-9716 | [atagaloa@ocers.org](mailto:atagaloa@ocers.org)

**February 24, 2022**

Mr. Dan McAllister  
SACRS Immediate Past President, Nominating Committee Chair  
State Association of County Retirement Systems (SACRS)  
840 Richards Blvd  
Sacramento, CA 95811

**Dear Mr. Dan McAllister:**

Please accept this letter of my intent to run for SACRS Board of Directors for the office of Secretary.

My 15 years of leadership experience in the private and public sector makes me an exceptional candidate for SACRS Secretary. My experience serving (1) as an Executive Board member of my employee labor organization; (2) my employment by the Registrar of Voters to ensure accurate and transparent elections for 1.8 million registered Orange County voters; and most importantly (3) my service as a Trustee on the Orange County Employees Retirement System has made me uniquely qualified to serve on the SACRS Board of Directors.

My passion for democracy, organized labor, and accessibility education has been the cornerstone of my career and life. Since I have been elected to the OCERS Board of Retirement, my personal trustee education has been one of my main priorities. Although the last two years has proven to be a challenge for in-person education, I prioritized expanding my knowledge on pensions and legislation.

While attending SACRS Fall Conference in 2021, the level of professionalism and outstanding leadership solidified my desire to be more than a future attendee. In SACRS, I have found an organization that like me, understands the challenge and importance of pensions, education and duty for trustees in the CERL 37 Act Systems. I have shared too many people about the fantastic speakers and the subjects that reach beyond pensions at SACRS.

Using my leadership experience, it is my goal to continue to share all the benefits of SACRS to members, support leadership and to continue to make SACRS the premier pension organization in a changing world.

It would be an honor to serve on the SACRS Board of Directors as Secretary and truly appreciate your consideration.

Sincerely,



**Adele Tagaloa**  
Trustee, General Member-Elected  
Orange County Employees Retirement System (OCERS)





## SACRS Nomination Submission Form SACRS Board of Directors Elections 2022-2023

All interested candidates must complete this form and submit along with a letter of intent. **Both the form and the letter of intent must be submitted no later than March 1, 2022.** Please submit to the Nominating Committee Chair at [Dan.McAllister@sdcounty.ca.gov](mailto:Dan.McAllister@sdcounty.ca.gov) AND to SACRS at [sulema@sacrs.org](mailto:sulema@sacrs.org). If you have any questions, please feel free to contact Sulema Peterson at SACRS at (916) 701-5158.

<b>Name of Candidate</b>	<b>Adele Tagalao</b>
<b>Candidate Contact Information (Please include – Phone Number, Email Address and Mailing Address)</b>	Mailing Address: <b>2223 East Wellington Ave, Suite 100 Santa Ana, CA 92701</b> Email Address: <a href="mailto:atagalao@ocers.org">atagalao@ocers.org</a> <a href="mailto:adele.tagalao@gmail.com">adele.tagalao@gmail.com</a> Phone: <b>(714) 349-9716</b>
<b>Name of Retirement System Candidate Currently Serves On</b>	System Name: <b>Orange County Employees Retirement System (OCERS)</b>
<b>List Your Current Position on Retirement Board (Chair, Alternate, Retiree, General Elected, Etc)</b>	<input type="radio"/> Chair <input type="radio"/> Alternate <input checked="" type="radio"/> General Elected <input type="radio"/> Retiree <input type="radio"/> Other _____
<b>Applying for SACRS Board of Directors Position (select only one)</b>	<input type="radio"/> President <input type="radio"/> Vice President <input type="radio"/> Treasurer <input checked="" type="radio"/> Secretary <input type="radio"/> Regular Member
<b>Brief Bio</b>	<ul style="list-style-type: none"> <li>♦ <b>Member, SACRS Program and Bylaws Committee</b></li> <li>♦ <b>Elected General Member Trustee, OCERS, 2020 to present</b> 12 1/2 years of service to the County of Orange Proudly serving 1.8 million registered voters at the Registrar of Voters office</li> <li>♦ <b>Chair, OCERS Disability Committee 2020 to present</b></li> <li>♦ <b>Vice- Chair, OCERS Investment Committee 2022 to present</b></li> <li>♦ <b>Member, OCERS Governance Committee member 2022 to present</b></li> <li>♦ <b>Union Steward, Orange County Employees Association (OCEA) 2012 to present</b></li> <li>♦ <b>Board of Directors, OCEA 2018 to present</b></li> <li>♦ <b>Executive Board of Directors - Secretary, OCEA 2020 to present</b></li> <li>♦ <b>Political Action Committee and Scholarship Committee member, OCEA</b></li> </ul> <p><b>Public Pension Trustee Certificates:</b>          Public Pension Investment Management Program - UC Berkeley          CALAPRS Principles of Pension Governance and Principles for Trustees          Completed 190 hours of education, 2020 - present</p>

## SACRS Submission for General Board Member

February 28, 2022

VIA Email

Dan McAllister,  
SACRS Immediate Past President/ Nominating Committee Chair  
SACRS Nominating Committee

Dear Mr. Immediate Past President/Nominating Committee Chair McAllister,

Please accept this letter as my letter of intent to be a candidate to be re-elected to the position of Regular Member in SACRS Board of Directors Elections 2022-2023.

If re-elected as a Regular Member, I will continue working to ensure that SACRS remain the preeminent educational organization for the CERL 37 Act Systems by maintaining the high caliber of our conferences (both as formal seminars and superb networking opportunities.) I will continue encouraging greater participation from the Trustees and Staff of the 37 Act Systems. I strongly believe in getting involved as demonstrated by my contribution at SACRS' Board meetings and having volunteered to lead a CALAPRS Trustee Roundtable after attending only a few sessions. Over the years, I have served on the governing Boards of the Teamsters Local 1932, the Working Assembly of Governmental Employees and other organizations. Currently, I serve as a Regular Member on SACRS' Board along with being a member of SACRS' Audit and Education committees.

I have been a Trustee with the San Bernardino County Employees' Retirement Association (SBcera) from January 2015 and attended my first SACRS conference that year. I found the sessions to be very informative and educational with the presenters being experts and/or thought leaders in their field. Since then, I have attended several conferences sponsored by other organizations that are single topic focused and I have also completed certification programs at Wharton, Pepperdine, UCLA and Berkeley. In comparison, SACRS conferences provide a comprehensive insight into the "*nuts and bolts*" of the functioning of Retirement Systems with an emphasis on current applications of the topics. SACRS provides attendees an opportunity to understand different perspectives thereby encouraging clearer lines of communication and to also hear about what works and what may be problematic. The networking and information sharing opportunities with colleagues at SACRS is immensely valuable. These practical qualities and timely information helped to draw me into the SACRS' orbit.

SACRS recent expansion of the Board to include additional members was a very good strategic move that has helped to enhance the experience pool and expand the knowledge base. I believe the current SACRS Board is a team exhibiting a very good blend of geography, experience and perspective. Consequently, I would very much like to continue contributing (based on my education and experience) to SACRS - a superlative organization: "*Providing insight. Fostering oversight.*"

I thank you in advance for your kind consideration and support. It would be a high honor for me to be re-elected to continue serving as a Regular Member on the SACRS Board for the 2022-2023 term.

Please find attached the completed SACRS nomination form.

Respectfully,

*Vere Williams*

Vere Williams, MBA

SBcera Board of Directors – General Elected Member

cc: Sulema Peterson, SACRS



**SACRS Nomination Submission Form**  
**SACRS Board of Directors Elections 2022-2023**

All interested candidates must complete this form and submit along with a letter of intent. **Both the form and the letter of intent must be submitted no later than March 1, 2022.** Please submit to the Nominating Committee Chair at [Dan.McAllister@sdcountry.ca.gov](mailto:Dan.McAllister@sdcountry.ca.gov) AND to SACRS at [sulema@sacrs.org](mailto:sulema@sacrs.org). If you have any questions, please feel free to contact Sulema Peterson at SACRS at (916) 701-5158.

<b>Name of Candidate</b>	Name: <u>Vere Williams</u>
<b>Candidate Contact Information</b> (Please include – Phone Number, Email Address and Mailing Address)	Mailing Address: <u>8379 Icicle Drive, Pinon Hills, CA 92372</u> Email Address: <u>verevlw@aol.com</u> Phone: <u>(760) 486-6311</u>
<b>Name of Retirement System Candidate Currently Serves On</b>	System Name: <u>San Bernardino County Employees' Retirement Association</u>
<b>List Your Current Position on Retirement Board (Chair, Alternate, Retiree, General Elected, Etc)</b>	<input type="radio"/> <u>General Elected</u>
<b>Applying for SACRS Board of Directors Position (select only one)</b>	<input type="radio"/> <u>Regular Member</u>
<b>Brief Bio</b>	<p>I was elected to SBcera's Board in January 2015 and has served on the Administrative, Audit and Investment Committees. Currently, I serve as a Regular Member of the SACRS Board. My community involvement includes serving on the governing Boards of the Teamsters Local 1932, California State Conference of the NAACP, Working Assembly of Governmental Employees (WAGE) and other organizations. I am a past president of the San Bernardino County Association of African-American Employees and currently serves as treasurer for the Hispanic Employees Alliance. I have earned an MBA in Information Management/Accounting and has completed certification courses on Retirement System Management courses at Berkeley, UCLA, Pepperdine and Wharton. I have been an enrolled Agent with the IRS for over 20 years along with more than 25 years working in the finance department at Arrowhead Regional Medical Center. I have been trained in conflict resolution and have completed various workshops and seminars on organization dynamics and interplay. I am currently a member of the SACRS Audit and Education Committees.</p> <p>A guiding quote – <b>"I always wondered why somebody didn't do something about that, then I realized I was somebody."</b> – Lily Tomlin</p>

## SACRS Submission for General Board Member

February 25, 2022

Mr. Dan McAllister  
Chair  
Nominating Committee  
State Association of County Retirement Systems

Dear Mr. McAllister,

This letter is to serve as an introduction and to submit my application for the State Association of County Retirement Systems Board of Directors.

I have been working with retirement education since first joining the county of San Diego almost 25 years ago. One of my first educational presentations regarding retirement occurred while I was in the academy with the explanation of deferred compensation and the importance of planning ahead.

Over the past many years, I have been approached and encouraged by my fellow county employees to get involved and help improve communications about retirement. I worked from the retirement committee at the Deputy Sheriff's Association (DSA), then to the retirement chair at the DSA. I have been involved for over 15 years with the County of San Diego Deferred Compensation Advisory Committee. After serving many roles with the DSA and the county of San Diego, I was encouraged to step forward and run for the Board of Trustees at the San Diego County Employee Retirement Association (SDCERA). In 2019 I was elected to the SDCERA Board of Trustees and currently serve as the Secretary.

The support from SACRS has been invaluable for my education as a trustee. The many hours of training that we obtain from SACRS has improved the quality of stewardship for our retirement systems. Additionally, the SACRS support in Sacramento in the form of review and feedback to our legislative branch of government is vital.

My goal is to not only join the SACRS Board of Directors but to contribute to this process of developing and supporting the member county retirement systems. I respectfully request and thank you in advance for the consideration of the Nominating Committee in supporting my candidacy for election to the SACRS Board of Directors.

Respectfully,

David Gilmore  
SDCERA Trustee



**SACRS Nomination Submission Form  
SACRS Board of Directors Elections 2022-2023**

All interested candidates must complete this form and submit along with a letter of intent. **Both the form and the letter of intent must be submitted no later than March 1, 2022.** Please submit to the Nominating Committee Chair at [Dan.McAllister@sdcounty.ca.gov](mailto:Dan.McAllister@sdcounty.ca.gov) AND to SACRS at [sulema@sacrs.org](mailto:sulema@sacrs.org). If you have any questions, please feel free to contact Sulema Peterson at SACRS at (916) 701-5158.

<b>Name of Candidate</b>	Name: <a href="#">David Gilmore</a>
<b>Candidate Contact Information</b> (Please include – Phone Number, Email Address and Mailing Address)	Mailing Address: <a href="#">2275 Rio Bonito Way, San Diego, CA 92108-1685</a> Email Address: <a href="mailto:DGilmore@SDCERA.ORG">DGilmore@SDCERA.ORG</a> Phone: <a href="tel:619-770-7854">619-770-7854</a>
<b>Name of Retirement System Candidate Currently Serves On</b>	System Name: <a href="#">San Diego County Employees Retirement Association</a>
<b>List Your Current Position on Retirement Board (Chair, Alternate, Retiree, General Elected, Etc)</b>	<input type="radio"/> Chair <input type="radio"/> Alternate <input checked="" type="radio"/> General Elected <input type="radio"/> Retiree <input type="radio"/> Other _____
<b>Applying for SACRS Board of Directors Position (select only one)</b>	<input type="radio"/> President <input type="radio"/> Vice President <input type="radio"/> Treasurer <input type="radio"/> Secretary <input checked="" type="radio"/> Regular Member
<b>Brief Bio</b>	<p><a href="#">David Gilmore</a> was elected to the SDCERA Board of Directors in 2019 and serves as the Board Secretary. He is on the Audit Committee for this fund of over \$17 billion. He served for over twenty years in various roles with the Deputy Sheriff's Association including being elected to the Board of Directors where he was the Secretary Treasurer. Prior to joining the Sheriff's Department in 1997, he was an internal auditor and systems analyst in the mortgage banking industry. He holds a bachelors degree in business administration-accounting and a masters degree in public administration. He is a Lieutenant with the Sheriff's Department and has held various management positions for the past 11 years including the Sheriff's Standards and Compliance Manager reporting to the Office of the Sheriff. He is also a founding member of the County of San Diego Deferred Compensation Investment <a href="#">Advisory Committee</a>.</p>

April 25, 2022

TO: Each Trustee,  
Board of Retirement

FROM: Santos H. Kreimann *SHK*  
Chief Executive Officer

FOR: May 5, 2022 Board of Retirement Meeting

SUBJECT: LACERA Strategic Planning Presentation by KH Consulting Group

At the February 2, 2022 Board of Retirement meeting, the Board approved KH Consulting Group as the Strategic Planning consultant to assist in LACERA's strategic planning efforts. An Advisory Team was created that includes Trustees Harris, Knox, Sanchez, and Santos, and staff from the Executive Office, Human Resources, Legal, and Investments Divisions.

The Advisory Team has partnered with KH Consulting Group to ensure the Strategic Planning process, timeline, and activities are on track and project milestones are attained. The executive team and KH Consulting have launched virtual kick-off meetings with all staff to ensure understanding of the Strategic Planning process and framework.

We look forward to partnering with KH Consulting and working together in the development of the strategic plan. Staff anticipates that the new Strategic Plan will be completed and considered by the Boards in the Fall 2022.

The team from KH Consulting Group will be providing a presentation to the Board on the strategic planning framework and process at its meeting on May 5, 2022.

Attachment

c: Luis A. Lugo  
JJ Popowich  
Laura Guglielmo



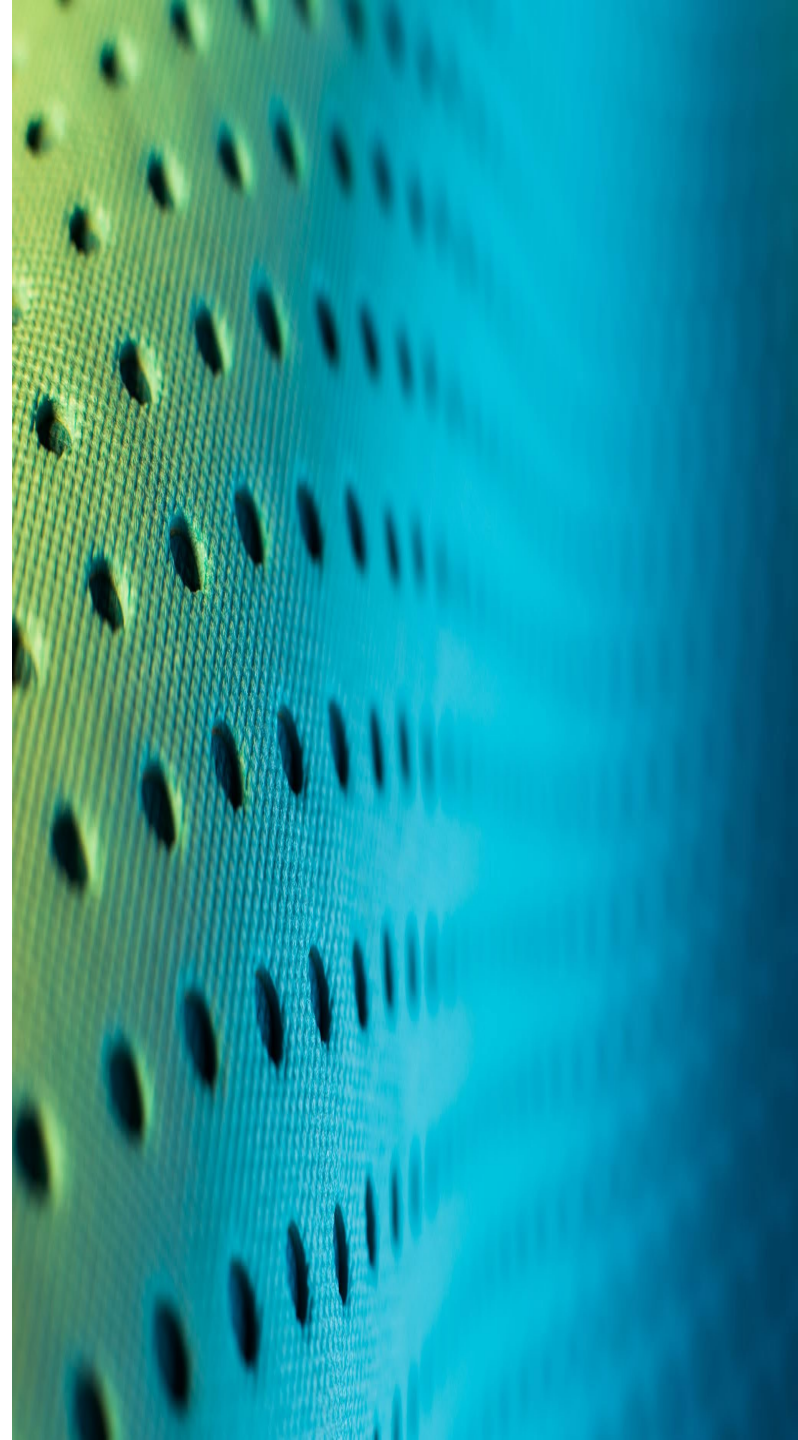
# **LOS ANGELES COUNTY EMPLOYEES RETIREMENT ASSOCIATION (LACERA)**

**Strategic Planning Update for the  
Board of Retirement**

**May 5, 2022**

# Topics to be Covered

- Strategic Planning Framework
- Project Overview
- Work Completed to Date



# RFP Objectives and Scope

## Objective

Implement and guide LACERA through a strategic-planning process to:

- Update Mission, Vision, and Values
- Develop goals and objectives
- Develop supplemental planning and implementation tools
- Develop performance metrics

## Scope

All areas under the oversight of the BOR, ensuring that the plan is compatible with and supportive of the BOI strategic plan

# RFP Deliverables



## *Strategic Plan*

A five-year plan with mission statement, vision statement, values, and strategic goals



## *Action Plans*

Outlines objectives, action steps, milestones, and accountabilities for addressing the goals outlined in the Strategic Plan



## *Performance Metrics*

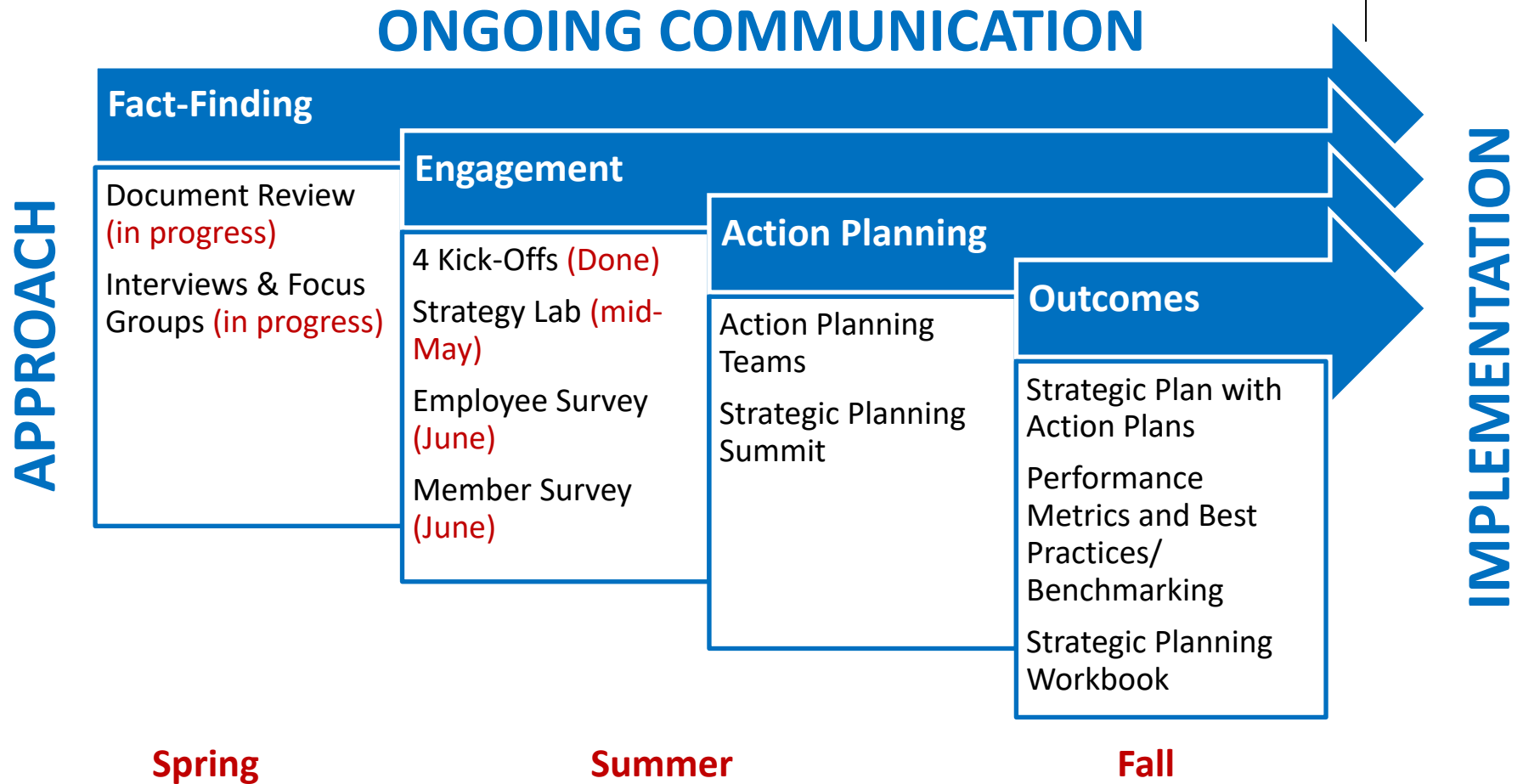
Developed to measure success and can be updated and revised as progress is made and lessons are learned



## *Strategic Planning Workbook*

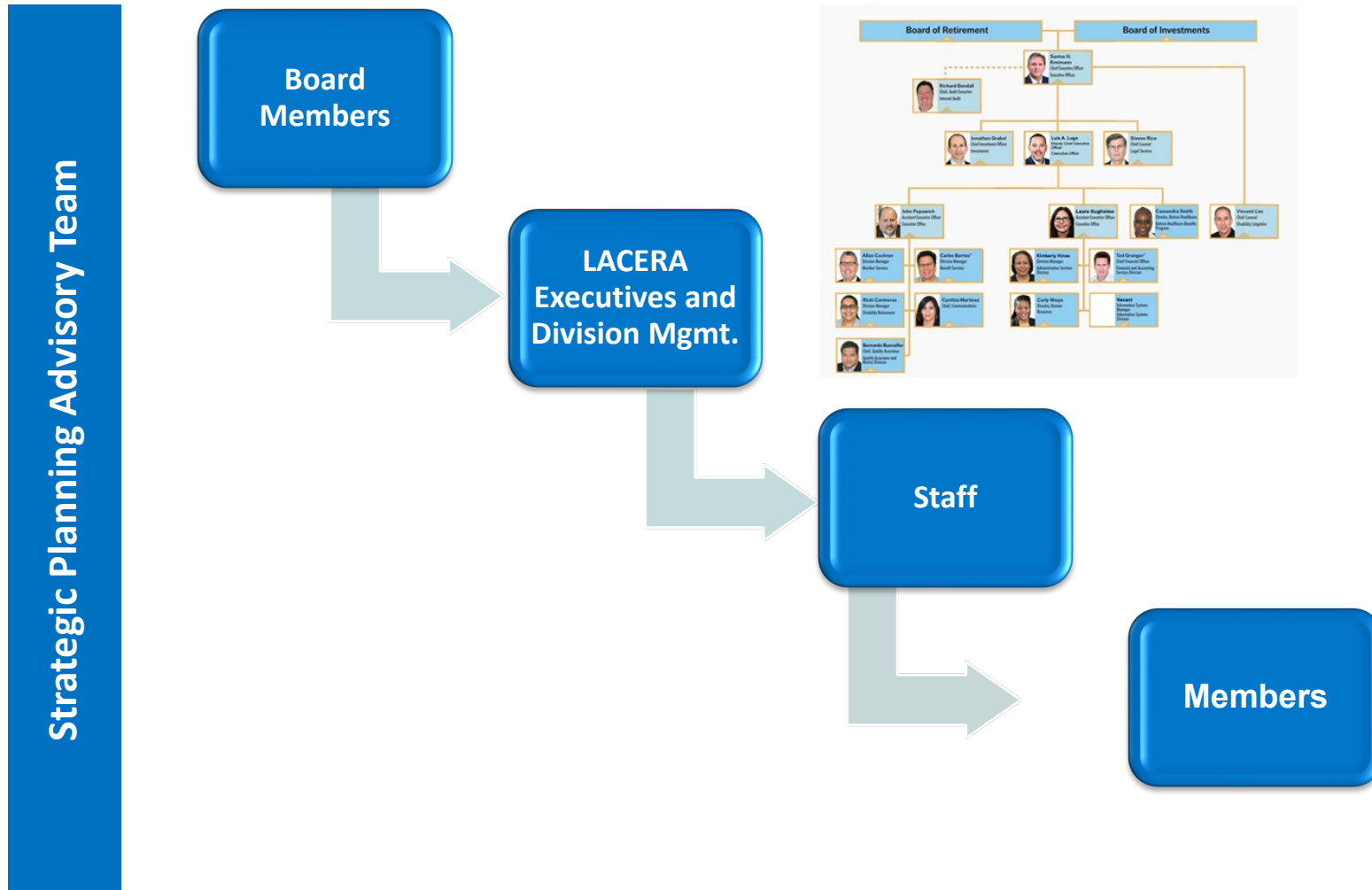
Developed to guide implementation of the Strategic Plan and guide future strategic planning efforts

# Project Update



# Active Client Involvement for Greater Buy-In and Commitment to Implementation

**Our role:** Designing, fact-finding, analysis, facilitation, measurements for success, and preparation of Strategic Plan and Action Plans through a collaborative approach



# Strategic Planning Advisory Team Role

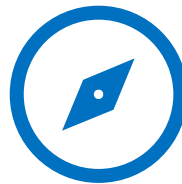


- Representatives from the BOR, LACERA executive management, and division management
- Provide input regarding:
  - Work approach – *scheduled for May 4, 2022*
  - Survey content
- Participate in:
  - Strategy Lab to define Strategic Priorities
  - Strategy Summit to review Action Planning Team (APTs) work
- Review draft:
  - Strategic Plan
  - Action Plans
  - Metrics
  - Workbook

# Work Completed or In Progress



Document  
Review

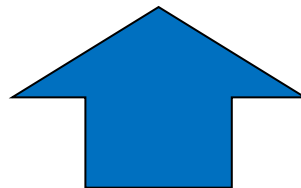


4 Kick-off Sessions:  
MAC, SAC, and All Staff



17 Focus Groups, by Division,  
and 1:1 Interviews

Strengths  
Weaknesses  
Opportunities  
Threats



Start of Employee  
Engagement



# LACERA Employees' Perspectives on Working Remotely

## What they like the most

1. Reduced commuting time
2. Better overall work-life balance
3. Enhanced productivity and efficiency
4. Attractive for recruitment and retention purposes
5. Flexibility for those with caretaking responsibilities

## What they like the least

1. Social interaction and isolation
2. Data, technology, and cybersecurity issues
3. Household distractions (e.g., caring for younger children, elderly, or pets)
4. Overworking – negative impact on work-life balance



# KH's Strategic Planning Model



# LACERA's Mission

## LACERA's 3 P Mission

**Produce, protect, and provide the promised benefits to our members**



Staff Poll	Mission	
	n	%
<b>Love it!</b>	224	<b>66%</b>
<b>Needs a Tweak</b>	94	<b>28%</b>
<b>Needs a Major Overhaul</b>	12	<b>4%</b>
<b>Never seen it</b>	7	<b>2%</b>
<b>Total</b>	337	<b>100%</b>

# LACERA's Values

## LACERA's Current

## P.R.O.F.I.T. Values

- Professionalism
- Respect
- Open Communication
- Fairness
- Integrity
- Teamwork

Staff Poll	Mission		Values	
	n	%	n	%
<b>Love it!</b>	224	<b>66%</b>	136	<b>44%</b>
<b>Needs a Tweak</b>	94	<b>28%</b>	145	<b>47%</b>
<b>Needs a Major Overhaul</b>	12	<b>4%</b>	25	<b>8%</b>
<b>Never seen it</b>	7	<b>2%</b>	4	<b>1%</b>
<b>Total</b>	337	<b>100%</b>	310	<b>100%</b>

# Member Survey



Brief survey to maximize response rates



Easy to complete



Majority online with capability to complete hardcopy survey (approximately 18,000 members)



Timing – targeted distribution in June and focus on Strategic Priorities from Strategy Lab

# Action Planning Teams (APTs)

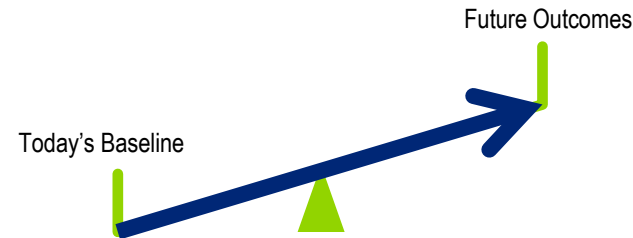
- Work group charters
- Champions and participants who meet 3-4 times over 2 months
- Workshops: 2-hour meetings
- Action plan development
- Presentation of Action Plans to BOR, Advisory Group, and executive team



# Employee Engagement

## Commitment to Strategic Priorities, Goals, & Metrics

- Internal acceptance
- Member input via surveys
- Continuous improvement
- Sustainability of results



**Involvement in  
Planning**

+

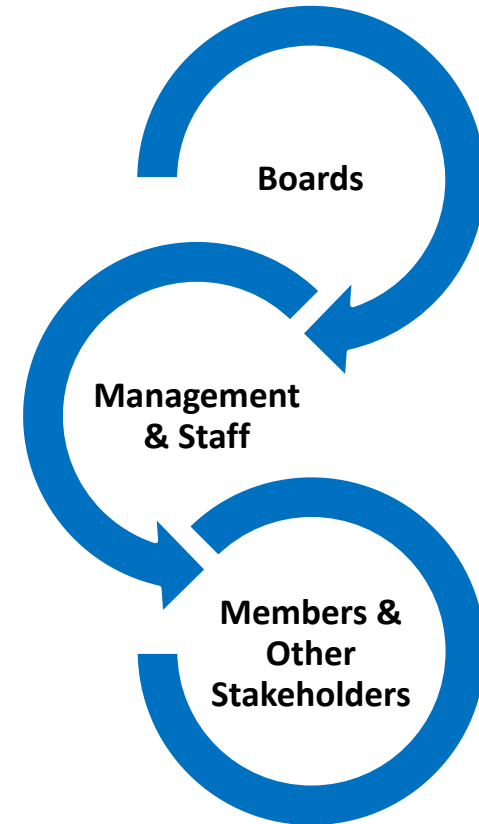
**Action Plans  
for Change**

=

**Implementation:  
Decisions That Stick**

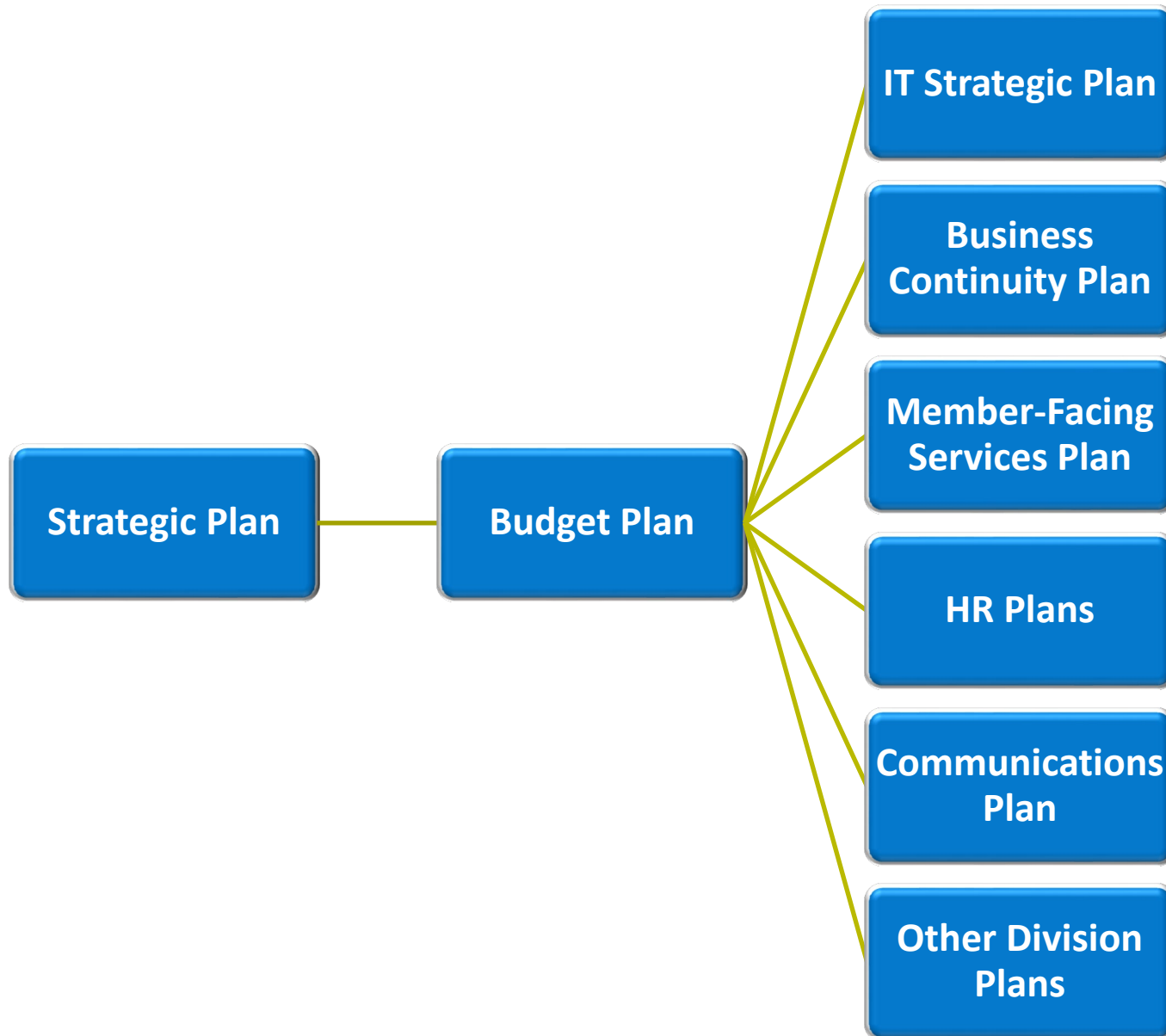
# Strategic Planning at LACERA

- Defining LACERA's vision to continue to deliver excellent member services and investment practices
- Identifying strategic priorities
- Engaging the Board, staff, and stakeholders for greater buy-in and commitment
- Aligning other internal plans with the Strategic Plan
- Being mindful of generational differences and needs





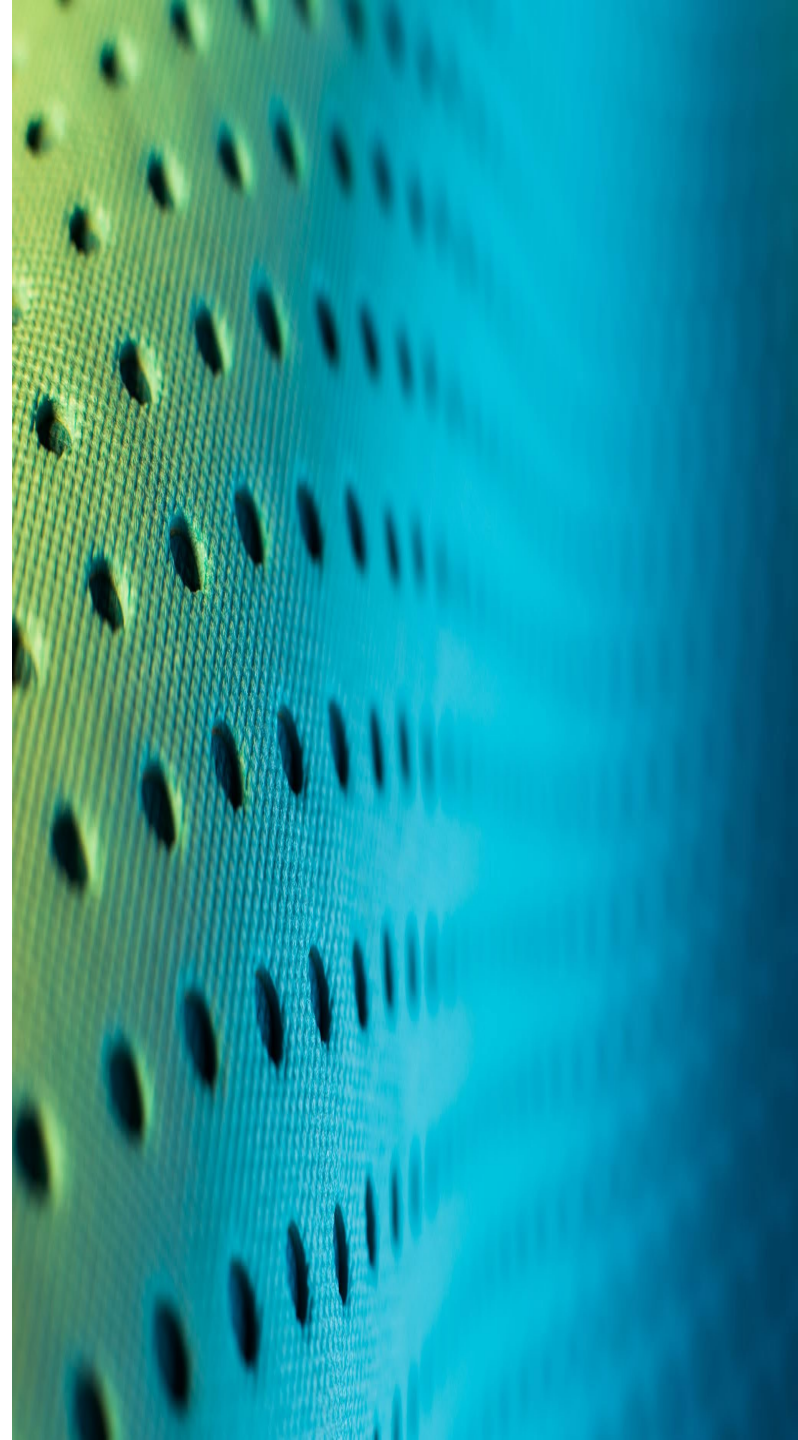
# Integrated Planning Process and Plans



# Attachment: Background

**KH**  
CONSULTING  
GROUP  
MANAGEMENT  
CONSULTANTS

- KH Team
- KH Firm



# Meet the KH Team

- Extensive strategic-planning experience
- Founded KH in 1986
- 30+ years in consulting in U.S. and overseas
- Associate adjunct faculty at the University of Southern California
- Has served more than 200 clients, including many government agencies in southern California, including LACERA

## Project Director



**Gayla Kraetsch Hartsough, Ph.D., KH President**

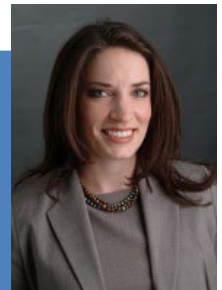
## Strategic Planning Action Planning Teams Performance Measurements



**Charlotte Maure, MPA, KH Vice President**

- Extensive strategic-planning experience
- Former Deputy Director at Port Authority of NY-NJ
- 25 years in consulting and coaching
- 22 years at KH

## Strategic Planning Stakeholder Engagement Action Planning Teams



**Heather Sims, MAA, KH Vice President**

- Extensive strategic-planning experience
- 18 years in conducting stakeholder engagement activities
- Social anthropologist
- 16 years at KH

# Who We Are

- KH Consulting Group (KH)
  - LA headquartered
  - Founded in **1986**
  - LSBE, CBE, WBE certified
- Served more than **200 clients** in 25 states and 9 foreign countries
- More than **80% of KH projects** in the **public sector**
- Strategic-planning track record in Los Angeles County:
  - 30%** of the Los Angeles County departments
  - 60%** of those subsequently retained



## Sample KH Clients

### County of Los Angeles

Department of Beaches and Harbors (DBH)  
 Department of Business & Consumer Affairs  
 Los Angeles County Department of Public Social Services (subcontractor)  
 Department of Human Resources (DHR)  
 Department of Public Health  
 Department of Public Social Services  
 Department of Public Works  
 Department of Public Works' Women's Leadership Council  
 Department of Regional Planning (DRP)  
 Internal Services Department  
 Civil Grand Jury (16 projects)  
 Office of the Assessor  
 Office of the Chief Executive Officer  
 Treasurer & Tax Collector (TTC)  
 Los Angeles County Public Library

### City of Los Angeles

City Attorney: LA DOOR  
 Department of Transportation (LADOT)  
 Department of Water and Power (LADWP)  
 General Services Department  
 Office of the Mayor – Development Reform Strategic Plan  
 Office of the Controller  
 Los Angeles World Airports (LAWA/LAX)  
 Port of Los Angeles

### Other Government Agencies

CAL-OPTIMA  
 Federal Housing Finance Board  
 Los Angeles Metrolink  
 Port of Long Beach

### Educational Enterprises

East Los Angeles College  
 Glendale City College  
 Los Angeles Regional Adult Education Consortium: Los Angeles Unified School District (LAUSD), Burbank USD, Culver City USD, Montebello USD, and Los Angeles Community College District (LACCD)  
 Linked Learning: United Way, LAUSD, Los Angeles Area Chamber of Commerce, California State Universities, and LACCD  
 Los Angeles Trade-Technical College

**FOR INFORMATION ONLY**

April 26, 2022

TO: Each Trustee  
Board of RetirementFROM: Ricki Contreras, Division Manager  
Disability Retirement Services

FOR: May 5, 2022, Board of Retirement Meeting

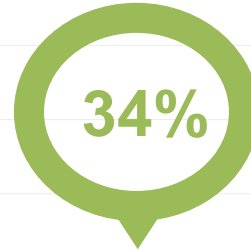
SUBJECT: **Application Processing Time Snapshot Reports**

The following chart shows the total processing time from receipt of the application to the first Board action for all cases on the May 5, 2022, Disability Retirement Applications Agenda.

Consent & Non-Consent Calendar				
Number of Applications	58			
Average Processing Time (in Months)	13.67			
Revised/Held Over Calendar				
Number of Applications	4			
Processing Time Per Case (in Months)	Case 1 47	Case 2 22	Case 3 16	Case 4 14
Total Average Processing Time All <b>62</b> Cases on Agenda	14.39			

# DISABILITY RETIREMENT SERVICES

## Application Processing Time



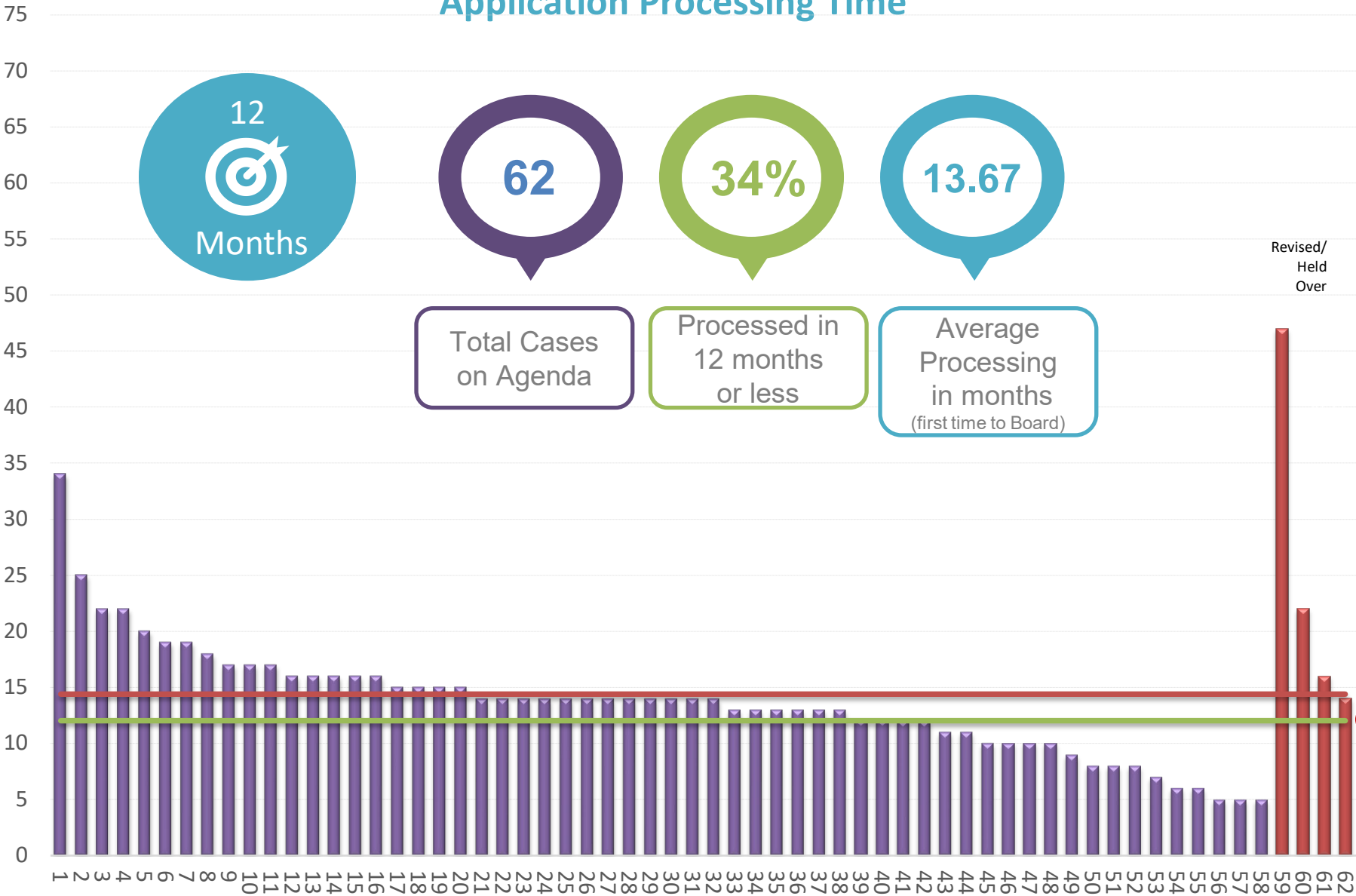
Total Cases  
on Agenda

Processed in  
12 months  
or less

Average  
Processing  
in months  
(first time to Board)

Revised/  
Held  
Over

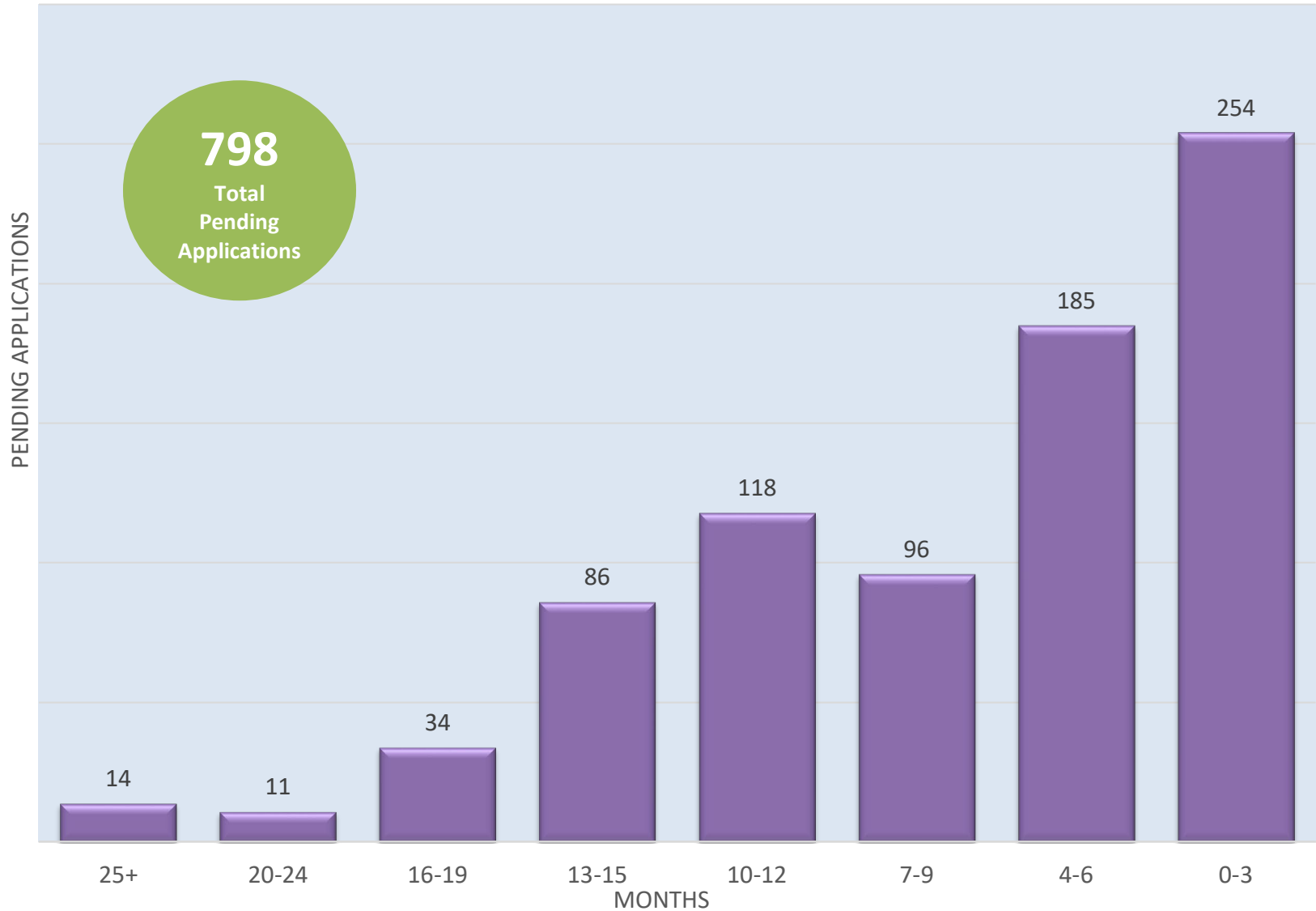
MONTHS



May 5, 2022 Disability Agenda

# DISABILITY RETIREMENT SERVICES

## Pending Applications/Months




As of April 26, 2022

**FOR INFORMATION ONLY**

April 25, 2022

TO: Each Trustee  
Board of Retirement  
Board of Investments

FROM: Barry W. Lew   
Legislative Affairs Officer

FOR: May 5, 2022 Board of Retirement Meeting  
May 11, 2022 Board of Investments Meeting

SUBJECT: **Monthly Status Report on Legislation**

Attached is the monthly report on the status of legislation that staff is monitoring or on which LACERA has adopted a position.

**Reviewed and Approved:**



---

**Steven P. Rice, Chief Counsel**

**Attachments**

LACERA Legislative Report Index  
LACERA Legislative Report

cc:	Santos H. Kreimann	Jon Grabel
	Luis Lugo	Scott Zdrazil
	JJ Popowich	Tony Roda, Williams & Jensen
	Laura Guglielmo	Shari McHugh, McHugh Koepke & Associates
	Steven P. Rice	Naomi Padron, McHugh Koepke & Associates



LACERA Legislative Report  
2021-22 Legislative Session  
Status as of April 25, 2022

<b>PUBLIC RETIREMENT</b>	<b>AUTHOR</b>	<b>TITLE</b>	<b>PAGE</b>
AB 498	Quirk-Silva (D)	County Employees Retirement Compensation Earnable	1
AB 551	Rodriguez (D)	Teachers' Retirement System: Individual Plans	1
AB 826	Irwin (D)	Compensation and Compensation Earnable	1
AB 1667	Cooper (D)	State Teachers' Retirement System: Administration	1
AB 1722	Cooper (D)	Public Employees' Retirement: Safety Members	2
AB 1824	Public Employment and Retirement Cmt	Public Employees' Retirement	2
AB 1971	Cooper (D)	County Employees Retirement Law of 1937	2
AB 2443	Cooley (D)	Public Employees' Retirement: Federal Law: Limitation	3
HR 2954	Neal (D)	Strong Retirement	3
HR 6241	Thompson M (D)	Use of Retirement Funds	3
S 1703	Grassley (R)	Retirement Plan Administration	3
S 1770	Cardin (D)	Retirement Provisions	3
 <b>PUBLIC INVESTMENT</b>			
SB 457	Portantino (D)	Public Employee Retirement Systems: Investments	4
SB 1173	Gonzalez (D)	Public Retirement Systems: Fossil Fuels: Divestment	4
SB 1328	McGuire (D)	Investments and Contracts: Russia and Belarus	4
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LACERA Legislative Report  
2021-22 Legislative Session  
Status as of April 25, 2022

<b>PUBLIC RETIREMENT</b>		
CA AB 498	<b>AUTHOR:</b> Quirk-Silva [D] <b>TITLE:</b> County Employees Retirement Compensation Earnable <b>INTRODUCED:</b> 02/09/2021 <b>LAST AMEND:</b> 09/10/2021 <b>SUMMARY:</b> <p>Relates to the County Employees Retirement Law of 1937, which authorizes counties to establish retirement systems pursuant to its provisions for the purpose of providing pension, disability, and other benefits to county and district employees. Replaces the term "grade" with "group" for purposes of the definition of compensation earnable under the this law. Defines group or class of positions.</p> <b>STATUS:</b> 09/10/2021 In SENATE. Senate Rules suspended. 09/10/2021 From SENATE Committee on APPROPRIATIONS with author's amendments. 09/10/2021 In SENATE. Read second time and amended. Re-referred to Committee on APPROPRIATIONS.	
CA AB 551	<b>AUTHOR:</b> Rodriguez [D] <b>TITLE:</b> Teachers' Retirement System: Individual Plans <b>INTRODUCED:</b> 02/10/2021 <b>SUMMARY:</b> <p>Authorizes the State Teachers' Retirement System to administer an individual retirement plan as described in Section 408 of Title 26 of the United States Code. Eliminates the requirement that the administration of these plans be for the purpose of accepting a rollover from an annuity contract or custodial account offered by the system.</p> <b>STATUS:</b> 01/27/2022 In ASSEMBLY. Read third time. Passed ASSEMBLY. *****To SENATE. (54-13)	
CA AB 826	<b>AUTHOR:</b> Irwin [D] <b>TITLE:</b> Compensation and Compensation Earnable <b>INTRODUCED:</b> 02/16/2021 <b>LAST AMEND:</b> 08/31/2021 <b>SUMMARY:</b> <p>Relates to the bill, which would apply only in Ventura County. Provides that compensation and compensation earnable include flexible benefits plan allowances paid by a county or a district on behalf of its employees as part of a cafeteria plan, as specified, if certain requirements are met.</p> <b>STATUS:</b> 09/08/2021 In SENATE. From third reading. To Inactive File. <b>BOR_Position:</b> Watch 09/01/2021 <b>IBLC_Recommendation:</b> Watch 08/12/2021 <b>Staff_Recommendation:</b> Watch	
CA AB 1667	<b>AUTHOR:</b> Cooper [D] <b>TITLE:</b> State Teachers' Retirement System: Administration <b>INTRODUCED:</b> 01/19/2022 <b>LAST AMEND:</b> 03/24/2022	

**SUMMARY:**

Prescribes various requirements and duties in connection with audits of public agencies by the Teachers' Retirement Board. Requires the board to provide written notice of an intended audit to the affected public agency and to the exclusive representative of the members affected by the audit. Requires this notice to apprise the public agency and the exclusive representative of the purpose and scope of the intended audit.

**STATUS:**

04/20/2022 From ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT: Do pass to Committee on APPROPRIATIONS. (6-0)

CA AB 1722

**AUTHOR:** Cooper [D]  
**TITLE:** Public Employees' Retirement: Safety Members  
**INTRODUCED:** 01/27/2022  
**SUMMARY:**

Relates to the Public Employees' Retirement Law which, until January 1, 2023, provides a state safety member of the Public Employees' Retirement System who retires for industrial disability a retirement benefit equal to the greatest amount resulting from 3 possible calculations. Deletes the termination of these provisions on January 1, 2023, thereby making them operative in perpetuity.

**STATUS:**

04/06/2022 In ASSEMBLY Committee on APPROPRIATIONS: To Suspense File.

CA AB 1824

**AUTHOR:** Public Employment and Retirement Cmt  
**TITLE:** Public Employees' Retirement  
**INTRODUCED:** 02/07/2022  
**LAST AMEND:** 03/07/2022  
**SUMMARY:**

Revises the description of trustee service under the State Teachers' Retirement Law to link it to the definition of this service, which means duties performed by a member of the governing body of an employer. Specifies that termination of services does not include retired member activities, as defined, or retired participant activities, as defined. Makes Cash Balance Benefit Program.

**STATUS:**

04/07/2022 In ASSEMBLY. Read third time. Passed ASSEMBLY. \*\*\*\*\*To SENATE. (65-0)

**Comments:**

Omnibus bill containing SACRS 2022 legislative proposals.

CA AB 1971

**AUTHOR:** Cooper [D]  
**TITLE:** County Employees Retirement Law of 1937  
**INTRODUCED:** 02/10/2022  
**LAST AMEND:** 04/18/2022  
**SUMMARY:**

Authorizes a person who is retired and receiving a retirement benefit from a county system to serve without reinstatement for service on a part-time board or commission operating under a participating agency of the same county retirement system. Prohibits a retired person acting in this capacity from acquiring benefits, service credit, or retirement rights with respect to the service and would prescribe limits on the salary or stipend for service with the board or commission.

	<b>STATUS:</b>	
	04/21/2022	In ASSEMBLY. Read second time. To third reading.
	<b>Comments:</b>	
		Omnibus bill containing SACRS 2022 legislative proposals.
CA AB 2443	<b>AUTHOR:</b>	Cooley [D]
	<b>TITLE:</b>	Public Employees' Retirement: Federal Law: Limitation
	<b>INTRODUCED:</b>	02/17/2022
	<b>LAST AMEND:</b>	03/17/2022
	<b>SUMMARY:</b>	
		Prescribes the method by which benefits are to be reduced when federal law requires aggregation of benefits from different plans maintained by the same employer and federal limits on benefits are reached.
	<b>STATUS:</b>	
	03/30/2022	From ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT: Do pass to Committee on APPROPRIATIONS. (6-0)
US HR 2954	<b>SPONSOR:</b>	Neal [D]
	<b>TITLE:</b>	Strong Retirement
	<b>INTRODUCED:</b>	05/04/2021
	<b>LAST AMEND:</b>	03/29/2022
	<b>SUMMARY:</b>	
		Secures a Strong Retirement Act of 2021.
	<b>STATUS:</b>	
	03/30/2022	In SENATE. Read second time.
	03/30/2022	To SENATE Committee on FINANCE.
	<b>Comments:</b>	
		Would gradually raise the age for mandatory distributions to age 75 by 2032.
US HR 6241	<b>SPONSOR:</b>	Thompson M [D]
	<b>TITLE:</b>	Use of Retirement Funds
	<b>INTRODUCED:</b>	12/09/2021
	<b>SUMMARY:</b>	
		Amends the Internal Revenue Code of 1986 to provide for rules for the use of retirement funds in connection with federally declared disasters.
	<b>STATUS:</b>	
	12/09/2021	INTRODUCED.
	12/09/2021	To HOUSE Committee on WAYS AND MEANS.
US S 1703	<b>SPONSOR:</b>	Grassley [R]
	<b>TITLE:</b>	Retirement Plan Administration
	<b>INTRODUCED:</b>	05/19/2021
	<b>SUMMARY:</b>	
		Amends the Internal Revenue Code of 1986 to increase retirement savings, to improve retirement plan administration.
	<b>STATUS:</b>	
	05/19/2021	INTRODUCED.
	05/19/2021	In SENATE. Read second time.
	05/19/2021	To SENATE Committee on FINANCE.
US S 1770	<b>SPONSOR:</b>	Cardin [D]
	<b>TITLE:</b>	Retirement Provisions

**INTRODUCED:** 05/20/2021

**SUMMARY:**

Amends the Internal Revenue Code of 1986 to reform retirement provisions.

**STATUS:**

05/20/2021 INTRODUCED.

05/20/2021 In SENATE. Read second time.

05/20/2021 To SENATE Committee on FINANCE.

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**PUBLIC INVESTMENT**

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CA SB 457

**AUTHOR:** Portantino [D]

**TITLE:** Public Employee Retirement Systems: Investments

**INTRODUCED:** 02/16/2021

**SUMMARY:**

Requires the boards of administration of the Public Employees Retirement System and the State Teachers Retirement System to provide employers that are school districts and cities that participate in the systems an option to elect an investment portfolio that does not contain investment vehicles that are issued or owned by the government of the Republic of Turkey.

**STATUS:**

05/28/2021 To ASSEMBLY Committee on PUBLIC EMPLOYMENT AND RETIREMENT.

CA SB 1173

**AUTHOR:** Gonzalez [D]

**TITLE:** Public Retirement Systems: Fossil Fuels: Divestment

**INTRODUCED:** 02/17/2022

**LAST AMEND:** 04/21/2022

**SUMMARY:**

Prohibits the boards of the Public Employees' Retirement System and the State Teachers' Retirement System from making new investments or renewing existing investments of public employee retirement funds in a fossil fuel company. Suspends the above described liquidation provision upon a good faith determination by the board that certain conditions materially impact normal market mechanisms for pricing assets.

**STATUS:**

04/21/2022 In SENATE. Read second time and amended. Re-referred to Committee on APPROPRIATIONS.

CA SB 1328

**AUTHOR:** McGuire [D]

**TITLE:** Investments and Contracts: Russia and Belarus

**INTRODUCED:** 02/18/2022

**LAST AMEND:** 04/19/2022

**SUMMARY:**

Prohibits the Treasurer from making additional or new investments or renewing existing investments of state moneys in any investment vehicle in the government of Russia or the government of Belarus that meets certain conditions, or in or from a Russian or Belarusian financial institution currently under sanctions imposed by the United States.

**STATUS:**

04/19/2022 From SENATE Committee on APPROPRIATIONS with author's amendments.

04/19/2022 In SENATE. Read second time and amended. Re-referred to Committee on APPROPRIATIONS.

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**WORKERS COMPENSATION**

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CA AB 334	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>SUMMARY:</b>	Mullin [D] Workers Compensation: Skin Cancer 01/27/2021 Relates to existing law which provides that skin cancer developing in active lifeguards, for purposes of workers' compensation, is presumed to arise out of and in the course of employment, unless the presumption is rebutted. Expands the scope of this provision to certain peace officers of the Department of Fish and Wildlife and the Department of Parks and Recreation.
	<b>STATUS:</b>	09/10/2021 In SENATE. From third reading. To Inactive File.
CA AB 1751	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>SUMMARY:</b>	Daly [D] Workers' Compensation: COVID-19: Critical Workers 02/01/2022 Extends specified workers' compensation provisions relating to COVID-19 until January 1, 2025.
	<b>STATUS:</b>	03/30/2022 From ASSEMBLY Committee on INSURANCE: Do pass to Committee on APPROPRIATIONS. (10-1)
CA SB 213	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>LAST AMEND:</b> <b>SUMMARY:</b>	Cortese [D] Workers Compensation: Hospital Employees 01/12/2021 01/25/2022 Defines injury for a hospital employee who provides direct patient care in an acute care hospital, to include infectious diseases, cancer, musculoskeletal injuries, post traumatic stress disorder, and respiratory diseases. Includes the novel coronavirus 2019, among other conditions, in the definitions of infectious and respiratory diseases. Creates rebuttable presumptions that these injuries that develop or manifest in a hospital employee who provides direct patient care in an acute care hospital.
	<b>STATUS:</b>	01/31/2022 In SENATE. Read third time. Passed SENATE. *****To ASSEMBLY. (21-9)
CA SB 284	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>LAST AMEND:</b> <b>SUMMARY:</b>	Stern [D] Workers' Compensation: Firefighters and Peace Officers 02/01/2021 08/30/2021 Relates to existing Law which provides that injury includes post-traumatic stress that develops during a period in which the injured person is in the service of the department or unit. Makes that provision applicable to active firefighting members of the State Department of State Hospitals, the State Department of Developmental Services, and the Military Department, and the Department of Veterans Affairs, including security officers of the Department of Justice when performing assigned duties.
	<b>STATUS:</b>	08/30/2021 In ASSEMBLY. Read second time and amended. To

	08/30/2021	second reading. In ASSEMBLY. To Inactive File.
CA SB 335	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>LAST AMEND:</b> <b>SUMMARY:</b>	Cortese [D] Workers' Compensation: Liability 02/08/2021 03/10/2021 Reduces the time periods after the date the claim form is filed with an employer in which the injury is presumed compensable and the presumption is rebuttable only by evidence discovered subsequent to the time period for certain injuries or illnesses, including hernia, heart trouble, pneumonia, or tuberculosis, among others, sustained in the course of employment of a specified member of law enforcement or a specified first responder.
	07/13/2021	In ASSEMBLY Committee on INSURANCE: Failed passage.
<hr/> <b>BROWN ACT</b> <hr/>		
CA AB 1944	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>LAST AMEND:</b> <b>SUMMARY:</b>	Lee [D] Local Government: Open and Public Meetings 02/10/2022 04/18/2022 Requires an updated agenda reflecting all of the members participating in the meeting remotely to be posted, if a member of the legislative body elects to participate in the meeting remotely after the agenda is posted. Authorizes a member to be exempt from identifying the address of the member's teleconference location in the notice and agenda or having the location be accessible to the public.
	04/18/2022	From ASSEMBLY Committee on LOCAL GOVERNMENT with author's amendments.
	04/18/2022	In ASSEMBLY. Read second time and amended. Re-referred to Committee on LOCAL GOVERNMENT.
CA AB 2449	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>SUMMARY:</b>	Rubio [D] Open Meetings: Local Agencies: Teleconferences 02/17/2022 Authorizes a local agency to use teleconferencing without complying with specified teleconferencing requirements if at least a quorum of the members of the legislative body participates in person from a singular location clearly identified on the agenda that is open to the public and situated within the local agency's jurisdiction. The bill would impose prescribed requirements for this exception relating to notice, agendas, the means and manner of access, and procedures for disruptions.
	03/03/2022	To ASSEMBLY Committee on LOCAL GOVERNMENT.
CA AB 2647	<b>AUTHOR:</b> <b>TITLE:</b> <b>INTRODUCED:</b> <b>LAST AMEND:</b>	Levine [D] Local Government: Open Meetings 02/18/2022 04/19/2022

**SUMMARY:**

Requires a local agency to make those writings distributed to the members of the governing board available for public inspection at a public office or location that the agency designates and list the address of the office or location on the agenda for all meetings of the legislative body of the agency unless the local agency meets certain requirements, including the local agency immediately posts the writings on the local agency's internet website in a position and manner that makes it clear.

**STATUS:**

04/19/2022 From ASSEMBLY Committee on LOCAL GOVERNMENT with author's amendments.

04/19/2022 In ASSEMBLY. Read second time and amended.  
Re-referred to Committee on LOCAL GOVERNMENT.

CA SB 1100

**AUTHOR:** Cortese [D]**TITLE:** Open Meetings: Orderly Conduct**INTRODUCED:** 02/16/2022**LAST AMEND:** 04/21/2022**SUMMARY:**

Authorizes the presiding member of the legislative body conducting a meeting to remove an individual for disrupting the meeting. Requires removal to be preceded by a warning by the presiding member of the legislative body that the individual is disrupting the proceedings, a request that the individual curtail their disruptive behavior or be subject to removal, and a reasonable opportunity to curtail their disruptive behavior.

**STATUS:**

04/21/2022 In SENATE. Read second time and amended. To third reading.

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**PUBLIC RECORDS ACT**


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CA AB 343

**AUTHOR:** Fong [R]**TITLE:** California Public Records Act Ombudsperson**INTRODUCED:** 01/28/2021**LAST AMEND:** 05/24/2021**SUMMARY:**

Establishes, within the California State Auditor's Office, the California Public Records Act Ombudsperson. Requires the California State Auditor to appoint the Ombudsperson subject to certain requirements. Requires the Ombudsperson to receive and investigate requests for review, determine whether the denials of original requests complied with the California Public Records Act, and issue written opinions of its determination.

**STATUS:**

06/09/2021 To SENATE Committees on JUDICIARY and GOVERNMENTAL ORGANIZATION.

CA AB 386

**AUTHOR:** Cooper [D]**TITLE:** Public Employees Retirement: Investments: Confidential**INTRODUCED:** 02/02/2021**LAST AMEND:** 06/29/2021**SUMMARY:**

Exempts from disclosure under the California Public Records Act specified records regarding an internally managed private loan made directly by the Public Employees' Retirement Fund. Provides that these records would include



quarterly and annual financial statements of the borrower or its constituent owners, unless the information has already been publicly released by the keeper of the information. Prescribes specified exceptions to this exemption from disclosure.

**STATUS:**

07/13/2021 In SENATE Committee on JUDICIARY: Failed passage.  
07/13/2021 In SENATE Committee on JUDICIARY: Reconsideration granted.

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**SOCIAL SECURITY**

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US HR 82	<p><b>SPONSOR:</b> Davis R [R]  <b>TITLE:</b> Government Pension Offset Repeal  <b>INTRODUCED:</b> 01/04/2021  <b>SUMMARY:</b>  Amends the Social Security Act; repeals the Government pension offset and windfall elimination provisions.  <b>STATUS:</b>  01/04/2021 INTRODUCED.  01/04/2021 To HOUSE Committee on WAYS AND MEANS.  <b>BOR_Position:</b> Support 05/05/2021  <b>IBLC_Recommendation:</b> Support 04/15/2021  <b>Staff_Recommendation:</b> Support</p>
US HR 2337	<p><b>SPONSOR:</b> Neal [D]  <b>TITLE:</b> Noncovered Employment  <b>INTRODUCED:</b> 04/01/2021  <b>SUMMARY:</b>  Amends Title II of the Social Security Act to provide an equitable Social Security formula for individuals with noncovered employment and to provide relief for individuals currently affected by the Windfall Elimination Provision.  <b>STATUS:</b>  04/01/2021 INTRODUCED.  04/01/2021 To HOUSE Committee on WAYS AND MEANS.  <b>BOR_Position:</b> Support 09/01/2021  <b>IBLC_Recommendation:</b> Support 08/12/2021  <b>Staff_Recommendation:</b> Support</p>
US HR 5723	<p><b>SPONSOR:</b> Larson [D]  <b>TITLE:</b> Social Security System Benefits  <b>INTRODUCED:</b> 10/26/2021  <b>SUMMARY:</b>  Protects our Social Security system and improve benefits for current and future generations.  <b>STATUS:</b>  10/26/2021 In HOUSE Committee on WAYS AND MEANS: Referred to Subcommittee on SOCIAL SECURITY.</p>
US S 1302	<p><b>SPONSOR:</b> Brown S [D]  <b>TITLE:</b> Pension Offset  <b>INTRODUCED:</b> 04/22/2021  <b>SUMMARY:</b>  Amends Title II of the Social Security Act to repeal the government pension offset and windfall elimination provisions.</p>

**STATUS:**

04/22/2021 INTRODUCED.  
 04/22/2021 In SENATE. Read second time.  
 04/22/2021 To SENATE Committee on FINANCE.  
**BOR\_Position:** Support 09/01/2021  
**IBLC\_Recommendation:** Support 08/12/2021  
**Staff\_Recommendation:** Support

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**HEALTHCARE**


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US HR 4148

**SPONSOR:** Malinowski [D]  
**TITLE:** First Responders Medicare Option  
**INTRODUCED:** 06/24/2021  
**SUMMARY:**

Amends Title XVIII of the Social Security Act to provide an option for first responders age 50 to 64 who are separated from service due to retirement or disability to buy into Medicare.

**STATUS:**

06/24/2021 INTRODUCED.  
 06/24/2021 To HOUSE Committee on WAYS AND MEANS.  
 06/24/2021 To HOUSE Committee on ENERGY AND COMMERCE.  
 06/25/2021 In HOUSE Committee on ENERGY AND COMMERCE:  
 Referred to Subcommittee on HEALTH.

US S 2236

**SPONSOR:** Brown S [D]  
**TITLE:** Medicare Buy In Option for First Responders  
**INTRODUCED:** 06/24/2021  
**SUMMARY:**

Amends Title XVIII of the Social Security Act to provide an option for first responders age 50 to 64 who are separated from service due to retirement or disability to buy into Medicare.

**STATUS:**

06/24/2021 INTRODUCED.  
 06/24/2021 In SENATE. Read second time.  
 06/24/2021 To SENATE Committee on FINANCE.

**FOR INFORMATION ONLY**

April 15, 2022

TO: Each Trustee  
Board of Retirement  
Board of Investments

FROM: Ted Granger   
Interim Chief Financial Officer

FOR: May 5, 2022 Board of Retirement Meeting  
May 11, 2022 Board of Investments Meeting

SUBJECT: **MONTHLY TRAVEL & EDUCATION REPORT – MARCH 2022**

Attached for your review is the Trustee Travel & Education Report. This report includes all events (i.e., attended and canceled) from the beginning of the fiscal year through March 2022. Staff travel and education has been omitted from this document and reported to the Chief Executive Officer separately.

REVIEWED AND APPROVED:



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Santos H. Kreimann  
Chief Executive Officer

TG/EW/gj

**Attachments**

c: L. Lugo  
J. Popowich  
L. Guglielmo  
J. Grabel  
S. Rice  
R. Van Nortrick

**TRUSTEE TRAVEL AND EDUCATION REPORT**  
**FOR FISCAL YEAR 2021 - 2022**  
**MARCH 2022**

Attendee	Purpose of Travel - Location	Event Dates	Travel Status
<b>Alan Bernstein</b>			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York NY	08/22/2021 - 08/24/2021	Attended
	2 Edu - 2021 SuperReturn North America - Boston MA	10/04/2021 - 10/05/2021	Attended
	3 Edu - Latin America US Real Estate Meeting - South Beach FL	11/29/2021 - 11/30/2021	Attended
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles CA	10/17/2021 - 10/20/2021	Attended
	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
X	- Edu - SuperReturn International Berlin - Berlin, Germany	11/09/2021 - 11/12/2021	Canceled
<b>Vivian Gray</b>			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York NY	08/22/2021 - 08/24/2021	Attended
B	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
	- Admin - SACRS Board of Directors Meeting - Rancho Mirage CA	12/14/2021 - 12/14/2021	Attended
	- Admin - SACRS Board of Directors and Program Committee Meeting - Berkeley CA	01/24/2022 - 01/25/2022	Attended
	- Edu - 2022 CALAPRS - General Assembly - San Diego CA	03/05/2022 - 03/08/2022	Attended
	- Edu - NASP-Southern California "Day of Education in Private Equity Conference - Los Angeles CA	03/23/2022 - 03/24/2022	Attended
V	- Edu - Congressional Black Caucus Foundation - VIRTUAL	09/12/2021 - 09/17/2021	Attended
	- Edu - Private Credit Outlook: Key Trends and the Road Ahead - VIRTUAL	09/16/2021 - 09/16/2021	Attended
	- Edu - NASP 32nd Annual Financial Services Virtual Conference - VIRTUAL	09/22/2021 - 09/24/2021	Attended
	- Edu - New America Alliance: U.S. Economic Recovery - VIRTUAL	11/10/2021 - 11/10/2021	Attended
	- Edu - CFA Society of Los Angeles - Diversity, Equity, and Inclusion Virtual Conference - VIRTUAL	02/10/2022 - 02/10/2022	Attended
	- Edu - NASP Women's Forum - VIRTUAL	03/30/2022 - 03/31/2022	Attended
<b>David Green</b>			
B	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
	- Edu - 2022 PPI Winter Roundtable - Westlake Village CA	02/23/2022 - 02/25/2022	Attended
	- Edu - 2022 CALAPRS - General Assembly - San Diego CA	03/05/2022 - 03/08/2022	Attended
X	- Edu - 2021 CII Fall Conference - Chicago IL	09/22/2021 - 09/24/2021	Host Canceled
<b>Elizabeth Greenwood</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles CA	10/17/2021 - 10/20/2021	Attended
<b>James Harris</b>			
B	- Edu - CRCEA 2021 Fall Conference - Long Beach CA	11/07/2021 - 11/10/2021	Attended

**TRUSTEE TRAVEL AND EDUCATION REPORT**  
**FOR FISCAL YEAR 2021 - 2022**  
**MARCH 2022**

Attendee	Purpose of Travel - Location	Event Dates	Travel Status
<b>Patrick Jones</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles CA	10/17/2021 - 10/20/2021	Attended
	- Edu - 2022 CALAPRS - General Assembly - San Diego CA	03/05/2022 - 03/08/2022	Attended
	- Edu - NASP-Southern California "Day of Education in Private Equity Conference - Los Angeles CA	03/23/2022 - 03/24/2022	Attended
V	- Edu -SACRS Public Pension Investment Management Program - VIRTUAL	07/13/2021 - 07/22/2021	Attended
	- Edu - Harvard Kennedy School Executive Education: Leading Smart Policy Design: A Multisectoral Approach to Economic Decisions - VIRTUAL	09/21/2021 - 10/12/2021	Attended
	- Edu - Duke University Executive Education Program - Corporate Social Responsibility - VIRTUAL	12/06/2021 - 12/14/2021	Attended
	- Edu - Harvard Kennedy School Executive Education: Behavioral Insights and Public Policy Program - VIRTUAL	01/31/2022 - 02/16/2022	Attended
	- Edu - CFA Society of Los Angeles - Diversity, Equity, and Inclusion Virtual Conference - VIRTUAL	02/10/2022 - 02/10/2022	Attended
<b>Shawn Kehoe</b>			
V	- Edu - Morgan Stanley Virtual Global Insights Day - VIRTUAL	02/09/2022 - 02/09/2022	Attended
X	- Edu - 2021 Milken Institute Global Conference - Los Angeles CA	10/17/2021 - 10/20/2021	Canceled
	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Canceled
<b>Joseph Kelly</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles CA	10/17/2021 - 10/20/2021	Attended
V	- Edu -SACRS Public Pension Investment Management Program - VIRTUAL	07/13/2021 - 07/22/2021	Attended
	- Edu - Private Credit Outlook: Key Trends and the Road Ahead - VIRTUAL	09/16/2021 - 09/16/2021	Attended
	- Edu - Pugh Capital 30th Anniversary Virtual Event Series - VIRTUAL	09/22/2021 - 09/22/2021	Attended
	- Edu - 2021 Institute of Internal Auditors Los Angeles Conference: Governance, Grit and Gravitas - VIRTUAL	10/04/2021 - 10/06/2021	Attended
	- Edu - NACD Pacific Southwest / USC Marshall Corporate Directors Symposium - November 2021 - VIRTUAL	11/10/2021 - 11/10/2021	Attended
	- Edu – 2022 CII Spring Conference - VIRTUAL	03/07/2022 - 03/09/2022	Attended
	- Edu - NACD Pacific Southwest / USC Marshall Corporate Directors - March 2022 - VIRTUAL	03/24/2022 - 03/24/2022	Attended
X	- Edu - Global Investors Annual Meeting - New York NY	12/13/2021 - 12/14/2021	Canceled
<b>Keith Knox</b>			
V	- Edu - Harvard Business School Audit Committees In A New Era of Governance - VIRTUAL	07/21/2021 - 07/23/2021	Attended
	- Edu - Pugh Capital 30th Anniversary Virtual Event Series - VIRTUAL	09/22/2021 - 09/22/2021	Attended
	- Edu - New America Alliance: U.S. Economic Recovery - VIRTUAL	11/10/2021 - 11/10/2021	Attended

**TRUSTEE TRAVEL AND EDUCATION REPORT**  
**FOR FISCAL YEAR 2021 - 2022**  
**MARCH 2022**

Attendee	Purpose of Travel - Location	Event Dates	Travel Status
<b>Wayne Moore</b>			
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles CA	10/17/2021 - 10/20/2021	Attended
	- Edu - NASP-Southern California "Day of Education in Private Equity Conference - Los Angeles CA	03/23/2022 - 03/24/2022	Attended
<b>William Pryor</b>			
B	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
V	- Edu – 2022 CII Spring Conference - VIRTUAL	03/07/2022 - 03/09/2022	Attended
<b>Les Robbins</b>			
B	- Edu - CRCEA 2021 Fall Conference - Long Beach CA	11/07/2021 - 11/10/2021	Attended
V	- Edu - CALAPRS Trustees Roundtable - VIRTUAL	10/29/2021 - 10/29/2021	Attended
<b>Gina Sanchez</b>			
A	1 Edu - 2022 CII Spring Conference - Washington, DC MD	03/07/2022 - 03/09/2022	Attended
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles CA	10/17/2021 - 10/20/2021	Attended
	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
	- Edu - 2022 PPI Winter Roundtable - Westlake Village CA	02/23/2022 - 02/25/2022	Attended
	- Edu - NASP-Southern California "Day of Education in Private Equity Conference - Los Angeles CA	03/23/2022 - 03/24/2022	Attended
V	- Edu - 2021 CII Fall Conference - VIRTUAL	09/22/2021 - 09/24/2021	Attended
	- Edu - 2021 Virtual NACD Summit - VIRTUAL	10/04/2021 - 10/08/2021	Attended
	- Edu - PRI Roundtable: The Road to Net-Zero with Ophir Bruck - VIRTUAL	10/12/2021 - 10/12/2021	Attended
	- Edu - PRI Roundtable: Equity, Diversity & Inclusion with Ophir Bruck - VIRTUAL	10/14/2021 - 10/14/2021	Attended
	- Edu - CALAPRS Trustees Roundtable - VIRTUAL	10/29/2021 - 10/29/2021	Attended
X	- Edu - 2021 CII Fall Conference - Chicago IL	09/22/2021 - 09/24/2021	Host Canceled
<b>Herman Santos</b>			
A	1 Edu - NCPERS 2021 Public Pension Funding Forum - New York NY	08/22/2021 - 08/24/2021	Attended
	2 Edu - 2022 CII Spring Conference - Washington, DC MD	03/07/2022 - 03/09/2022	Attended
B	- Edu - 2021 Milken Institute Global Conference - Los Angeles CA	10/17/2021 - 10/20/2021	Attended
	- Edu - PPI Asia Pacific Roundtable - Pasadena CA	10/27/2021 - 10/29/2021	Attended
	- Edu - SACRS Fall Conference - Los Angeles CA	11/09/2021 - 11/12/2021	Attended
	- Admin - SACRS Board of Directors Meeting - Rancho Mirage CA	12/14/2021 - 12/14/2021	Attended
	- Edu - 2022 PPI Winter Roundtable - Westlake Village CA	02/23/2022 - 02/25/2022	Attended
V	- Edu - PPI Roundtable - July 2021 - VIRTUAL	07/13/2021 - 07/15/2021	Attended
	- Edu - Private Credit Outlook: Key Trends and the Road Ahead - VIRTUAL	09/16/2021 - 09/16/2021	Attended
X	- Edu - Global Investors Annual Meeting - New York NY	12/13/2021 - 12/14/2021	Canceled
	- Edu - NASP-Southern California "Day of Education in Private Equity Conference - Los Angeles CA	03/23/2022 - 03/24/2022	Canceled

Category Legend:

A - Pre-Approved/Board Approved

B - Educational Conferences and Administrative Meetings in CA where total cost is no more than \$2,000 per Trustee Travel Policy; Section III.A

C - Second of two conferences and/or meetings counted as one conference per Trustee Education Policy Section IV.C.2 and Trustee Travel Policy Section IV.

V – Virtual Event

X - Canceled events for which expenses have been incurred.

Z - Trip was Canceled - Balance of \$0.00

**Documents not attached are exempt from disclosure under the California Public Records Act and other legal authority.**

**For further information, contact:  
LACERA  
Attention: Public Records Act Requests  
300 N. Lake Ave., Suite 620  
Pasadena, CA 91101**



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